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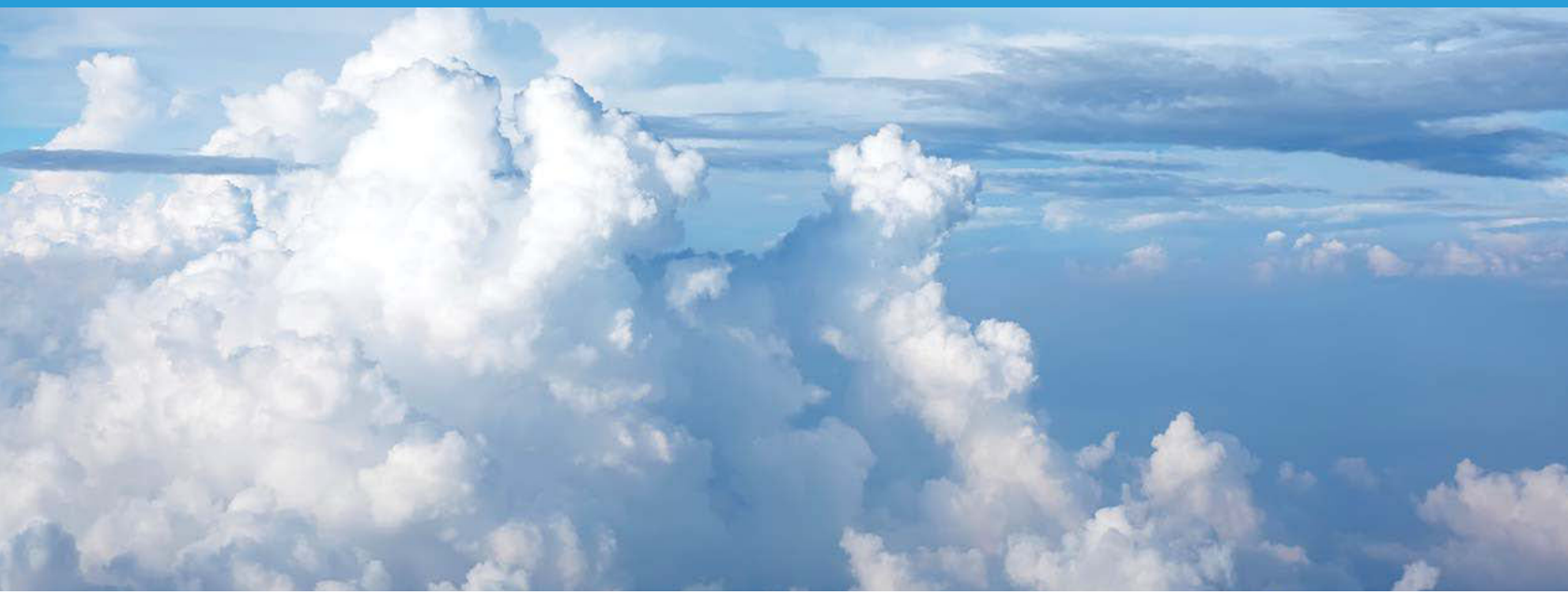
International Standards
and Recommended Practices

Annex 6 to the Convention on International Civil Aviation

Operation of Aircraft

Part I — International Commercial Air Transport — Aeroplanes

Twelfth Edition, July 2022



This edition supersedes, on 3 November 2022, all previous editions of Part I of Annex 6.

For information regarding the applicability of the Standards and Recommended Practices, see the Foreword.

INTERNATIONAL CIVIL AVIATION ORGANIZATION

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ABBREVIATIONS AND SYMBOLS

(used in this Annex)

Abbreviations

AC	Alternating current
ACAS	Airborne collision avoidance system
ADRS	Aircraft data recording system
ADS	Automatic dependent surveillance
ADS-C	Automatic dependent surveillance — contract
AEO	All engines operative
AFCS	Automatic flight control system
AGA	Aerodromes, air routes and ground aids
AIG	Accident investigation and prevention
AIR	Airborne image recorder
AIRS	Airborne image recording system
AOC	Air operator certificate
APCH	Approach
APU	Auxiliary power unit
AR	Authorization required
ARINC	Aeronautical Radio, Incorporated
ASDA	Accelerate stop distance available
ASE	Altimetry system error
ASIA/PAC	Asia/Pacific
ATC	Air traffic control
ATM	Air traffic management
ATN	Aeronautical telecommunication network
ATS	Air traffic services
CARS	Cockpit audio recording system
CAS	Calibrated airspeed
CAT I	Category I
CAT II	Category II
CAT III	Category III
CDL	Configuration deviation list
CFIT	Controlled flight into terrain
cm	Centimetre
COMAT	Operator material
CPDLC	Controller-pilot data link communications
CVR	Cockpit voice recorder
CVS	Combined vision system
DA	Decision altitude
DA/H	Decision altitude/height
DC	Direct current
D-FIS	Data link-flight information services
DH	Decision height

DLR	Data link recorder
DLRS	Data link recording system
DME	Distance measuring equipment
DSTRK	Desired track
EDTO	Extended diversion time operations
EFB	Electronic flight bag
EFIS	Electronic flight instrument system
EGT	Exhaust gas temperature
ELT	Emergency locator transmitter
ELT(AD)	Automatic deployable ELT
ELT(AF)	Automatic fixed ELT
ELT(AP)	Automatic portable ELT
ELT(S)	Survival ELT
EPR	Engine pressure ratio
EUROCAE	European Organisation for Civil Aviation Equipment
EVS	Enhanced vision system
FANS	Future air navigation system
FDAP	Flight data analysis programmes
FDR	Flight data recorder
FL	Flight level
FM	Frequency modulation
ft	Foot
ft/min	Feet per minute
g	Normal acceleration
GCAS	Ground collision avoidance system
GNSS	Global navigation satellite system
GPWS	Ground proximity warning system
hPa	Hectopascal
HUD	Head-up display
IFR	Instrument flight rules
ILS	Instrument landing system
IMC	Instrument meteorological conditions
inHg	Inch of mercury
INS	Inertial navigation system
ISA	International standard atmosphere
kg	Kilogram
kg/m^2	Kilogram per metre squared
km	Kilometre
km/h	Kilometre per hour
kt	Knot
kt/s	Knots per second
lb	Pound
lbf	Pound-force
LDA	Landing distance available
LED	Light emitting diode

m	Metre
mb	Millibar
MDA	Minimum descent altitude
MDA/H	Minimum descent altitude/height
MDH	Minimum descent height
MEL	Minimum equipment list
MHz	Megahertz
MLS	Microwave landing system
MMEL	Master minimum equipment list
MNPS	Minimum navigation performance specification
MOPS	Minimum operational performance specification
m/s	Metres per second
m/s^2	Metres per second squared
N	Newton
N_1	Low pressure compressor speed (two-stage compressor); fan speed (three-stage compressor)
N_2	High pressure compressor speed (two-stage compressor); intermediate pressure compressor speed (three-stage compressor)
N_3	High pressure compressor speed (three stage compressor)
NAV	Navigation
NM	Nautical mile
NVIS	Night vision imaging systems
OCA	Obstacle clearance altitude
OCA/H	Obstacle clearance altitude/height
OCH	Obstacle clearance height
OEI	One-engine-inoperative
PANS	Procedures for Air Navigation Services
PBC	Performance-based communication
PBN	Performance-based navigation
PBS	Performance-based surveillance
RCP	Required communication performance
RNAV	Area navigation
RNP	Required navigation performance
RSP	Required surveillance performance
RTCA	Radio Technical Commission for Aeronautics
RVR	Runway visual range
RVSM	Reduced vertical separation minima
SOP	Standard operating procedure
SST	Supersonic transport
STOL	Short take-off and landing
SVS	Synthetic vision system
TAS	True airspeed
TAWS	Terrain awareness warning system
TCAS	Traffic alert and collision avoidance system
TLA	Thrust lever angle
TLS	Target level of safety
TVE	Total vertical error

UTC	Coordinated universal time
V_D	Design diving speed
VFR	Visual flight rules
VMC	Visual meteorological conditions
V_{MC}	Minimum control speed with the critical engine inoperative
VOR	VHF omnidirectional radio range
V_{s_0}	Stalling speed or the minimum steady flight speed in the landing configuration
V_{s_1}	Stalling speed or the minimum steady flight speed in a specified configuration
VTOL	Vertical take-off and landing
WXR	Weather

Symbols

°C	Degrees Celsius
%	Per cent

PUBLICATIONS

(referred to in this Annex)

ICAO Publications

Convention and Related Acts

Convention on International Civil Aviation (Doc 7300)

Protocol Relating to an Amendment to the Convention on International Civil Aviation (*Article 83* bis) (Doc 9318)

Annexes to the Convention on International Civil Aviation

Annex 1 — *Personnel Licensing*

Annex 2 — *Rules of the Air*

Annex 3 — *Meteorological Service for International Air Navigation*

Annex 4 — *Aeronautical Charts*

Annex 5 — *Units of Measurement to be Used in Air and Ground Operations*

Annex 6 — *Operation of Aircraft*
Part II — *International General Aviation — Aeroplanes*
Part III — *International Operations — Helicopters*

Annex 7 — *Aircraft Nationality and Registration Marks*

Annex 8 — *Airworthiness of Aircraft*

Annex 9 — *Facilitation*

Annex 10 — *Aeronautical Telecommunications*
Volume III — *Communication Systems* (Part I — *Digital Data Communication Systems;* Part II — *Voice Communication Systems*)
Volume IV —*Surveillance and Collision Avoidance Systems*

Annex 11 — *Air Traffic Services*

Annex 12 — *Search and Rescue*

Annex 13 — *Aircraft Accident and Incident Investigation*

Annex 14 — *Aerodromes*
Volume I — *Aerodrome Design and Operations*

Annex 15 — *Aeronautical Information Services*

Annex 16 — *Environmental Protection*
Volume I — *Aircraft Noise*

Annex 18 — *The Safe Transport of Dangerous Goods by Air*

Annex 19 — *Safety Management*

Procedures for Air Navigation Services

ATM — Air Traffic Management (Doc 4444)

MET — Meteorology (Doc 10157)

OPS — Aircraft Operations (Doc 8168)
Volume I — *Flight Procedures*
Volume II — *Construction of Visual and Instrument Flight Procedures*

TRG — Training (Doc 9868)

Regional Supplementary Procedures (Doc 7030)

Manuals[1]

Aeronautical Surveillance Manual (Doc 9924)

Airport Services Manual (Doc 9137)
Part 1 — *Rescue and Fire Fighting*
Part 8 — *Airport Operational Services*

Airworthiness Manual (Doc 9760)

Emergency Response Guidance for Aircraft Incidents Involving Dangerous Goods (Doc 9481)

Extended Diversion Time Operations (EDTO) Manual (Doc 10085)

Flight Planning and Fuel Management (FPFM) Manual (Doc 9976)

Guidance for Safe Operations Involving Aeroplane Cargo Compartments (Doc 10102)

Human Factors Training Manual (Doc 9683)

Manual for the Oversight of Fatigue Management Approaches (Doc 9966)

Manual of Aircraft Ground De-icing/Anti-icing Operations (Doc 9640)

Manual of All-Weather Operations (Doc 9365)

Manual of Criteria for the Qualification of Flight Simulation Training Devices (Doc 9625)

1. The manuals referenced will be updated as necessary to harmonize the terminology with that used in the new Annex 19.

Manual of Evidence-based Training (Doc 9995)

Manual of Procedures for Establishment and Management of a State's Personnel Licensing System (Doc 9379)

Manual of Procedures for Operations Inspection, Certification and Continued Surveillance (Doc 8335)

Manual on a 300 m (1 000 ft) Vertical Separation Minimum Between FL 290 and FL 410 Inclusive (Doc 9574)

Manual on Aeroplane Upset Prevention and Recovery Training (Doc 10011)

Manual on Electronic Flight Bags(EFBs) (Doc 10020)

Manual on Flight and Flow — Information for a Collaborative Environment (FF-ICE) (Doc 9965)

Manual on Flight Data Analysis Programmes (FDAP) (Doc 10000)

Manual on Location of Aircraft in Distress and Flight Recorder Data Recovery (Doc 10054)

Manual on the Implementation of Article 83 bis *of the Convention on International Civil Aviation* (Doc 10059)

Performance-based Communication and Surveillance (PBCS) Manual (Doc 9869) (previously titled the *Manual on Required Communication Performance (RCP).)*

Performance-based Navigation (PBN) Manual (Doc 9613)

Performance-based Navigation (PBN) Operational Approval Manual (Doc 9997)

Policy and Guidance Material on the Economic Regulation of International Air Transport (Doc 9587)

Preparation of an Operations Manual (Doc 9376)

Safety Management Manual (Doc 9859)

Technical Instructions for the Safe Transport of Dangerous Goods by Air (Doc 9284)

Training Manual (Doc 7192)
Part D-3 — *Flight Operations Officers/Flight Dispatchers*

Circulars

Guidance Material on SST Aircraft Operations (Cir 126)

Other Publications

Aeronautical Radio, Incorporated (ARINC), ARINC 647A

European Organisation for Civil Aviation Equipment (EUROCAE) Documents ED-55, ED-56A, ED-76, ED-77, ED-112, ED-112A, ED-155 and ED-250

International Maritime Organization, International Regulations for Preventing Collisions at Sea

Radio Technical Commission for Aeronautics, RTCA DO-200A and RTCA DO-201A

ANNEX 6 — PART I
INTERNATIONAL COMMERCIAL AIR TRANSPORT — AEROPLANES

FOREWORD

Historical background

Standards and Recommended Practices for the Operation of Aircraft — International Commercial Air Transport were first adopted by the Council on 10 December 1948 pursuant to the provisions of Article 37 of the Convention on International Civil Aviation (Chicago, 1944) and designated as Annex 6 to the Convention. They became effective on 15 July 1949. The Standards and Recommended Practices were based on recommendations of the Operations Division at its first session in April 1946, which were further developed at the second session of the Division in February 1947.

Amendments to the Annex, which included additional Standards and Recommended Practices as well as modifications to existing Standards, and which were based on recommendations of the Operations Division at its third and fourth sessions in February–March 1949 and March–April 1951, were adopted by the Council on 5 December 1950 (Amendments 1–127), 4 December 1951 (Amendments 128–131), 28 November 1952 (Amendments 132 and 133), 2 December 1952 (Amendment 134), 20 October 1953 (Amendment 135), 23 February 1956 (Amendment 136), 8 May 1956 (Amendment 137) and 15 May 1956 (Amendment 138), and became effective on 1 June 1951, 1 May 1952, 1 April 1953, 1 May 1953, 1 March 1954, 1 July 1956, 1 September 1956 and 15 September 1956, respectively.

The Third Air Navigation Conference (Montréal, September–October 1956) made, among other things, a complete review of Chapter 5 of the Annex. As a result of those recommendations, their submission to all Contracting States, and their review by the Air Navigation Commission, a complete new text of Chapter 5 was adopted by the Council as Amendment 139 on 13 June 1957 and became effective on 1 October 1957.

Additionally, the Council adopted Amendment 140 on 13 June 1957, containing amendments to Chapter 6 covering the marking of break-in points on aircraft and the characteristics of navigation lights, to Chapter 8 respecting the qualification of persons to certify aircraft as airworthy, to Chapter 9 respecting the route and aerodrome qualification of pilots and to Chapter 10 respecting requirements for licensing of flight operations officers, which became effective on 1 October 1957. Subsequent to the issuance of the fifth edition, Amendment 141 (4.1.1 and 4.1.2) was adopted by the Council on 12 May 1958 and became applicable on 1 December 1958. On 8 December 1959 the Council adopted Amendment 142 relating to the provisions in Chapter 6 for the carriage of portable emergency radio transmitters. The Amendment became effective on 1 May 1960 and applicable on 1 August 1960. On 2 December 1960 the Council adopted Amendment 143 relating to the provisions in Chapter 4 for coordination of operational instructions involving a change in the air traffic control flight plan. The Amendment became effective on 1 April 1961 and applicable on 1 July 1961. On 24 March 1961 the Council adopted Amendment 144 relating to the establishment of limitations upon flight duty periods and provision of rest periods for flight crew members, and the Attachment to the Annex of guidance material on the establishment of flight time and flight duty period limitations and rest periods. The Amendment became effective on 1 August 1961 and applicable on 1 October 1961. The Council on 24 March 1961 approved Amendment 145 containing the Note under 6.2.2 a). On 13 December 1961 the Council adopted Amendments 146 and 147 and approved Amendment 148. These relate respectively to the modernizing of the specifications concerning the provision and use of oxygen supply systems, the installation of high intensity anti-collision lights on aeroplanes and to purely editorial changes respecting references to other documents. The Amendments became effective on 1 April 1962 and applicable on 1 July 1962. On 8 April 1963 the Council adopted Amendment 149. This Amendment related to the specification of the circumstances under which emergency and survival equipment shall be carried on long-range over-water flights. The Amendment became effective on 1 August 1963 and applicable on 1 November 1963.

As a result of the adoption of Amendment 150, a sixth edition of the Annex was published. This was necessitated by the extensive nature of the Amendment which followed recommendations of the Fourth Air Navigation Conference (Montréal, November–December 1965) for extensive revision of the Annex, chiefly with the aim of bringing it up to date to meet the operational needs of high performance turbo-jet aeroplanes. Furthermore, on the recommendation of the Conference, the applicability of the Annex is now limited to "aeroplanes" engaged in scheduled and non-scheduled international air transport operations. Previously this limitation applied only to non-scheduled international air transport operations. Amendment 150 was adopted by the Council on 14 December 1966, became effective on 14 April 1967 and applicable on 24 August 1967.

The Council, on 8 November 1967, adopted Amendment 151 which redefined "Aircraft" as a result of adoption by Council of Amendment 2 to Annex 7 to the Convention and amended 5.2.7.2.2 to cater for three-engined aeroplanes. The Amendment became effective on 8 March 1968 and applicable on 22 August 1968.

Amendment 152 was adopted by the Council on 23 January 1969. Besides revising certain paragraphs in Chapters 4, 7 and 8 to give them more precision, the Amendment also adds a provision in Chapter 4 which prohibits, when passengers are being carried, the in-flight simulation of emergency situations affecting the flight characteristics of the aeroplane. The opportunity presented by this Amendment was also taken to introduce changes to the Annex as a consequence of the adoption by the Council of a companion document — International Standards and Recommended Practices — *Operation of Aircraft* — Annex 6, Part II — *International General Aviation*. These changes consisted of designating this document, previously known as Annex 6, "Annex 6, Part I, First Edition". Amendment 152 became effective on 23 May 1969 and applicable on 18 September 1969.

Consequent to the adoption of Annex 6, Part III, *International Operations — Helicopters*, an amendment to the title was introduced to indicate that Annex 6, Part I was applicable only to aeroplanes.

Table A shows the origin of subsequent amendments together with a list of the principal subjects involved and the dates on which the Annex and the amendments were adopted by the Council, when they became effective and when they became applicable.

Applicability

The present edition of Annex 6, Part I, contains Standards and Recommended Practices adopted by the International Civil Aviation Organization as the minimum Standards applicable to the operation of aeroplanes by operators authorized to conduct international commercial air transport operations. These international commercial air transport operations include scheduled international air services and non-scheduled international air transport operations for remuneration or hire.

In conjunction, these two types of operations include all international air transport operations conducted for remuneration or hire by aeroplanes. The distinction between them lies in the fact that scheduled international air services are especially provided for in the Convention in contradistinction to international air transport operations in general, of which non-scheduled international air transport operations for remuneration or hire were considered most urgently to require the establishment of International Standards and Recommended Practices. It is no longer considered necessary to differentiate in the Standards and Recommended Practices between scheduled international air services and non-scheduled international air transport operations.

The purpose of Annex 6, Part I, is to contribute to the safety of international air navigation by providing criteria of safe operating practice and to contribute to the efficiency and regularity of international air navigation by encouraging States to facilitate the passage over their territories of aeroplanes in international commercial air transport belonging to other States that operate in conformity with such Standards.

Chapter 5

An element of the safety of an operation is the intrinsic safety of the aircraft, that is, its level of airworthiness. The level of airworthiness of an aircraft is, however, not fully defined by the application of the airworthiness Standards of Annex 8, but also requires the application of those Standards in the present Annex that are complementary to them.

As originally adopted and also as amended by Amendments 1 to 138, the Annex contained a chapter "Aeroplane Operating Limitations" which included general provisions applicable to the operation of all aeroplanes within the scope of the Annex, a section or sections applicable to aeroplanes certificated in ICAO categories according to the then existent Annex 8, and a section applicable to aeroplanes not so certificated.

At its fourth session, the Operations Division, collaborating with the Airworthiness Division, made, in addition to the proposals that resulted in Amendments 128 to 133, recommendations concerning the use of a performance code as an alternative to the one prescribed for ICAO Category A aeroplanes in which some essential climb values had the status of Recommended Practices. Further, the Airworthiness Division made recommendations concerning certain aspects of the certification in ICAO categories. As a result of those recommendations, the Council, on 2 December 1952, adopted Amendment 134 (which became effective 1 May 1953), and approved the incorporation of the alternative performance code, but stated its belief that since agreement had not yet been reached on Standards covering performance, there existed no basis for certification in ICAO Category A. It urged the Contracting States to refrain from such certification pending the becoming effective of Standards on performance or until such time as the Council decides on the basic policy on airworthiness.

The Assembly at its seventh session (June 1953) endorsed the action already taken by the Council and the Air Navigation Commission to initiate a fundamental study of ICAO policy on international airworthiness and directed the Council to complete the study as rapidly as practicable.

In pursuing such study, the Air Navigation Commission was helped by an international body of experts designated as the "Airworthiness Panel", which contributed to the preparation of the work of the Third Air Navigation Conference.

As a result of these studies, a revised policy on international airworthiness was developed and it was approved by the Council in 1956. According to this policy the principle of certification in an ICAO Category was abandoned. Instead, Annex 8 included broad Standards which defined, for application by the competent national authorities, the complete minimum international basis for the recognition by States of certificates of airworthiness for the purpose of the flight of aircraft of other States into or over their territories, thereby achieving, among other purposes, protection of other aircraft, third persons and property. It was considered that this met the obligation of the Organization under Article 37 of the Convention to adopt international Standards of airworthiness.

It was recognized that the ICAO Standards of airworthiness would not replace national regulations and that national codes of airworthiness containing the full scope and extent of detail considered necessary by individual States would be necessary as the basis for the certification of individual aircraft. Each State would establish its own comprehensive and detailed code of airworthiness or would select a comprehensive and detailed code established by another Contracting State. The level of airworthiness defined by this code would be indicated by the Standards, supplemented, if necessary, by Acceptable Means of Compliance.

A revised text consistent with the above principles was prepared for Chapter 5 of Annex 6. It included: a) broad Standards that were complementary to the Standards related to aeroplane performance in Annex 8; and b) two Acceptable Means of Compliance which illustrated by examples the level of performance intended by the broad Standards. To adopt a code giving an appreciably lower level of performance than that illustrated by these Acceptable Means of Compliance was considered to be a violation of the Standards in Chapter 5 of this Annex.

Present policy on international airworthiness. There had been some concern about the slow progress that had been made over the years with respect to developing supplementary airworthiness specifications in the form of Acceptable Means of Compliance. It was noted that the majority of the Acceptable Means of Compliance in Annexes 6 and 8 had been developed in 1957 and were therefore applicable to only those aeroplane types operating at that time. No effort had been made to update the

specifications in these Acceptable Means of Compliance nor had there been any recommendations from the Airworthiness Committee for upgrading of any of the Provisional Acceptable Means of Compliance, which had been developed as potential material for full-fledged Acceptable Means of Compliance. The Air Navigation Commission therefore requested the Airworthiness Committee to review the progress made by it since its inception with a view to determining whether or not desired results had been achieved and to recommend any changes to improve the development of detailed airworthiness specifications.

The Airworthiness Committee at its Ninth Meeting (Montréal, November/December 1970) made a detailed study of the problems and recommended that the concept of developing airworthiness specifications in the form of Acceptable Means of Compliance and Provisional Acceptable Means of Compliance be abandoned and a provision be made for an airworthiness technical manual to be prepared and published by ICAO to include guidance material intended to facilitate the development and uniformity of national airworthiness codes by Contracting States.

The Air Navigation Commission reviewed the recommendations of the Airworthiness Committee in the light of the history of the development of the airworthiness policy approved by the Council in 1956. It came to the conclusion that the basic objectives and principles on which the ICAO airworthiness policy had been based were sound and did not require any significant change. It was also concluded that the main reason for the slow progress in the development of airworthiness specifications in the form of Acceptable Means of Compliance and Provisional Acceptable Means of Compliance was the degree of mandatory status to the former implied by the following statement included in the Forewords of Annexes 6 and 8:

"To adopt a code giving an appreciably lower level of airworthiness than that given in an Acceptable Means of Compliance would be a violation of the Standard supplemented by that Acceptable Means of Compliance."

Several approaches were examined by the Air Navigation Commission to eliminate this difficulty. Finally, it came to the conclusion that the idea of developing airworthiness specifications in the form of Acceptable Means of Compliance and Provisional Acceptable Means of Compliance should be abandoned and ICAO should declare that the States' obligations, for the purpose of Article 33 of the Convention, shall be met by their compliance with the broad Standards in Annex 8 supplemented, as necessary, by airworthiness technical guidance material, devoid of all mandatory implications or obligations. Also the requirement that each Contracting State should either establish its own comprehensive and detailed code of airworthiness or select a comprehensive and detailed code established by another Contracting State should be retained.

The Council on 15 March 1972 approved the above approach to form the basis for the present policy of ICAO in the field of airworthiness.

It also approved the issuance of the airworthiness guidance material under the title of *Airworthiness Technical Manual*. It was understood that the guidance material would have no formal status and its main purpose would be to provide guidance to Contracting States in developing the detailed national airworthiness code mentioned in 2.2 of Part II of Annex 8.

With respect to Annex 6, Part I, it was agreed that the guidance material in the Acceptable Means of Compliance for Aeroplane Performance Operating Limitations should be edited suitably and retained in the Annex but in the form of an Attachment (green pages).

Performance Standards of Annex 8. Annex 8, Part IIIA, Chapter 2 and Part IIIB, Chapter 2 contain aeroplane performance Standards that are complementary to the Standards in Chapter 5 of this Annex. Both state broad objectives. The Council has urged Contracting States not to impose on visiting aeroplanes, not exempted by Article 41, operational requirements other than those established by the State of Registry, provided that those requirements assure the level of performance equivalent to that intended by the Standards of Chapter 5 of this Annex and the complementary Standards of Annex 8, Part IIIA, Chapter 2 and Part IIIB, Chapter 2.

In respect of aircraft exempted by Article 41, Chapter 5 of this Annex contains a Recommended Practice to the effect that the State of Registry should ensure that the level of performance specified in 5.2 applicable to aeroplanes not exempted should be met as far as practicable by those aeroplanes. The Council has urged Contracting States not to impose on visiting aircraft exempted by Article 41 requirements other than those established by the State of Registry provided that in establishing those

requirements the State of Registry complied with the Recommended Practice. These recommendations complement one made by the Council in respect of aircraft exempted by Article 41, to the effect that Contracting States apply as far as practicable to aeroplanes over 5 700 kg mass intended for the carriage of passengers or cargo or mail in international air navigation, the Standards of Parts IIIA and IIIB of Annex 8.

Action by Contracting States

Notification of differences. The attention of Contracting States is drawn to the obligation imposed by Article 38 of the Convention by which Contracting States are required to notify the Organization of any differences between their national regulations and practices and the International Standards contained in this Annex and any amendments thereto. Contracting States are invited to extend such notification to any differences from the Recommended Practices contained in this Annex, and any amendments thereto when the notification of such differences is important for the safety of air navigation. Further, Contracting States are invited to keep the Organization currently informed of any differences which may subsequently occur or of the withdrawal of any differences previously notified. A specific request for notification of differences will be sent to Contracting States immediately after the adoption of each Amendment to this Annex.

Attention of States is also drawn to the provision of Annex 15 related to the publication of differences between their national regulations and practices and the related ICAO Standards and Recommended Practices through the Aeronautical Information Service, in addition to the obligation of States under Article 38 of the Convention.

Promulgation of information. The establishment and withdrawal of and changes to facilities, services and procedures affecting aircraft operations provided in accordance with the Standards and Recommended Practices specified in this Annex should be notified and take effect in accordance with the provisions of Annex 15.

Status of Annex components

An Annex is made up of the following component parts, not all of which, however, are necessarily found in every Annex; they have the status indicated.

1.—*Material comprising the Annex proper*

a) *Standards* and *Recommended Practices* adopted by the Council under the provisions of the Convention. They are defined as follows:

Standard: Any specification for physical characteristics, configuration, matériel, performance, personnel or procedure, the uniform application of which is recognized as necessary for the safety or regularity of international air navigation and to which Contracting States will conform in accordance with the Convention; in the event of impossibility of compliance, notification to the Council is compulsory under Article 38.

Recommended Practice: Any specification for physical characteristics, configuration, matériel, performance, personnel or procedure, the uniform application of which is recognized as desirable in the interest of safety, regularity or efficiency of international air navigation, and to which Contracting States will endeavour to conform in accordance with the Convention.

b) *Appendices* comprising material grouped separately for convenience but forming part of the Standards and Recommended Practices adopted by the Council.

c) *Definitions* of terms used in the Standards and Recommended Practices which are not self-explanatory in that they do not have accepted dictionary meanings. A definition does not have an independent status but is an essential part of each Standard and Recommended Practice in which the term is used, since a change in the meaning of the term would affect the specification.

d) *Tables* and *Figures* which add to or illustrate a Standard or Recommended Practice and which are referred to therein, form part of the associated Standard or Recommended Practice and have the same status.

It is to be noted that some Standards in this Annex incorporate, by reference, other specifications having the status of Recommended Practices. In such cases, the text of the Recommended Practice becomes part of the Standard.

2.— *Material approved by the Council for publication in association with the Standards and Recommended Practices*

a) *Forewords* comprising historical and explanatory material based on the action of the Council and including an explanation of the obligations of States with regard to the application of the Standards and Recommended Practices ensuing from the Convention and the Resolution of Adoption;

b) *Introductions* comprising explanatory material introduced at the beginning of parts, chapters or sections of the Annex to assist in the understanding of the application of the text;

c) *Notes* included in the text, where appropriate, to give factual information or references bearing on the Standards or Recommended Practices in question but not constituting part of the Standards or Recommended Practices;

d) *Attachments* comprising material supplementary to the Standards and Recommended Practices or included as a guide to their application.

Selection of language

This Annex has been adopted in six languages — English, Arabic, Chinese, French, Russian and Spanish. Each Contracting State is requested to select one of those texts for the purpose of national implementation and for other effects provided for in the Convention, either through direct use or through translation into its own national language, and to notify the Organization accordingly.

Editorial practices

The following practice has been adhered to in order to indicate at a glance the status of each statement: *Standards* have been printed in light face roman; *Recommended Practices* have been printed in light face italics, the status being indicated by the prefix **Recommendation**; *Notes* have been printed in light face italics, the status being indicated by the prefix *Note*.

The following editorial practice has been followed in the writing of specifications: for Standards the operative verb “shall” is used, and for Recommended Practices the operative verb “should” is used.

The units of measurement used in this document are in accordance with the International System of Units (SI) as specified in Annex 5 to the Convention on International Civil Aviation. Where Annex 5 permits the use of non-SI alternative units, these are shown in parentheses following the basic units. Where two sets of units are quoted it must not be assumed that the pairs of values are equal and interchangeable. It may, however, be inferred that an equivalent level of safety is achieved when either set of units is used exclusively.

Any reference to a portion of this document, which is identified by a number and/or title, includes all subdivisions of that portion.

In order to maintain a comprehensive edition of this Annex, the latest amendments have been consolidated in a new edition of the Annex. In so doing, provisions with particular applicability dates have been adjusted editorially, as appropriate.

Table A. Amendments to Annex 6, Part I

Amendment	*Source(s)*	*Subject(s)*	*Adopted Effective Applicable*
1st Edition	Derived from Sixth Edition of Annex 6, including Amendment 152		23 January 1969 23 May 1969 18 September 1969
1 (2nd Edition)	Sixth Air Navigation Conference	a) A shift in emphasis of the responsibility for the establishment of minimum en-route flight altitudes from the operator to the State flown over; b) the requirement for additional instruments in aeroplanes operated as controlled VFR flights in the en-route phase; and, of special importance; c) the permitting of aeroplanes, when unable to navigate by visual references to landmarks, to be navigated by equipment other than radio navigation equipment, e.g. solely by self-contained navigation means, provided that certain equipment capabilities are met, thus eliminating any requirement for the carriage of radio navigation equipment.	25 May 1970 25 September 1970 4 February 1971
2	Special Meeting on Aircraft Noise in the Vicinity of Aerodromes (1969) and the Second Meeting of the Supersonic Transport Panel	a) The weight of an aeroplane at the start of take-off or at the expected time of landing not to exceed, except in prescribed circumstances, the relative maximum weights at which compliance has been demonstrated with the applicable Noise Certification Standards; b) the carriage on board an aeroplane of a document attesting noise certification; c) all aeroplanes intended to be operated above 15 000 m (49 000 ft) to carry equipment to measure and indicate continuously the total cosmic radiation being received.	2 April 1971 2 August 1971 6 January 1972
3	Council action in pursuance of Assembly Resolution A17-10	Inclusion in the Annex of a Recommended Practice to the effect that, in all passenger-carrying aeroplanes, the flight crew compartment door should be capable of being locked from within the compartment.	10 December 1971 10 April 1972 7 December 1972
4 (3rd Edition)	Air Navigation Commission Review of the Annex	a) Deletion from Chapter 2 of the provision which allowed, in prescribed circumstances, certain specifications classed as Standards to have the status of Recommended Practices; b) introduction of the term “Aerodrome operating minima” in lieu of “Aerodrome meteorological minima”; c) introduction of the terms “Decision height”, “Instrument meteorological conditions”, “Runway visual range” and “Visual meteorological conditions”; d) introduction of provisions to require the operator to provide a copy of its Operations Manual to the State of Registry and to incorporate in the Manual certain mandatory material; e) the inclusion of an updated list of the supplies, etc., to be carried aboard aircraft in first-aid kits; f) a change in the equivalent of 5 700 kg from 12 500 lb to 12 566 lb; g) the introduction of a reference to the need for certain types of aeroplanes to be equipped with a Mach number indicator; h) the introduction of a provision for cabin attendants to be seated and secured during certain prescribed flight phases.	27 June 1972 27 October 1972 1 March 1973

Amendment	*Source(s)*	*Subject(s)*	*Adopted* *Effective* *Applicable*
5	Seventh Air Navigation Conference	Inclusion in the Annex of definitions of "Flight recorder" and "Maximum weight" (of aircraft) and revision of the specifications for the carriage, recording parameters and operation of flight recorders.	29 May 1973 1 October 1973 23 May 1974
6	In pursuance of new policy on international airworthiness and action in pursuance of Assembly Resolution A18-16	Replacement of the Acceptable Means of Compliance on Aeroplane Performance Operating Limitations by guidance material on the subject in the form of an Attachment, and incorporation of provisions for emergency power supply to operate attitude indicating instruments on the total failure of the main electrical generating system. The opportunity presented by this Amendment was also taken to revise the Introductory Note to Chapter 3 of the Annex. The revision points to a practical method for States to discharge their functions in the cases of lease, charter, and interchange of aircraft in international operations.	30 October 1973 28 February 1974 23 May 1974
7	Council action in pursuance of Assembly Resolutions A17-10 and A18-10	Introduced provisions relating to practices to be followed in the event that an aircraft is being subjected to unlawful interference.	7 December 1973 7 April 1974 23 May 1974
8	Pursuant to an Air Navigation Commission study concerning the interception of civil aircraft	Introduced provisions designed to reduce the risk for intercepted aircraft.	4 February 1975 4 June 1975 9 October 1975
9	Accident Investigation and Prevention Divisional Meeting (1974). Fifth Meeting of the Supersonic Transport Panel. Consequent to amendments to Annexes 3 and 14	Introduced requirements for the safeguarding and preservation of flight recorder records of aeroplanes involved in accidents and incidents, provision and use of flight crew restraining devices, procedures to be followed in the event of excessive cosmic radiation exposure during flight, and the maintenance of records for crew members on total cosmic radiation dosages. The Amendment also provided amplification of the specifications for the type of timepiece required for operations in accordance with Instrument Flight Rules and controlled VFR flights and provided a cross-reference to guidance material on SST fuel supplies. The opportunity presented by this Amendment was also taken to introduce changes to the Annex as a result of the adoption by the Council of amendments to companion documents — Annex 3 and Annex 14. These changes consist of the elimination of reference to PANS-MET and the revision of the definitions of Aerodrome, Runway Visual Range, Take-off Run Available and Landing Distance Available.	7 April 1976 7 August 1976 30 December 1976
10	ASIA/PAC Regional Air Navigation Meeting (1973)	The requirement for the carriage of survival radio equipment over those areas in which search and rescue would be especially difficult to be determined by States rather than Regional Air Navigation Agreement.	16 June 1976 16 October 1976 6 October 1977
11	Seventh Air Navigation Conference and Air Navigation Commission Study	Required the operator to establish operational procedures designed to ensure that an aeroplane conducting precision approaches crosses the threshold by a safe margin.	23 June 1977 23 October 1977 23 February 1978
12	Air Navigation Commission Study	Required the fitting of ground proximity warning systems to certain aeroplanes.	15 December 1977 15 April 1978 10 August 1978
13	Air Navigation Commission Study	Required the fitting of seats with safety harness and their use by cabin attendants assigned emergency evacuation duties.	13 December 1978 13 April 1979 29 November 1979

Amendment	*Source(s)*	*Subject(s)*	*Adopted Effective Applicable*
14	Air Navigation Commission Study	New definition of "operational control" and introduction of requirements for navigation equipment to meet minimum navigation performance specifications (MNPS)	2 April 1980 2 August 1980 27 November 1980
15	Air Navigation Commission Study	Revision of the provisions relating to exterior lights to align with new provisions in Annexes 2 and 8, and requirements to include a checklist of emergency and safety equipment, including instructions for its use, in the Operations Manual.	22 March 1982 22 July 1982 25 November 1982
16 (4th Edition)	Third and Fourth meetings of the Operations Panel, AGA Divisional Meeting (1981), amendments consequent to adoption of Annex 18 and an Air Navigation Study	Introduced provisions related to aircraft operating procedures for noise abatement, development and use of instrument flight procedures, authority and competence to taxi aeroplanes and refuelling with passengers on board. Changes to the Annex were introduced as a result of adoption by the Council of Annex 18 in respect to the carriage of dangerous goods and requirements for crew training programmes in their carriage in commercial aeroplanes. The provisions in respect of aerodromes operating minima were revised to clarify the requirements and to include a requirement for RVR information. Units of measurement were brought in line with the provisions of Annex 5, and the Note in Chapter 3 concerning lease, charter and interchange was updated.	29 March 1983 29 July 1983 24 November 1983
17	Accident Prevention and Investigation Divisional Meeting, AIG (1979)	Revision of the provisions relating to flight recorders. Introduction of related guidance material in an attachment.	6 March 1985 29 July 1985 21 November 1985
18	Seventh meeting of the Obstacle Clearance Panel, Air Navigation Commission studies and a proposal by a State	Provision of climb performance data with all engines operating; extended range operations by aeroplanes with two engines; the provision of obstacle data; take-off alignment distance accountability.	25 March 1986 27 July 1986 20 November 1986
19 (5th Edition)	Air Navigation Commission review of the Annex, Stage I. Third meeting of the Visual Flight Rules Operations Panel. Air Navigation Commission Study	a) Introduction of new definitions for commercial air transport operations, air operator certificate, master minimum equipment list and minimum equipment list. Introduction of revised definitions of aerial work and general aviation to Annex 6, Part I. Revision of the definition of alternate aerodrome to introduce take-off, en-route and destination alternate aerodromes; b) elimination of the differences between the specifications for scheduled and non-scheduled operations; c) introduction of the concept of the applicability of Annex 6, Part I to the operation of aeroplanes by operators authorized to conduct international commercial air transport operations; d) requirements for the issue of an air operator certificate and the introduction of guidance material; e) requirements for the development of minimum equipment lists and the introduction of guidance material; f) requirements for the specification of alternate aerodromes; g) requirement for the provision of an aircraft operating manual; h) requirement for the operator to establish an accident prevention and flight safety programme and specifications related to carry-on baggage;	19 March 1990 30 July 1990 15 November 1990

Amendment	*Source(s)*	*Subject(s)*	*Adopted Effective Applicable*
		i) differentiation in Annex 6, Part I between operational and ATS flight plans;	
		j) requirements for the pilot-in-command to demonstrate knowledge of long-range navigation procedures where appropriate;	
		k) elimination of the term "controlled VFR flight" and recognition that a VFR flight may be a controlled flight;	
		l) amendment to Chapter 13 — Security to make the recommendation on the provision of a lockable flight crew compartment door applicable to all aeroplanes rather than only passenger-carrying aeroplanes, to require guidance material to support the aeroplane search procedure checklist, and to require the operator to establish a training programme for employees in preventative measures and techniques in relation to acts of sabotage or unlawful interference;	
		m) introduction of guidance material concerning flight data recording of important operational information in aeroplanes with electronic displays;	
		n) revision of the requirements for the contents of the operations manual;	
		o) requirements concerning carry-on baggage;	
		p) change of the expression "flight check system" to "checklist";	
20	Fifth meeting of the Operations Panel, Seventh and Eighth meetings of the Review of the General Concept of Separation Panel, Accident Investigation Divisional meeting (AIG/1992), Third meeting of the Continuing Airworthiness Panel, Air Navigation Commission studies	a) Revision of definitions of aerodrome operating minima, decision altitude/height, minimum descent altitude/height and obstacle clearance altitude/height;	21 March 1994 25 July 1994 10 November 1994
		b) introduction of new definitions for emergency locator transmitters (ELTs), required navigation performance (RNP) and RNP type;	
		c) introduction of the definition for the classification of instrument approach and landing operations;	
		d) introduction of a reference to the Continuing Airworthiness Manual;	
		e) revision of the requirements concerning the use of engraving metal foil flight data recorders;	
		f) introduction of carriage requirements for emergency locator transmitters (ELTs) to replace provisions regarding survival radio equipment and emergency location beacon;	
		g) introduction of a requirement that the navigation equipment carried shall enable the aircraft to proceed in accordance with RNP types prescribed for the intended route(s) or areas(s), provisions to permit the uniform implementation of 300 m (1 000 ft) VSM above FL 290 and reference to the requirements relating to operations in RNP airspace in the operations manual;	
		h) revision of the requirements concerning maintenance inspection, modifications and repairs and continuing airworthiness information.	

Amendment	*Source(s)*	*Subject(s)*	*Adopted Effective Applicable*
21 (6th Edition)	Air Navigation Commission studies, Fourteenth meeting of the Dangerous Goods Panel, editorial amendment, text alignment with Annex 6, Part II and/or Part III, consequential amendment	a) Introduction of new and revised definitions for cabin attendant, flight manual, large aeroplane, operations manual and small aeroplane; b) revision of the provisions concerning operating facilities, flight preparation, flight time, flight duty periods and rest periods for crew members, oxygen supply and extended range operations (ETOPS); c) new requirements for determining the length of the runway available; d) revised and new provisions concerning ground proximity warning systems (GPWS), medical supplies, oxygen equipment for aeroplanes on high altitude flights; e) revision of the provisions concerning aeroplanes operated in accordance with instrument flight rules (IFR); f) inclusion of references to the ICAO *Manual of Criteria for the Qualification of Flight Simulators* (Doc 9625) and new requirements for the flight crew training programme concerning knowledge and skills related to human performance and limitations; g) revision of the denomination of flight operations officer to align with Annex 1; h) revision of the contents of the operations manual and new provisions concerning aerodrome operating minima, oxygen supply, flight and duty time limitations, departure contingency procedures, instructions for mass and balance control and instructions and training requirements for the avoidance of controlled flight into terrain (CFIT) and policy for the use of ground proximity warning systems (GPWS); i) new provisions on flight time, flight duty periods and rest periods for cabin attendants and revision of the provisions concerning training; and j) revised and new requirements related to the incorporation of security into aircraft design.	8 March 1995 24 July 1995 9 November 1995
22	Fourth and Fifth meetings of the Secondary Surveillance Radar Improvements and Collision Avoidance Systems Panel (SICASP/4 and 5)	Requirements concerning pressure-altitude reporting transponders and carriage of airborne collision avoidance systems (ACAS).	19 February 1996 15 July 1996 7 November 1996
23 (7th Edition)	First meeting of the Flight Recorder Panel, Fourth meeting of the Continuing Airworthiness Panel, ICAO and Industry CFIT Task Force, Air Navigation Commission studies, Amendment 162 to Annex 1, Amendment 38 to Annex 11, editorial amendment	a) Introduction of new and revised definitions for aircraft operating manual, configuration deviation list, ETOPS en-route alternate, Human Factors principles, human performance, master minimum equipment list, maintenance, psychoactive substances and required navigation performance; b) revision of the notes concerning lease and interchange; c) new and revised requirements concerning flight recorders; d) introduction of a note concerning the use of psychoactive substances; e) new provisions concerning airborne forward-looking wind shear warning systems;	19 March 1998 20 July 1998 5 November 1998

Amendment	*Source(s)*	*Subject(s)*	*Adopted Effective Applicable*
		f) new and revised provisions concerning continuing airworthiness to reflect the use of approved maintenance organizations and to clarify the responsibilities of the operator and the maintenance organization;	
		g) new and revised provisions concerning the content of an operations manual relocated in an appendix and a new Recommended Practice for the carriage of the ground proximity warning system in piston-engined aeroplanes;	
		h) new provisions concerning the responsibility of States with regard to supervision of operations subject to an air operator certificate, acceptance of an operations manual and establishment of a system for certification and continued surveillance of the operator;	
		i) new provisions related to the de-icing/anti-icing of aircraft on the ground, aeroplane performance operating limitations, mass limitation, sensitive pressure altimeters and recent experience of the co-pilot;	
		j) new provisions concerning the carriage of airborne collision avoidance (ACAS) systems and pressure-altitude reporting transponders; and	
		k) new provisions concerning Human Factors.	
24	Second meeting of the Flight Recorder Panel, 32nd Session of the Assembly, Air Navigation Commission studies	a) Change of terminology from “cabin attendant” to “cabin crew”; b) revised definitions; c) new provisions concerning the mandatory carriage of ELTs operating on 406 MHz and 121.5 MHz, the addition of a predictive terrain hazard warning function to the ground proximity warning system (GPWS) and the introduction of an implementation date for the recording of digital communications.	15 March 1999 19 July 1999 4 November 1999
25	Air Navigation Commission studies	a) Revised definitions; and b) introduction of requirements for the provision of RVR and criteria for instrument approach operations, and revision of the duties of the pilot-in-command.	15 March 2000 17 July 2000 2 November 2000
26 (8th Edition)	Second meeting of the Flight Recorder Panel, AIG Divisional Meeting (1999), Third meeting of the Global Navigation Satellite System Panel, Fifth meeting of the Continuing Airworthiness Panel, Air Navigation Commission studies	a) Update of the provisions pertaining to flight recorders, including the recording of digital communications; FDR requirements for new aircraft; revised parameter listings; introduction of two-hour duration CVRs; b) new provisions pertaining to flight data analysis programmes; c) amendment of the classification of instrument approach and landing operations; d) new provisions pertaining to approach with vertical guidance (APV) operations; e) new definitions and update of provisions pertaining to maintenance-related requirements; and f) translation into English of documents attesting noise certification.	9 March 2001 16 July 2001 1 November 2001

Amendment	*Source(s)*		*Subject(s)*	*Adopted Effective Applicable*
27	Air Navigation Commission studies	a)	Revised requirements for the ground proximity warning system (GPWS) and forward looking terrain avoidance function; and	15 March 2002 15 July 2002 28 November 2002
		b)	new and revised requirements for the incorporation of security into aircraft design.	
28	Separation and Airspace Safety Panel and Air Navigation Commission studies	a)	New provision concerning language proficiency requirements;	13 March 2003 14 July 2003 27 November 2003
		b)	new definition and provision concerning an operator's flight safety documents system;	
		c)	new definition and provisions concerning the safety aspects of ground handling arrangements;	
		d)	authorization by the State of the Operator for operations in RNP airspace; and	
		e)	new provision concerning the content of an operations manual in regard to the airborne collision avoidance system (ACAS).	
29	Sixth meeting of the Operations Panel, and the Separation and Airspace Safety Panel	a)	New definitions related to reduced vertical separation minimum (RVSM) operations and cruise relief pilots;	9 March 2005 11 July 2005 24 November 2005
		b)	new Standards 4.9.1 and 4.9.2, concerning single pilot operations under instrument flight rules (IFR) or at night;	
		c)	an exception to the operating limitations in 5.1.2 for approved single-engine turbine-powered aeroplanes;	
		d)	new Standards 5.4.1 and 5.4.2, specifying requirements for approval of commercial operations by single-engine turbine-powered aeroplanes in instrument meteorological conditions (IMC) or at night;	
		e)	new Standard 6.22, specifying aeroplane equipment requirements for single pilot operations under instrument flight rules (IFR) or at night;	
		f)	amendments to 7.2.4 regarding flight levels for reduced vertical separation minimum (RVSM) operations, and new Standards 7.2.5, 7.2.6 and 7.2.7, specifying the responsibility of the relevant State authority to take prompt and appropriate action if the monitoring results indicate that the height-keeping performance of a particular aircraft or an aircraft type group exceeds the prescribed limits;	
		g)	new Standards 7.4.1 and 7.4.2 concerning operator management of electronic navigation data products;	
		h)	amendments to Standards 9.4.1 and 9.4.2 concerning recent experience of the pilot-in-command, co-pilot and cruise relief pilot;	
		i)	amendments to Standards 9.4.3.5 and 9.4.3.6, concerning area, route and aerodrome qualifications of the pilot-in-command;	
		j)	new Standard 9.4.5.1 requiring States to specify requirements applicable to single pilot operations under the instrument flight rules or at night;	
		k)	a new Recommended Practice 9.4.5.2, specifying pilot-in-command experience and training requirements for single pilot operations under the instrument flight rules or at night;	

Amendment	*Source(s)*	*Subject(s)*	*Adopted Effective Applicable*
		l) amendments to Appendix 2, regarding the contents of operations manuals in relation to area, route and aerodrome qualifications of the pilot-in-command, and maximum crosswind and tailwind operating limits; and	
		m) a new Appendix 3 specifying additional requirements for approved operations by single-engine turbine-powered aeroplanes at night and/ or in IMC, and a new Appendix 4 regarding the height-keeping performance criteria for operations in RVSM airspace.	
30	First meeting of the Surveillance and Conflict Resolution Systems Panel, Second meeting of the Flight Crew Licensing and Training Panel, Fourteenth meeting of the Obstacle Clearance Panel, a proposal by the United States, Council request, Assembly Resolution A35-17, 35th Session of the Assembly, and the Eleventh Air Navigation Conference	a) The carriage of altitude encoders with higher resolution; b) pilot recent experience and proficiency check requirements, cross-crew qualification and cross-crediting of experience, evaluation of competency, threat and error management and the biannual pilot proficiency check; c) pilot awareness of operational requirements determined by procedure design; d) qualifications for flight operations officers/flight dispatchers and the critical elements of a State regulatory system; e) the carriage of a copy of the air operator certificate in aircraft; f) legal guidance for the protection of information from safety data collection and processing systems; and g) safety management provisions and references to new guidance material on the concept of acceptable level of safety.	14 March 2006 17 July 2006 23 November 2006
31	First meeting of the Operational Data Link Panel (OPLINKP/1), First meeting of the Surveillance and Conflict Resolution Systems Panel (SCRSP/1) and Air Navigation Commission study	a) Amendments to Standards to facilitate implementation of the available technology in relation to the use of automatic dependent surveillance — contract (ADS-C) and to the introduction of required communication performance (RCP) in the provision of air traffic services (ATS); b) amendment of existing provisions related to the mandatory carriage requirements of emergency locator transmitters (ELTs) as of 1 July 2008; and c) changes to Standards related to pressure-altitude data sources used by transponders as of 1 January 2009 and 1 January 2012.	14 March 2007 16 July 2007 22 November 2007 1 July 2008 1 January 2009 1 January 2012
32	Secretariat; Secretariat with the assistance of the Required Navigation Performance and Special Operational Requirements (RNPSOR) Study Group	a) Amendments to definitions and Standards and Recommended Practices to strengthen the oversight and requirements of foreign operators and to harmonize the air operator certificate in content and, as of 1 January 2010, in layout; and b) amendments to definitions and Standards to align required navigation performance (RNP) and area navigation (RNAV) terminology with the performance-based navigation (PBN) concept.	3 March 2008 20 July 2008 20 November 2008 1 January 2010
33-A	Seventh meeting of the Operations Panel (OPSP/7); Secretariat with the assistance of the Medical Provisions Study Group (MPSG)	a) Amendment to the definition of “approach and landing operations using instrument approach procedures” to show a reduced RVR requirement for CAT II and CAT IIIA and B; b) amendment to the provisions regarding limits for flight time, duty periods, flight duty periods and rest periods for fatigue management; c) new provisions for climbs and descents to reduce unnecessary ACAS II resolution advisories;	2 March 2009 20 July 2009 19 November 2009

Amendment	*Source(s)*	*Subject(s)*	*Adopted Effective Applicable*
		d) clarification of training requirements for cabin crews in the awareness of dangerous goods; e) clarification of fuel and oil requirements in 4.3.6.2 and 4.3.6.3 with respect to distinguishing these requirements for piston-engined aeroplanes and for turbine-engined aeroplanes (turbo-jet and turbo-propeller); f) updated guidance on the level of performance intended by the provisions of Chapter 5; g) new Note in Appendix 2, 2.1.21 on the need to implement instructions on the use of autopilots and auto-throttles in IMC as an essential part of the CFIT and ALAR programmes; and h) amendment introducing changes to Annex 6 in order to better reflect the contemporary needs regarding on-board medical supplies.	
33-B	Secretariat	Amendment concerning the development of harmonized provisions relating to safety management by introducing a framework for the implementation and maintenance of a State safety programme as of 18 November 2010.	2 March 2009 20 July 2009 18 November 2010
34 (9th Edition)	Secretariat, with the assistance of the Separation and Airspace Safety Panel (SASP); ninth meeting of the Operations Panel Working Group of the Whole (OPSP/WG/WHL/9); twelfth meeting of the Airworthiness Panel Working Group of the Whole (AIRP/WG/WHL/12); Secretariat, with the assistance of the second meeting of the Flight Recorder Panel Working Group of the Whole (FLIRECP/WG/WHL/2)	a) Amendment to the provisions concerning reduced vertical separation minimum (RVSM) long-term monitoring requirements; b) new provisions for head-up displays (HUD)/enhanced vision systems (EVS); c) amendment to provisions to provide consistency in propulsion terminology; and d) new and updated provisions regarding flight recorders.	26 February 2010 12 July 2010 18 November 2010
35	Ninth and tenth meetings of the Operations Panel Working Group of the Whole (OPSP/WGWHL/9 and 10); Fatigue Risk Management Task Force (FRMSTF); Secretariat, in order to implement the Council mandate, pursuant to Assembly Resolution A37-9	a) amendment to the operational requirements related to the availability of rescue and fire-fighting services (RFFS) at departure, destination or alternate aerodromes; b) new requirements for the development and implementation of fatigue risk management systems; and c) a halon replacement agent in lavatory fire extinguishers on or after 31 December 2011 and 31 December 2016 for hand-held fire extinguishers.	13 June 2011 30 October 2011 15 December 2011

Amendment	*Source(s)*	*Subject(s)*	*Adopted Effective Applicable*
36	Secretariat, with the assistance of the third meeting of the Flight Recorder Panel Working Group of the Whole (FLIRECP/WG/WHL/3); Secretariat, with the assistance of the Special Operations Task Force (SOTF); Secretariat, in consultation with the Operations Panel (OPSP)	Amendment concerning: a) carriage requirements for flight recorders; b) extended diversion time operations (EDTO); and c) fuel planning, in-flight fuel management and the selection of alternate aerodromes; d) minimum fuel and MAYDAY fuel declarations.	7 March 2012 16 July 2012 15 November 2012
37-A	Twelfth meeting of the Operations Panel Working Group of the Whole (OPSP/WG/WHL/12); Special Meeting of the Safety Management Panel (SMP/SM/1)	Amendment concerning: a) approach ban; b) the transfer of safety management provisions to Annex 19.	25 February 2013 15 July 2013 14 November 2013
37-B	Secretariat supported by the Approach Classification Task Force (ACTF) in coordination with the Aerodromes Panel (AP), the Instrument Flight Procedure Panel (IFPP), the Navigation Systems Panel (NSP) and the Operations Panel (OPSP)	Amendment concerning provisions related to instrument approach operations and procedures as a result of the new approach classification.	25 February 2013 15 July 2013 13 November 2014
38	Secretariat; seventh, eighth, ninth, tenth and eleventh meetings of the Instrument Flight Procedures Panel Working Group of the Whole (IFPP/WG-WHL/7, 8, 9, 10 and 11); fifteenth meeting of the Operations Panel Working Group of the Whole (OPSP/WG/WHL/15); fifth meeting of the Flight Recorder Panel Working Group of the Whole (FLIRECP/WG/WHL/5)	Amendment concerning: a) upset prevention and recovery training; b) procedure design criteria and charting requirements to support PBN; c) harmonization of provisions concerning EFBs, dangerous goods, HUDs and vision systems, and fuel use; d) flight recorder requirements to: include provisions for automatic deployable flight recorder containers; reference updated EUROCAE Minimum Operational Performance Specifications (MOPS); include the use of Class C lightweight airborne image recording systems (AIRS); and include less stringent inspection requirements for flight recorder systems.	3 March 2014 14 July 2014 13 November 2014

Amendment	*Source(s)*	*Subject(s)*	*Adopted Effective Applicable*
39	Second High-level Safety Conference (HLSC 2015) and Multidisciplinary Meeting regarding Global Flight Tracking (MMGFT)	Amendment concerning provisions related to normal aircraft tracking	10 November 2015 20 March 2016 8 November 2018
40-A (Tenth Edition)	Secretariat, with the assistance of the Fatigue Risk Management System Task Force (FRMSTF); first meeting of the Flight Operations Panel (FLTOPSP/1); Secretariat, with the assistance of the seventh meeting of the Flight Recorder Panel (FLIRECP/7); second meeting of the Operational Data Link Panel (OPLINKP/2)	Amendment concerning: a) fatigue management approaches; b) harmonization and alignment of terms and language, updated performance-based navigation (PBN) provisions, enhanced vision systems (EVS), and cargo compartment fire suppression considerations for diversion; c) automatic deployable flight recorder (ADFR); flight recorder data recovery; extended duration of cockpit voice recorder; and location of aeroplane in distress; and d) performance-based communication and surveillance (PBCS).	2 March 2016 11 July 2016 10 November 2016
40-B	Group of Experts on Protection of Accident and Incident Records (GEPAIR) stemming from the work of the Safety Information Protection Task Force (SIP TF); Secretariat, as a result of the amendment to Annex 19 proposed by the first meeting of the Safety Management Panel (SMP/1) and the Safety Information Protection Task Force (SIP TF)	Amendment concerning: a) protection of flight recorder recordings in normal operations; and b) protection of safety data, safety information and related sources.	2 March 2016 11 July 2016 7 November 2019
40-C	Friction Task Force (FTF) of the Aerodrome Design and Operations Panel (ADOP)	Amendment concerning the use of an enhanced global reporting format for assessing and reporting runway surface conditions.	2 March 2016 11 July 2016 5 November 2020
41	39th Session of the Assembly	Amendment concerning the applicability date for halon agent replacement in portable fire extinguishers.	25 November 2016 2 February 2017 27 April 2017

Amendment	*Source(s)*	*Subject(s)*	*Adopted Effective Applicable*
42	Secretariat with the assistance of the Normal Aircraft Tracking Implementation Initiative/2 (NATII/2)	Amendment concerning a proposal developed by the Secretariat with the assistance of the Normal Aircraft Tracking Implementation Initiative/2 (NATII/2) to amend the Standards and Recommended Practices (SARPs) with the introduction of an additional SARP to facilitate the practical implementation of normal tracking provisions.	27 February 2017 10 July 2017 8 November 2018
43 (11th Edition)	Third meeting of the Flight Operations Panel (FLTOPSP/3); ninth meeting of the Flight Recorder Specific Working Group (FLIRECSWG/9); Secretariat, in consultation with the Aviation Security Panel (AVSECP) and the FLTOPSP; third and fourth meeting of the Airworthiness Panel (AIRP/3 and AIRP/4); Helicopter Fatigue Management Task Force (Heli FM TF) and recommendation by FLTOPSP/3; Twelfth meeting of the Aeronautical Information Service (AIS) to Aeronautical Information Management (AIM) Study Group (AIS-AIMSG); and thirteenth meeting of the Instrument Flight Procedure Panel (IFPP/13)	a) guidance on rescue and fire-fighting services (RFFS) and helicopter refuelling; b) flight recorder requirements to include: flight crew-machine interface recordings including CVR and AIR erase function; additional FDR parameters to be recorded; and simplification of flight recorder provisions; c) security of the flight crew compartment; d) approval and global recognition of approved maintenance organizations (AMOs) (Phases I and II) and the introduction of the electronic aircraft maintenance records (EAMR) framework; e) harmonization and alignment of fatigue management SARPs; f) amendment as a result of the review and restructuring of Annex 15 — Aeronautical Information Services and the introduction of PANS-AIM (Doc 10066); and g) amendments as a result of the restructuring of the Procedures for Air Navigation Services — Aircraft Operations, Volume I — Flight Procedures (Doc 8168).	7 March 2018 16 July 2018 8 November 2018
44	Fourth meeting of the Flight Operations Panel (FLTOPSP/4); tenth and eleventh meetings of the Flight Recorder Specific Working Group (FLIRECSWG/10 and FLIRECSWG/11); and 40th Session of the Assembly.	a) all-weather operations, conflict zones, aerodrome operating minima, continuous descent final approach, harmonization of terms for authorizations, acceptance and approvals (AAA), cargo compartment, ground proximity warning system (GPWS), life jackets and Article 83 *bis*; b) recording duration for CARS, image and data link data to be recorded on FDR/CVR, reliable power source for lightweight flight recorders, additional parameters for ADRS, bit error rate recording inspections and DLR and DLRS recording inspections; c) recording of data link communications messages; and d) location of an aircraft in distress.	6 March 2020 20 July 2020 5 November 2020

Amendment	*Source(s)*	*Subject(s)*	*Adopted/approved* *Effective* *Applicable*
45	Eighth Meeting of the 220th Session of the ICAO Council	Postponement of the applicability date of Amendment 40-C: Amendment concerning the use of an enhanced global reporting format for assessing and reporting runway surface conditions.	19 June 2020 30 September 2020 4 November 2021
46	Special meeting of the Flight Recorder Specific Working Group (FLIRECSWG).	Twenty-five hour recording duration for CVR.	4 November 2020 15 February 2021 25 March 2021
47 (12th edition)	Fifth and sixth meetings of the Flight Operations Panel (FLTOPSP/5 and 6); and the twelfth meeting of the Flight Recorder Specific Working Group (FLIRECSWG/12).	a) the availability of flight recorder maintenance documentation and the recalibration intervals of flight data recorder (FDR) sensors; and b) extended diversion time operations (EDTO), infant life jackets, ground proximity warning systems (GPWS), runway overrun awareness and alerting systems (ROAAS) and operational credit.	7 March 2022 18 July 2022 3 November 2022
48	Secretariat, based on information provided by industry.	Location of an aeroplane in distress.	18 July 2022 18 November 2022 29 December 2022 1 January 2025
49	Seventh meeting of the Flight Operations Panel (FLTOPSP/7); and fourth meeting of the Air Traffic Management Requirements and Performance Panel (ATMRPP/4)	a) flight data analysis programmes (FDAP); and b) definitions related to flight plans and initial implementation of the flight and flow – information for a collaborative environment (FF-ICE) services.	18 March 2024 22 July 2024 28 November 2024
50	Fifth meeting of the Meteorology Panel (METP/5)	Consequential changes for the alignment of references to the new PANS-MET (Doc 10157).	2 April 2025 — 27 November 2025

INTERNATIONAL STANDARDS AND RECOMMENDED PRACTICES

CHAPTER 1. DEFINITIONS

When the following terms are used in the Standards and Recommended Practices for operation of aircraft in international commercial air transport, they have the following meanings:

Accelerate-stop distance available (ASDA). The length of the take-off run available plus the length of stopway, if provided.

Advanced aircraft. An aircraft with equipment in addition to that required for a basic aircraft for a given take-off, approach or landing operation.

Aerial work. An aircraft operation in which an aircraft is used for specialized services such as agriculture, construction, photography, surveying, observation and patrol, search and rescue, aerial advertisement, etc.

Aerodrome. A defined area on land or water (including any buildings, installations and equipment) intended to be used either wholly or in part for the arrival, departure and surface movement of aircraft.

Aerodrome operating minima. The limits of usability of an aerodrome for:

a) take-off, expressed in terms of runway visual range and/or visibility and, if necessary, cloud conditions;

b) landing in 2D instrument approach operations, expressed in terms of visibility and/or runway visual range, minimum descent altitude/height (MDA/H) and, if necessary, cloud conditions; and

c) landing in 3D instrument approach operations, expressed in terms of visibility and/or runway visual range and decision altitude/height (DA/H) as appropriate to the type and/or category of the operation.

Aeroplane. A power-driven heavier-than-air aircraft, deriving its lift in flight chiefly from aerodynamic reactions on surfaces which remain fixed under given conditions of flight.

Agreement summary. When an aircraft is operating under an Article 83 *bis* agreement between the State of Registry and another State, the agreement summary is a document transmitted with the Article 83 *bis* Agreement registered with the ICAO Council that identifies succinctly and clearly which functions and duties are transferred by the State of Registry to that other State.

Note.— The other State in the above definition refers to the State of the Operator for commercial air transport operations.

Aircraft. Any machine that can derive support in the atmosphere from the reactions of the air other than the reactions of the air against the earth's surface.

Aircraft operating manual. A manual, acceptable to the State of the Operator, containing normal, abnormal and emergency procedures, checklists, limitations, performance information, details of the aircraft systems and other material relevant to the operation of the aircraft.

Note.— The aircraft operating manual is part of the operations manual.

Aircraft tracking. A process, established by the operator, that maintains and updates, at standardized intervals, a ground-based record of the four dimensional position of individual aircraft in flight.

Air operator certificate (AOC). A certificate authorizing an operator to carry out specified commercial air transport operations.

Air traffic service (ATS). A generic term meaning variously, flight information service, alerting service, air traffic advisory service, air traffic control service (area control service, approach control service or aerodrome control service).

Airworthy. The status of an aircraft, engine, propeller or part when it conforms to its approved design and is in a condition for safe operation.

Alternate aerodrome. An aerodrome to which an aircraft may proceed when it becomes either impossible or inadvisable to proceed to or to land at the aerodrome of intended landing where the necessary services and facilities are available, where aircraft performance requirements can be met and which is operational at the expected time of use. Alternate aerodromes include the following:

Take-off alternate. An alternate aerodrome at which an aircraft would be able to land should this become necessary shortly after take-off and it is not possible to use the aerodrome of departure.

En-route alternate. An alternate aerodrome at which an aircraft would be able to land in the event that a diversion becomes necessary while en route.

Destination alternate. An alternate aerodrome at which an aircraft would be able to land should it become either impossible or inadvisable to land at the aerodrome of intended landing.

Note.— The aerodrome from which a flight departs may also be an en-route or a destination alternate aerodrome for that flight.

Altimetry system error (ASE). The difference between the altitude indicated by the altimeter display, assuming a correct altimeter barometric setting, and the pressure altitude corresponding to the undisturbed ambient pressure.

Appropriate airworthiness requirements. The comprehensive and detailed airworthiness codes established, adopted or accepted by a Contracting State for the class of aircraft, engine or propeller under consideration.

Appropriate ATS authority. The relevant authority designated by the State responsible for providing air traffic services in the airspace concerned.

Area navigation (RNAV). A method of navigation which permits aircraft operation on any desired flight path within the coverage of ground- or space-based navigation aids or within the limits of the capability of self-contained aids, or a combination of these.

Note.— Area navigation includes performance-based navigation as well as other operations that do not meet the definition of performance-based navigation.

Basic aircraft. An aircraft which has the minimum equipment required to perform the intended take-off, approach or landing operation.

Cabin crew member. A crew member who performs, in the interest of safety of passengers, duties assigned by the operator or the pilot-in-command of the aircraft, but who shall not act as a flight crew member.

COMAT. Operator material carried on an operator's aircraft for the operator's own purposes.

Combined vision system (CVS). A system to display images from a combination of an enhanced vision system (EVS) and a synthetic vision system (SVS).

Commercial air transport operation. An aircraft operation involving the transport of passengers, cargo or mail for remuneration or hire.

Configuration deviation list (CDL). A list established by the organization responsible for the type design with the approval of the State of Design which identifies any external parts of an aircraft type which may be missing at the commencement of a flight, and which contains, where necessary, any information on associated operating limitations and performance correction.

Contaminated runway. A runway is contaminated when a significant portion of the runway surface area (whether in isolated areas or not) within the length and width being used is covered by one or more of the substances listed in the runway surface condition descriptors.

Note.— Further information on runway surface condition descriptors can be found in the Annex 14, Volume I — *Definitions.*

Continuing airworthiness. The set of processes by which an aircraft, engine, propeller or part complies with the applicable airworthiness requirements and remains in a condition for safe operation throughout its operating life.

Continuing airworthiness records. Records which are related to the continuing airworthiness status of an aircraft, engine, propeller or associated part.

Continuous descent final approach (CDFA). A technique, consistent with stabilized approach procedures, for flying the final approach segment (FAS) of an instrument non-precision approach (NPA) procedure as a continuous descent, without level-off, from an altitude/height at or above the final approach fix altitude/height to a point approximately 15 m (50 ft) above the landing runway threshold or the point where the flare manoeuvre begins for the type of aircraft flown; for the FAS of an NPA procedure followed by a circling approach, the CDFA technique applies until circling approach minima (circling OCA/H) or visual flight manoeuvre altitude/height are reached.

Crew member. A person assigned by an operator to duty on an aircraft during a flight duty period.

Cruise relief pilot. A flight crew member who is assigned to perform pilot tasks during cruise flight, to allow the pilot-in-command or a co-pilot to obtain planned rest.

Cruising level. A level maintained during a significant portion of a flight.

Current flight plan (CPL). The flight plan that reflects changes to the filed flight plan, if any, by subsequent ATC clearances.

Dangerous goods. Articles or substances which are capable of posing a risk to health, safety, property or the environment and which are shown in the list of dangerous goods in the Technical Instructions or which are classified according to those Instructions.

Note.— Dangerous goods are classified in Annex 18, Chapter 3.

Decision altitude (DA) or ***decision height (DH).*** A specified altitude or height in a 3D instrument approach operation at which a missed approach must be initiated if the required visual reference to continue the approach has not been established.

Note 1.— Decision altitude (DA) is referenced to mean sea level and decision height (DH) is referenced to the threshold elevation.

Note 2.— The required visual reference means that section of the visual aids or of the approach area which should have been in view for sufficient time for the pilot to have made an assessment of the aircraft position and rate of change of position, in relation to the desired flight path. In Category III operations with a decision height the required visual reference is that specified for the particular procedure and operation.

Note 3.— For convenience where both expressions are used they may be written in the form "decision altitude/height" and abbreviated "DA/H".

Dry runway. A runway is considered dry if its surface is free of visible moisture and not contaminated within the area intended to be used.

Duty. Any task that flight or cabin crew members are required by the operator to perform, including, for example, flight duty, administrative work, training, positioning and standby when it is likely to induce fatigue.

Duty period. A period which starts when a flight or cabin crew member is required by an operator to report for or to commence a duty and ends when that person is free from all duties.

EDTO critical fuel. The fuel quantity necessary to fly to an en-route alternate aerodrome considering, at the most critical point on the route, the most limiting system failure.

Note.— Guidance on EDTO critical fuel scenarios is contained in the Extended Diversion Time Operations (EDTO) Manual *(Doc 10085).*

EDTO significant system. An aeroplane system whose failure or degradation could adversely affect the safety particular to an EDTO flight, or whose continued functioning is specifically important to the safe flight and landing of an aeroplane during an EDTO diversion.

Electronic flight bag (EFB). An electronic information system, comprised of equipment and applications for flight crew, which allows for the storing, updating, displaying and processing of EFB functions to support flight operations or duties.

Emergency locator transmitter (ELT). A generic term describing equipment which broadcast distinctive signals on designated frequencies and, depending on application, may be automatically activated by impact or be manually activated. An ELT may be any of the following:

Automatic fixed ELT (ELT(AF)). An automatically activated ELT which is permanently attached to an aircraft.

Automatic portable ELT (ELT(AP)). An automatically activated ELT which is rigidly attached to an aircraft but readily removable from the aircraft.

Automatic deployable ELT (ELT(AD)). An ELT which is rigidly attached to an aircraft and which is automatically *deployed* and activated by impact, and, in some cases, also by hydrostatic sensors. Manual deployment is also provided.

Survival ELT (ELT(S)). An ELT which is removable from an aircraft, stowed so as to facilitate its ready use in an *emergency*, and manually activated by survivors.

Engine. A unit used or intended to be used for aircraft propulsion. It consists of at least those components and equipment necessary for functioning and control, but excludes the propeller/rotors (if applicable).

Enhanced vision system (EVS). A system to display electronic real-time images of the external scene achieved through the use of image sensors.

Note.— EVS does not include night vision imaging systems (NVIS).

Extended diversion time operations (EDTO). Any operation by an aeroplane with two or more turbine engines where the diversion time to an en-route alternate aerodrome is greater than the threshold time established by the State of the Operator.

Fatigue. A physiological state of reduced mental or physical performance capability resulting from sleep loss, extended wakefulness, circadian phase, and/or workload (mental and/or physical activity) that can impair a person's alertness and ability to perform safety-related operational duties.

Fatigue Risk Management System (FRMS). A data-driven means of continuously monitoring and managing fatigue-related safety risks, based upon scientific principles and knowledge as well as operational experience that aims to ensure relevant personnel are performing at adequate levels of alertness.

Filed flight plan (FPL or eFPL). The latest flight plan as submitted by the pilot, an operator or a designated representative for use by ATS units.

Note.— The FPL denotes a filed flight plan exchanged using aeronautical fixed service while eFPL denotes a filed flight plan exchanged using flight and flow – information for a collaborative environment (FF-ICE) services. The eFPL allows for the exchange of additional information not contained within the FPL.

Final approach segment (FAS). That segment of an instrument approach procedure in which alignment and descent for landing are accomplished.

Flight crew member. A licensed crew member charged with duties essential to the operation of an aircraft during a flight duty period.

Flight data analysis. A process of analysing recorded flight data in order to improve the safety of flight operations.

Flight duty period. A period which commences when a flight or cabin crew member is required to report for duty that includes a flight or a series of flights and which finishes when the aircraft finally comes to rest and the engines are shut down at the end of the last flight on which he/she is a crew member.

Flight manual. A manual, associated with the certificate of airworthiness, containing limitations within which the aircraft is to be considered airworthy, and instructions and information necessary to the flight crew members for the safe operation of the aircraft.

Flight operations officer/flight dispatcher. A person designated by the operator to engage in the control and supervision of flight operations, whether licensed or not, suitably qualified in accordance with Annex 1, who supports, briefs and/or assists the pilot-in-command in the safe conduct of the flight.

Flight plan. Specified information relative to an intended flight or portion of a flight of an aircraft.

Note 1.— The term flight plan may be prefixed by the words "preliminary", "filed", "current" or "operational" to indicate the context and different stages of a flight.

Note 2.— When the word "message" is used as a suffix to this term, it denotes the content and format of the flight plan data as transmitted.

Flight recorder. Any type of recorder installed in the aircraft for the purpose of complementing accident/incident investigation.

Automatic deployable flight recorder (ADFR). A combination flight recorder installed on the aircraft which is capable of automatically deploying from the aircraft.

Flight safety documents system. A set of interrelated documentation established by the operator, compiling and organizing information necessary for flight and ground operations, and comprising, as a minimum, the operations manual and the operator's maintenance control manual.

Flight simulation training device. Any one of the following three types of apparatus in which flight conditions are simulated on the ground:

A flight simulator, which provides an accurate representation of the flight deck of a particular aircraft type to the extent that the mechanical, electrical, electronic, etc. aircraft systems control functions, the normal environment of flight crew members, and the performance and flight characteristics of that type of aircraft are realistically simulated;

A flight procedures trainer, which provides a realistic flight deck environment, and which simulates instrument responses, simple control functions of mechanical, electrical, electronic, etc. aircraft systems, and the performance and flight characteristics of aircraft of a particular class;

A basic instrument flight trainer, which is equipped with appropriate instruments, and which simulates the flight deck environment of an aircraft in flight in instrument flight conditions.

Flight time — aeroplanes. The total time from the moment an aeroplane first moves for the purpose of taking off until the moment it finally comes to rest at the end of the flight.

Note.— Flight time as here defined is synonymous with the term "block to block" time or "chock to chock" time in general usage which is measured from the time an aeroplane first moves for the purpose of taking off until it finally stops at the end of the flight.

General aviation operation. An aircraft operation other than a commercial air transport operation or an aerial work operation.

Ground handling. Services necessary for an aircraft's arrival at, and departure from, an airport, other than air traffic services.

Head-up display (HUD). A display system that presents flight information into the pilot's forward external field of view.

Human Factors principles. Principles which apply to aeronautical design, certification, training, operations and maintenance and which seek safe interface between the human and other system components by proper consideration to human performance.

Human performance. Human capabilities and limitations which have an impact on the safety and efficiency of aeronautical operations.

Instrument approach operations. An approach and landing using instruments for navigation guidance based on an instrument approach procedure. There are two methods for executing instrument approach operations:

a) a two-dimensional (2D) instrument approach operation, using lateral navigation guidance only; and

b) a three-dimensional (3D) instrument approach operation, using both lateral and vertical navigation guidance.

Note.— Lateral and vertical navigation guidance refers to the guidance provided either by:

a) a ground-based radio navigation aid; or

b) computer-generated navigation data from ground-based, space-based, self-contained navigation aids or a combination of these.

Instrument approach procedure (IAP). A series of predetermined manoeuvres by reference to flight instruments with specified protection from obstacles from the initial approach fix, or where applicable, from the beginning of a defined arrival route to a point from which a landing can be completed and thereafter, if a landing is not completed, to a position at which holding or en-route obstacle clearance criteria apply. Instrument approach procedures are classified as follows:

Non-precision approach (NPA) procedure. An instrument approach procedure designed for 2D instrument approach operations Type A.

Note.— Non-precision approach procedures may be flown using a continuous descent final approach (CDFA) technique. CDFAs with advisory VNAV guidance calculated by on-board equipment are considered 3D instrument approach operations. CDFAs with manual calculation of the required rate of descent are considered 2D instrument approach operations. For more information on CDFAs, refer to PANS-OPS (Doc 8168), Volume I, Part II, Section 5.

Approach procedure with vertical guidance (APV). A performance-based navigation (PBN) instrument approach procedure designed for 3D instrument approach operations Type A.

Precision approach (PA) procedure. An instrument approach procedure based on navigation systems (ILS, MLS, GLS and SBAS CAT I) designed for 3D instrument approach operations Type A or B.

Note.— Refer to 4.2.8.3 for instrument approach operation types.

Instrument meteorological conditions (IMC). Meteorological conditions expressed in terms of visibility, distance from cloud, and ceiling,* less than the minima specified for visual meteorological conditions.

Note.— The specified minima for visual meteorological conditions are contained in Chapter 4 of Annex 2.

Isolated aerodrome. A destination aerodrome for which there is no destination alternate aerodrome suitable for a given aeroplane type.

Landing distance available (LDA). The length of runway which is declared available and suitable for the ground run of an aeroplane landing.

Large aeroplane. An aeroplane of a maximum certificated take-off mass of over 5 700 kg.

Low-visibility operations (LVO). Approach operations in RVRs less than 550 m and/or with a DH less than 60 m (200 ft) or take-off operations in RVRs less than 400 m.

Maintenance. The performance of tasks on an aircraft, engine, propeller or associated part required to ensure the continuing airworthiness of an aircraft, engine, propeller or associated part including any one or combination of overhaul, inspection, replacement, defect rectification, and the embodiment of a modification or repair.

Maintenance programme. A document which describes the specific scheduled maintenance tasks and their frequency of completion and related procedures, such as a reliability programme, necessary for the safe operation of those aircraft to which it applies.

Maintenance release. A document which contains a certification confirming that the maintenance work to which it relates has been completed in a satisfactory manner in accordance with appropriate airworthiness requirements.

* As defined in Annex 2.

Master minimum equipment list (MMEL). A list established for a particular aircraft type by the organization responsible for the type design with the approval of the State of Design containing items, one or more of which is permitted to be unserviceable at the commencement of a flight. The MMEL may be associated with special operating conditions, limitations or procedures.

Maximum diversion time. Maximum allowable range, expressed in time, from a point on a route to an en-route alternate aerodrome.

Maximum mass. Maximum certificated take-off mass.

Minimum descent altitude (MDA) or ***minimum descent height (MDH).*** A specified altitude or height in a 2D instrument approach operation or circling approach operation below which descent must not be made without the required visual reference.

Note 1.— Minimum descent altitude (MDA) is referenced to mean sea level and minimum descent height (MDH) is referenced to the aerodrome elevation or to the threshold elevation if that is more than 2 m (7 ft) below the aerodrome elevation. A minimum descent height for a circling approach is referenced to the aerodrome elevation.

Note 2.— The required visual reference means that section of the visual aids or of the approach area which should have been in view for sufficient time for the pilot to have made an assessment of the aircraft position and rate of change of position, in relation to the desired flight path. In the case of a circling approach the required visual reference is the runway environment.

Note 3.— For convenience when both expressions are used they may be written in the form "minimum descent altitude/height" and abbreviated "MDA/H".

Minimum equipment list (MEL). A list which provides for the operation of aircraft, subject to specified conditions, with particular equipment inoperative, prepared by an operator in conformity with, or more restrictive than, the MMEL established for the aircraft type.

Modification. A change to the type design of an aircraft, engine or propeller.

Note.— A modification may also include the embodiment of the modification which is a maintenance task subject to a maintenance release. Further guidance on aircraft maintenance — modification and repair is contained in the Airworthiness Manual *(Doc 9760).*

Navigation specification. A set of aircraft and flight crew requirements needed to support performance-based navigation operations within a defined airspace. There are two kinds of navigation specifications:

Required navigation performance (RNP) specification. A navigation specification based on area navigation that includes the requirement for performance monitoring and alerting, designated by the prefix RNP, e.g. RNP 4, RNP APCH.

Area navigation (RNAV) specification. A navigation specification based on area navigation that does not include the requirement for performance monitoring and alerting, designated by the prefix RNAV, e.g. RNAV 5, RNAV 1.

Note 1.— The Performance-based Navigation (PBN) Manual *(Doc 9613), Volume II, contains detailed guidance on navigation specifications.*

Note 2.— The term RNP, previously defined as "a statement of the navigation performance necessary for operation within a defined airspace", has been removed from this Annex as the concept of RNP has been overtaken by the concept of PBN. The term RNP in this Annex is now solely used in the context of navigation specifications that require performance monitoring and alerting, e.g. RNP 4 refers to the aircraft and operating requirements, including a 4 NM lateral performance with on-board performance monitoring and alerting that are detailed in Doc 9613.

Night. The hours between the end of evening civil twilight and the beginning of morning civil twilight or such other period between sunset and sunrise, as may be prescribed by the appropriate authority.

Note.— Civil twilight ends in the evening when the centre of the sun's disc is 6 degrees below the horizon and begins in the morning when the centre of the sun's disc is 6 degrees below the horizon.

Obstacle clearance altitude (OCA) or ***obstacle clearance height (OCH).*** The lowest altitude or the lowest height above the elevation of the relevant runway threshold or the aerodrome elevation as applicable, used in establishing compliance with appropriate obstacle clearance criteria.

Note 1.— Obstacle clearance altitude is referenced to mean sea level and obstacle clearance height is referenced to the threshold elevation or in the case of non-precision approach procedures to the aerodrome elevation or the threshold elevation if that is more than 2 m (7 ft) below the aerodrome elevation. An obstacle clearance height for a circling approach procedure is referenced to the aerodrome elevation.

Note 2.— For convenience when both expressions are used they may be written in the form "obstacle clearance altitude/height" and abbreviated "OCA/H".

Operational control. The exercise of authority over the initiation, continuation, diversion or termination of a flight in the interest of the safety of the aircraft and the regularity and efficiency of the flight.

Operational credit. A credit authorized for operations with an advanced aircraft enabling a lower aerodrome operating minimum than would normally be authorized for a basic aircraft, based upon the performance of advanced aircraft systems utilizing the available external infrastructure.

Operational flight plan. The operator's plan for the safe conduct of the flight based on considerations of aeroplane performance, other operating limitations and relevant expected conditions on the route to be followed and at the aerodromes concerned.

Operations manual. A manual containing procedures, instructions and guidance for use by operational personnel in the execution of their duties.

Operations specifications. The authorizations including specific approvals, conditions and limitations associated with the air operator certificate and subject to the conditions in the operations manual.

Operator. The person, organization or enterprise engaged in or offering to engage in an aircraft operation.

Operator's maintenance control manual. A document which describes the operator's procedures necessary to ensure that all scheduled and unscheduled maintenance is performed on the operator's aircraft on time and in a controlled and satisfactory manner.

Performance-based aerodrome operating minimum (PBAOM). A lower aerodrome operating minimum, for a given take-off, approach or landing operation, than is available when using a basic aircraft.

Note 1.— The PBAOM is derived by considering the combined capabilities of the aircraft and available ground facilities. Additional guidance material on PBAOM may be found in the Manual of All-Weather Operations *(Doc 9365).*

Note 2. — PBAOM may be based on operational credits.

Note 3.— PBAOM are not limited to PBN operations.

Performance-based communication (PBC). Communication based on performance specifications applied to the provision of air traffic services.

Note.— An RCP specification includes communication performance requirements that are allocated to system components in terms of the communication to be provided and associated transaction time, continuity, availability, integrity, safety and functionality needed for the proposed operation in the context of a particular airspace concept.

Performance-based navigation (PBN). Area navigation based on performance requirements for aircraft operating along an ATS route, on an instrument approach procedure or in a designated airspace.

Note.— Performance requirements are expressed in navigation specifications (RNAV specification, RNP specification) in terms of accuracy, integrity, continuity, availability and functionality needed for the proposed operation in the context of a particular airspace concept.

Performance-based surveillance (PBS). Surveillance based on performance specifications applied to the provision of air traffic services.

Note.— An RSP specification includes surveillance performance requirements that are allocated to system components in terms of the surveillance to be provided and associated data delivery time, continuity, availability, integrity, accuracy of the surveillance data, safety and functionality needed for the proposed operation in the context of a particular airspace concept.

Pilot-in-command. The pilot designated by the operator, or in the case of general aviation, the owner, as being in command and charged with the safe conduct of a flight.

Point of no return. The last possible geographic point at which an aircraft can proceed to the destination aerodrome as well as to an available en-route alternate aerodrome for a given flight.

Preliminary flight plan (PFP). The information related to a flight submitted by an operator or a designated representative to conduct collaborative planning of a flight, prior to filing a flight plan.

Pressure-altitude. An atmospheric pressure expressed in terms of altitude which corresponds to that pressure in the Standard Atmosphere.*

Psychoactive substances. Alcohol, opioids, cannabinoids, sedatives and hypnotics, cocaine, other psychostimulants, hallucinogens, and volatile solvents, whereas coffee and tobacco are excluded.

Repair. The restoration of an aircraft, engine, propeller or associated part to an airworthy condition in accordance with the appropriate airworthiness requirements, after it has been damaged or subjected to wear.

Required communication performance (RCP) specification. A set of requirements for air traffic service provision and associated ground equipment, aircraft capability, and operations needed to support performance-based communication.

Required surveillance performance (RSP) specification. A set of requirements for air traffic service provision and associated ground equipment, aircraft capability, and operations needed to support performance-based surveillance.

Rest period. A continuous and defined period of time, subsequent to and/or prior to duty, during which flight or cabin crew members are free of all duties.

Runway visual range (RVR). The range over which the pilot of an aircraft on the centre line of a runway can see the runway surface markings or the lights delineating the runway or identifying its centre line.

* As defined in Annex 8.

Safe forced landing. Unavoidable landing or ditching with a reasonable expectancy of no injuries to persons in the aircraft or on the surface.

Safety management system (SMS). A systematic approach to managing safety, including the necessary organizational structures, accountability, responsibilities, policies and procedures.

Small aeroplane. An aeroplane of a maximum certificated take-off mass of 5 700 kg or less.

Specific approval. An approval which is documented in the operations specifications for commercial air transport operations or in the list of specific approvals for general aviation operations.

Note.— The terms authorization, specific approval, approval and acceptance are further described in Attachment B.

State of Registry. The State on whose register the aircraft is entered.

Note.— In the case of the registration of aircraft of an international operating agency on other than a national basis, the States constituting the agency are jointly and severally bound to assume the obligations which, under the Chicago Convention, attach to a State of Registry. See, in this regard, the Council Resolution of 14 December 1967 on Nationality and Registration of Aircraft Operated by International Operating Agencies which can be found in Policy and Guidance Material on the Economic Regulation of International Air Transport *(Doc 9587)*.

State of the Aerodrome. The State in whose territory the aerodrome is located.

State of the Operator. The State in which the operator's principal place of business is located or, if there is no such place of business, the operator's permanent residence.

Synthetic vision system (SVS). A system to display data-derived synthetic images of the external scene from the perspective of the flight deck.

Target level of safety (TLS). A generic term representing the level of risk which is considered acceptable in particular circumstances.

Threshold time. The range, expressed in time, established by the State of the Operator, to an en-route alternate aerodrome, whereby any time beyond requires a specific approval for EDTO from the State of the Operator.

Total vertical error (TVE). The vertical geometric difference between the actual pressure altitude flown by an aircraft and its assigned pressure altitude (flight level).

Visual meteorological conditions (VMC). Meteorological conditions expressed in terms of visibility, distance from cloud, and ceiling*, equal to or better than specified minima.

Note.— The specified minima are contained in Chapter 4 of Annex 2.

Wet runway. The runway surface is covered by any visible dampness or water up to and including 3 mm deep within the intended area of use.

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* As defined in Annex 2.

CHAPTER 2. APPLICABILITY

The Standards and Recommended Practices contained in Annex 6, Part I, shall be applicable to the operation of aeroplanes by operators authorized to conduct international commercial air transport operations.

Note 1.— Standards and Recommended Practices applicable to international general aviation operations with aeroplanes are to be found in Annex 6, Part II.

Note 2.— Standards and Recommended Practices applicable to international commercial air transport operations or international general aviation operations with helicopters are to be found in Annex 6, Part III.

CHAPTER 3. GENERAL

Note 1.— Although the Convention on International Civil Aviation allocates to the State of Registry certain functions which that State is entitled to discharge, or obligated to discharge, as the case may be, the Assembly recognized, in Resolution A23-13 that the State of Registry may be unable to fulfil its responsibilities adequately in instances where aircraft are leased, chartered or interchanged — in particular without crew — by the operator of another State and that the Convention may not adequately specify the rights and obligations of the State of the operator in such instances until such time as Article 83 bis *of the Convention enters into force. Accordingly, the Council urged that if, in the above-mentioned instances, the State of Registry finds itself unable to discharge adequately the functions allocated to it by the Convention, it delegate to the State of the Operator, subject to acceptance by the latter State, those functions of the State of Registry that can more adequately be discharged by the State of the Operator. It was understood that pending entry into force of Article 83* bis *of the Convention the foregoing action would only be a matter of practical convenience and would not affect either the provisions of the Chicago Convention prescribing the duties of the State of Registry or any third State. However, as Article 83* bis *of the Convention entered into force on 20 June 1997, such transfer agreements will have effect in respect of Contracting States which have ratified the related Protocol (Doc 9318) upon fulfilment of the conditions established in Article 83* bis.

Note 2.— In the case of international operations effected jointly with aeroplanes not all of which are registered in the same Contracting State, nothing in this Part prevents the States concerned entering into an agreement for the joint exercise of the functions placed upon the State of Registry by the provisions of the relevant Annexes.

3.1 COMPLIANCE WITH LAWS, REGULATIONS AND PROCEDURES

3.1.1 The operator shall ensure that all employees when abroad know that they must comply with the laws, regulations and procedures of those States in which operations are conducted.

3.1.2 The operator shall ensure that all pilots are familiar with the laws, regulations and procedures, pertinent to the performance of their duties, prescribed for the areas to be traversed, the aerodromes to be used and the air navigation facilities relating thereto. The operator shall ensure that other members of the flight crew are familiar with such of these laws, regulations and procedures as are pertinent to the performance of their respective duties in the operation of the aeroplane.

Note.— Information for pilots and flight operations personnel on flight procedure parameters and operational procedures is contained in PANS-OPS (Doc 8168), Volume I. Criteria for the construction of visual and instrument flight procedures are contained in PANS-OPS (Doc 8168), Volume II. Obstacle clearance criteria and procedures used in certain States may differ from PANS-OPS, and knowledge of these differences is important for safety reasons.

3.1.3 The operator or a designated representative shall have responsibility for operational control.

Note.— The rights and obligations of a State in respect to the operation of aeroplanes registered in that State are not affected by this provision.

3.1.4 Responsibility for operational control shall be delegated only to the pilot-in-command and to a flight operations officer/flight dispatcher if the operator's approved method of control and supervision of flight operations requires the use of flight operations officer/flight dispatcher personnel.

Note.— Guidance on the operational control organization and the role of the flight operations officer/flight dispatcher is contained in the Manual of Procedures for Operations Inspection, Certification and Continued Surveillance *(Doc 8335). Detailed guidance on the authorization, duties and responsibilities of the flight operations officer/flight dispatcher is contained in the* Preparation of an Operations Manual *(Doc 9376). The requirements for age, skill, knowledge and experience for licensed flight operations officers/flight dispatchers are contained in Annex 1.*

3.1.5 If an emergency situation which endangers the safety of the aeroplane or persons becomes known first to the flight operations officer/flight dispatcher, action by that person in accordance with 4.6.2 shall include, where necessary, notification to the appropriate authorities of the nature of the situation without delay, and requests for assistance if required.

3.1.6 If an emergency situation which endangers the safety of the aeroplane or persons necessitates the taking of action which involves a violation of local regulations or procedures, the pilot-in-command shall notify the appropriate local authority without delay. If required by the State in which the incident occurs, the pilot-in-command shall submit a report on any such violation to the appropriate authority of such State; in that event, the pilot-in-command shall also submit a copy of it to the State of the Operator. Such reports shall be submitted as soon as possible and normally within ten days.

3.1.7 Operators shall ensure that pilots-in-command have available on board the aeroplane all the essential information concerning the search and rescue services in the area over which the aeroplane will be flown.

Note.— This information may be made available to the pilot by means of the operations manual or such other means as is considered appropriate.

3.1.8 Operators shall ensure that flight crew members demonstrate the ability to speak and understand the language used for radiotelephony communications as specified in Annex 1.

3.2 COMPLIANCE BY A FOREIGN OPERATOR WITH LAWS, REGULATIONS AND PROCEDURES OF A STATE

3.2.1 When a State identifies a case of non-compliance or suspected non-compliance by a foreign operator with laws, regulations and procedures applicable within that State's territory, or a similar serious safety issue with that operator, that State shall immediately notify the operator and, if the issue warrants it, the State of the Operator. Where the State of the Operator and the State of Registry are different, such notification shall also be made to the State of Registry, if the issue falls within the responsibilities of that State and warrants a notification.

3.2.2 In the case of notification to States as specified in 3.2.1, if the issue and its resolution warrant it, the State in which the operation is conducted shall engage in consultations with the State of the Operator and the State of Registry, as applicable, concerning the safety standards maintained by the operator.

Note.— The Manual of Procedures for Operations Inspection, Certification and Continued Surveillance *(Doc 8335) provides guidance on the surveillance of operations by foreign operators. The manual also contains guidance on the consultations and related activities, as specified in 3.2.2, including the ICAO model clause on aviation safety, which, if included in a bilateral or multilateral agreement, provides for consultations among States, when safety issues are identified by any of the parties to a bilateral or multilateral agreement on air services.*

3.3 SAFETY MANAGEMENT

Note.— Annex 19 includes safety management provisions for air operators. Further guidance is contained in the Safety Management Manual *(Doc 9859).*

3.3.1 **Recommendation.—** *The operator of an aeroplane of a certificated take-off mass in excess of 15 000 kg should establish and maintain a flight data analysis programme as part of its safety management system.*

3.3.2 All aeroplanes of a certificated take-off mass in excess of:

a) 27 000 kg; or

b) 15 000 kg with a passenger seating capacity greater than 19, and with a certificate of airworthiness first issued on or after 1 January 2027

shall be equipped with a means to support a flight data analysis programme.

3.3.3 The operator of an aeroplane equipped as described in 3.3.2 shall establish and maintain a flight data analysis programme as part of its safety management system.

3.3.4 The operator of an aeroplane of a maximum certificated take-off mass in excess of 27 000 kg shall establish and maintain a flight data analysis programme as part of its safety management system.

Note.— The operator may contract the operation of a flight data analysis programme to another party while retaining overall responsibility for the maintenance of such a programme.

3.3.5 A flight data analysis programme shall contain adequate safeguards to protect the source(s) of the data in accordance with Appendix 3 to Annex 19.

Note.— Guidance on the establishment of flight data analysis programmes is included in the Manual on Flight Data Analysis Programmes (FDAP) *(Doc 10000).*

3.3.6 States shall not allow the use of recordings or transcripts of CVR, CARS, Class A AIR and Class A AIRS for purposes other than the investigation of an accident or incident as per Annex 13, except where the recordings or transcripts are:

a) related to a safety-related event identified in the context of a safety management system; are restricted to the relevant portions of a de-identified transcript of the recording; and are subject to the protections accorded by Annex 19;

b) sought for use in criminal proceedings not related to an event involving an accident or incident investigation and are subject to the protections accorded by Annex 19; or

c) used for inspections of flight recorder systems as provided in Section 7 of Appendix 8.

Note.— Provisions on the protection of safety data, safety information and related sources are contained in Appendix 3 to Annex 19. When an investigation under Annex 13 is instituted, investigation records are subject to the protections accorded by Annex 13.

3.3.7 States shall not allow the use of recordings or transcripts of FDR, ADRS as well as Class B and Class C AIR and AIRS for purposes other than the investigation of an accident or incident as per Annex 13, except where the recordings or transcripts are subject to the protections accorded by Annex 19 and are:

a) used by the operator for airworthiness or maintenance purposes;

b) used by the operator in the operation of a flight data analysis programme required in this Annex;

c) sought for use in proceedings not related to an event involving an accident or incident investigation;

d) de-identified; or

e) disclosed under secure procedures.

Note.— Provisions on the protection of safety data, safety information and related sources are contained in Appendix 3 to Annex 19.

3.3.8 The operator shall establish a flight safety documents system, for the use and guidance of operational personnel, as part of its safety management system.

Note.— Guidance on the development and organization of a flight safety documents system is provided in Attachment D.

3.4 USE OF PSYCHOACTIVE SUBSTANCES

Note.— Provisions concerning the use of psychoactive substances are contained in Annex 1, 1.2.7 and Annex 2, 2.5.

3.5 AIRCRAFT TRACKING

3.5.1 The operator shall establish an aircraft tracking capability to track aeroplanes throughout its area of operations.

Note.— Guidance on aircraft tracking capabilities is contained in the Aircraft Tracking Implementation Guidelines *(Cir 347).*

3.5.2 **Recommendation.**— *The operator should track the position of an aeroplane through automated reporting at least every 15 minutes for the portion(s) of the in-flight operation(s) under the following conditions:*

a) the aeroplane has a maximum certificated take-off mass of over 27 000 kg and a seating capacity greater than 19; and

b) where an ATS unit obtains aeroplane position information at greater than 15 minute intervals.

Note.— See Annex 11, Chapter 2, for coordination between the operator and air traffic services providers regarding position report messages.

3.5.3 The operator shall track the position of an aeroplane through automated reporting at least every 15 minutes for the portion(s) of the in-flight operation(s) that is planned in an oceanic area(s) under the following conditions:

a) the aeroplane has a maximum certificated take-off mass of over 45 500 kg and a seating capacity greater than 19; and

b) where an ATS unit obtains aeroplane position information at greater than 15 minute intervals.

Note 1.— Oceanic area, for the purpose of aircraft tracking, is the airspace which overlies waters outside the territory of a State.

Note 2.— See Annex 11, Chapter 2, for coordination between the operator and air traffic services providers regarding position report messages.

Note 3.— Operational procedures for monitoring the aircraft tracking information are contained in PANS-OPS, Volume III, Section 10.

3.5.4 Notwithstanding the provisions in 3.5.2 and 3.5.3, the State of the Operator may, based on the results of an approved risk assessment process implemented by the operator, allow for variations to automated reporting intervals. The process shall demonstrate how risks to the operation, resulting from such variations, can be managed and shall include at least the following:

a) capability of the operator's operational control systems and processes, including those for contacting ATS units;

b) overall capability of the aeroplane and its systems;

c) available means to determine the position of, and communicate with, the aeroplane;

d) frequency and duration of gaps in automated reporting;

e) human factors consequences resulting from changes to flight crew procedures; and

f) specific mitigation measures and contingency procedures.

Note.— Guidance on development, implementation and approval of the risk assessment process, which allows for variations to the need for automatic reporting and the required interval, including variation examples, is contained in the Aircraft Tracking Implementation Guidelines *(Cir 347).*

3.5.5 The operator shall establish procedures, approved by the State of the Operator, for the retention of aircraft tracking data to assist SAR in determining the last known position of the aircraft.

Note.— Refer to 4.2.1.3.1 for operator responsibilities when using third parties for the conduct of aircraft tracking under 3.5.

CHAPTER 4. FLIGHT OPERATIONS

4.1 OPERATING CONSIDERATIONS AND FACILITIES

4.1.1 The operator shall ensure that a flight will not be commenced unless it has been ascertained by every reasonable means available that the ground and/or water facilities available and directly required on such flight, for the safe operation of the aeroplane and the protection of the passengers, are adequate for the type of operation under which the flight is to be conducted and are adequately operated for this purpose.

Note.— "Reasonable means" in this Standard is intended to denote the use, at the point of departure, of information available to the operator either through official information published by the aeronautical information services or readily obtainable from other sources.

4.1.2 The operator shall ensure that a flight will not commence or continue as planned unless it has been ascertained by every reasonable means available that the airspace containing the intended route from aerodrome of departure to aerodrome of arrival, including the intended take-off, destination and en-route alternate aerodromes, can be safely used for the planned operation. When intending to operate over or near conflict zones, a risk assessment shall be conducted and appropriate risk mitigation measures taken to ensure a safe flight.

Note 1.— "Reasonable means" in this Standard is intended to denote the use, at the point of departure or while the aircraft is in flight, of information available to the operator either through official information published by the aeronautical information services or readily obtainable from other sources.

Note 2.— Guidance on safety risk assessments is contained in the Safety Management Manual *(Doc 9859).*

Note 3.— The Risk Assessment Manual for Civil Aircraft Operations Over or Near Conflict Zones *(Doc 10084) contains further guidance on risk assessment for air operators when flying over or near conflict zones.*

4.1.3 The operator shall ensure that any inadequacy of facilities observed in the course of operations is reported to the authority responsible for them, without undue delay.

4.1.4 Subject to their published conditions of use, aerodromes and their facilities shall be kept continuously available for flight operations during their published hours of operations, irrespective of weather conditions.

4.1.5 The operator shall, as part of its safety management system, assess the level of rescue and fire fighting service (RFFS) protection available at any aerodrome intended to be specified in the operational flight plan in order to ensure that an acceptable level of protection is available for the aeroplane intended to be used.

Note.— Annex 19 includes safety management provisions for air operators. Further guidance is contained in the Safety Management Manual *(Doc 9859).*

4.1.6 Information related to the level of RFFS protection that is deemed acceptable by the operator shall be contained in the operations manual.

Note 1.— Attachment F contains guidance on assessing an acceptable level of RFFS protection at aerodromes.

Note 2.— It is not intended that this guidance limit or regulate the operation of an aerodrome. The assessment performed by the operator does not in any way affect the RFFS requirements of Annex 14, Volume I, for aerodromes.

4.2 OPERATIONAL CERTIFICATION AND SUPERVISION

4.2.1 The air operator certificate

4.2.1.1 The operator shall not engage in commercial air transport operations unless in possession of a valid air operator certificate issued by the State of the Operator.

4.2.1.2 The air operator certificate shall authorize the operator to conduct commercial air transport operations in accordance with the operations specifications.

Note.— Provisions for the content of the air operator certificate and its associated operations specifications are contained in 4.2.1.5 and 4.2.1.6.

4.2.1.3 The issue of an air operator certificate by the State of the Operator shall be dependent upon the operator demonstrating an adequate organization, method of control and supervision of flight operations, training programme as well as ground handling and maintenance arrangements consistent with the nature and extent of the operations specified.

Note.— Attachment B contains guidance on the issue of an air operator certificate.

4.2.1.3.1 The operator shall develop policies and procedures for third parties that perform work on its behalf.

4.2.1.4 The continued validity of an air operator certificate shall depend upon the operator maintaining the requirements of 4.2.1.3 under the supervision of the State of the Operator.

4.2.1.5 The air operator certificate shall contain at least the following information and shall follow the layout of Appendix 6, paragraph 2:

a) the State of the Operator and the issuing authority;

b) the air operator certificate number and its expiration date;

c) the operator name, trading name (if different) and address of the principal place of business;

d) the date of issue and the name, signature and title of the authority representative; and

e) the location, in a controlled document carried on board, where the contact details of operational management can be found.

4.2.1.6 The operations specifications associated with the air operator certificate shall contain at least the information listed in Appendix 6, paragraph 3, and shall follow the layout of Appendix 6, paragraph 3.

Note.— Attachment B, paragraph 3.2.2, contains additional information that may be listed in the operations specifications associated with the air operator certificate.

4.2.1.7 Air operator certificates and their associated operations specifications first issued from 20 November 2008 shall follow the layouts of Appendix 6, paragraphs 2 and 3.

4.2.1.8 The State of the Operator shall establish a system for both the certification and the continued surveillance of the operator in accordance with Appendix 5 to this Annex and Appendix 1 to Annex 19 to ensure that the required standards of operations established in 4.2 are maintained.

4.2.2 Surveillance of operations by a foreign operator

4.2.2.1 Contracting States shall recognize as valid an air operator certificate issued by another Contracting State, provided that the requirements under which the certificate was issued are at least equal to the applicable Standards specified in this Annex and in Annex 19.

4.2.2.2 States shall establish a programme with procedures for the surveillance of operations in their territory by a foreign operator and for taking appropriate action when necessary to preserve safety.

4.2.2.3 The operator shall meet and maintain the requirements established by the States in which the operations are conducted.

Note.— Guidance on the surveillance of operations by foreign operators may be found in the Manual of Procedures for Operations Inspection, Certification and Continued Surveillance *(Doc 8335).*

4.2.3 Operations manual

4.2.3.1 The operator shall provide, for the use and guidance of operations personnel concerned, an operations manual in accordance with Appendix 2. The operations manual shall be amended or revised as is necessary to ensure that the information contained therein is kept up to date. All such amendments or revisions shall be issued to all personnel that are required to use this manual.

4.2.3.2 The State of the Operator shall establish a requirement for the operator to provide a copy of the operations manual together with all amendments and/or revisions, for review and acceptance and, where required, approval. The operator shall incorporate in the operations manual such mandatory material as the State of the Operator may require.

Note 1.— Requirements for the organization and content of an operations manual are provided in Appendix 2.

Note 2.— Specific items in the operations manual require the approval of the State of the Operator in accordance with the Standards in 4.2.8, 6.1.3, 9.3.1, 12.4 and 13.4.1.

4.2.4 Operating instructions — general

4.2.4.1 The operator shall ensure that all operations personnel are properly instructed in their particular duties and responsibilities and the relationship of such duties to the operation as a whole.

4.2.4.2 An aeroplane shall not be taxied on the movement area of an aerodrome unless the person at the controls:

a) has been duly authorized by the operator or a designated agent;

b) is fully competent to taxi the aeroplane;

c) is qualified to use the radiotelephone; and

d) has received instruction from a competent person in respect of aerodrome layout, routes, signs, marking, lights, air traffic control (ATC) signals and instructions, phraseology and procedures, and is able to conform to the operational standards required for safe aeroplane movement at the aerodrome.

4.2.4.3 **Recommendation.—** *The operator should issue operating instructions and provide information on aeroplane climb performance with all engines operating to enable the pilot-in-command to determine the climb gradient that can be achieved during the departure phase for the existing take-off conditions and intended take-off technique. This information should be included in the operations manual.*

4.2.5 In-flight simulation of emergency situations

The operator shall ensure that when passengers or cargo are being carried, no emergency or abnormal situations shall be simulated.

4.2.6 Checklists

The checklists provided in accordance with 6.1.4 shall be used by flight crews prior to, during and after all phases of operations, and in emergency, to ensure compliance with the operating procedures contained in the aircraft operating manual and the aeroplane flight manual or other documents associated with the certificate of airworthiness and otherwise in the operations manual. The design and utilization of checklists shall observe Human Factors principles.

Note.— Guidance material on the application of Human Factors principles can be found in the Human Factors Training Manual *(Doc 9683)*.

4.2.7 Minimum flight altitudes

4.2.7.1 The operator shall be permitted to establish minimum flight altitudes for those routes flown for which minimum flight altitudes have been established by the State flown over or the responsible State, provided that they shall not be less than those established by that State.

4.2.7.2 The operator shall specify the method by which it is intended to determine minimum flight altitudes for operations conducted over routes for which minimum flight altitudes have not been established by the State flown over or the responsible State, and shall include this method in the operations manual. The minimum flight altitudes determined in accordance with the above method shall not be lower than specified in Annex 2.

4.2.7.3 **Recommendation.—** *The method for establishing the minimum flight altitudes should be approved by the State of the Operator.*

4.2.7.4 **Recommendation.—** *The State of the Operator should approve such method only after careful consideration of the probable effects of the following factors on the safety of the operation in question:*

a) the accuracy and reliability with which the position of the aeroplane can be determined;

b) the inaccuracies in the indications of the altimeters used;

c) the characteristics of the terrain (e.g. sudden changes in the elevation);

d) the probability of encountering unfavourable meteorological conditions (e.g. severe turbulence and descending air currents);

e) possible inaccuracies in aeronautical charts; and

f) airspace restrictions.

4.2.8 Aerodrome operating minima

4.2.8.1 The State of the Operator shall require that the operator establish aerodrome operating minima for each aerodrome to be used in operations and shall approve the method of determination of such minima. Such minima shall not be lower than any that may be established for such aerodromes by the State of the Aerodrome, except when specifically approved by that State.

Note.— This Standard does not require the State of the Aerodrome to establish aerodrome operating minima.

4.2.8.1.1 The State of the Operator shall authorize operational credit(s) for operations with advanced aircraft. Where the operational credit relates to low visibility operations, the State of the Operator shall issue a specific approval. Such authorizations shall not affect the classification of the instrument approach procedure.

Note 1.— Operational credit includes:

a) for the purposes of an approach ban (4.4.1.2) or dispatch considerations, a minimum below the aerodrome operating minima;

b) reducing or satisfying the visibility requirements; or

c) requiring fewer ground facilities as compensated for by airborne capabilities.

Note 2.— Guidance on operational credit and how to express the operational credit in the Operations Specifications is contained in the Manual of All-Weather Operations *(Doc 9365).*

Note 3.— Information regarding a HUD or equivalent displays, including references to RTCA and EUROCAE documents, is contained in the Manual of All-Weather Operations *(Doc 9365).*

4.2.8.1.2 When issuing a specific approval for the operational credit, the State of the Operator shall ensure that the:

a) aeroplane meets the appropriate airworthiness certification requirements;

b) information necessary to support effective crew tasks for the operation is appropriately available to both pilots where the number of flight crew members specified in the operations manual is more than one;

c) operator has carried out a safety risk assessment of the operations supported by the equipment;

d) operator has established and documented normal and abnormal procedures and MEL;

e) operator has established a training programme for the flight crew members and relevant personnel involved in the flight preparation;

f) operator has established a system for data collection, evaluation and trend monitoring for low visibility operations for which there is an operational credit; and

g) operator has instituted appropriate procedures in respect of continuing airworthiness (maintenance and repair) practices and programmes.

Note 1.— Guidance on safety risk assessments is contained in the Safety Management Manual *(Doc 9859).*

Note 2.— Guidance on operational approvals is contained in the Manual Of All-Weather Operations *(Doc 9365).*

4.2.8.1.3 For operations with operational credit with minima above those related to low visibility operations, the State of the Operator shall establish criteria for the safe operation of the aeroplane.

Note.— Guidance on operational credit for operations with minima above those related to low visibility operations is contained in the Manual of All-Weather Operations *(Doc 9365).*

4.2.8.2 The State of the Operator shall require that in establishing the aerodrome operating minima which will apply to any particular operation, the operator shall take full account of:

a) the type, performance and handling characteristics of the aeroplane and any conditions or limitations stated in the flight manual;

b) the composition of the flight crew, their competence and experience;

c) the dimensions and characteristics of the runways which may be selected for use;

d) the adequacy and performance of the available visual and non-visual ground aids;

e) the equipment available on the aeroplane for the purpose of navigation, acquisition of visual references and/or control of the flight path during the approach, landing and the missed approach;

f) the obstacles in the approach and missed approach areas and the obstacle clearance altitude/height for the instrument approach procedures;

g) the means used to determine and report meteorological conditions;

h) the obstacles in the climb-out areas and necessary clearance margins;

i) the conditions prescribed in the operations specifications; and

j) any minima that may be promulgated by the State of the Aerodrome.

Note.— Guidance on the establishment of aerodrome operating minima is contained in the Manual of All-Weather Operations *(Doc 9365).*

4.2.8.3 Instrument approach operations shall be classified based on the designed lowest operating minima below which an approach operation shall only be continued with the required visual reference as follows:

a) Type A: a minimum descent height or decision height at or above 75 m (250 ft); and

b) Type B: a decision height below 75 m (250 ft). Type B instrument approach operations are categorized as:

1) Category I (CAT I): a decision height not lower than 60 m (200 ft) and with either a visibility not less than 800 m or a runway visual range not less than 550 m;

2) Category II (CAT II): a decision height lower than 60 m (200 ft) but not lower than 30 m (100 ft) and a runway visual range not less than 300 m; and

3) Category III (CAT III): a decision height lower than 30 m (100 ft) or no decision height and a runway visual range less than 300 m or no runway visual range limitations.

Note 1.— Where decision height (DH) and runway visual range (RVR) fall into different categories of operation, the instrument approach operation would be conducted in accordance with the requirements of the most demanding category (e.g. an operation with a DH in the range of CAT III but with an RVR in the range of CAT III would be considered a CAT III operation or an operation with a DH in the range of CAT II but with an RVR in the range of CAT I would be considered a CAT II operation). This does not apply if the RVR and/or DH has been approved as operational credits.

Note 2. — The required visual reference means that section of the visual aids or of the approach area which should have been in view for sufficient time for the pilot to have made an assessment of the aircraft position and rate of change of position, in relation to the desired flight path. In the case of a circling approach operation, the required visual reference is the runway environment.

Note 3.— Guidance on approach classification as it relates to instrument approach operations, procedures, runways and navigation systems is contained in the Manual of All-Weather Operations *(Doc 9365).*

4.2.8.4 The State of the Operator shall issue a specific approval for instrument approach operations in low visibility which shall only be conducted when RVR information is provided.

Note.— Guidance on low visibility operations is contained in the Manual of All-Weather Operations *(Doc 9365).*

4.2.8.5 For take-off in low visibility, the State of the Operator shall issue a specific approval for the minimum take-off RVR.

Note.— In general, visibility for take-off is defined in terms of RVR. An equivalent horizontal visibility may also be used.

4.2.8.6 **Recommendation.—** *For instrument approach operations, aerodrome operating minima below 800 m visibility should not be authorized unless RVR information is provided.*

4.2.8.7 The operating minima for 2D instrument approach operations using instrument approach procedures shall be determined by establishing a minimum descent altitude (MDA) or minimum descent height (MDH), minimum visibility and, if necessary, cloud conditions.

Note.— For guidance on applying a continuous descent final approach (CDFA) flight technique on non-precision approach procedures, refer to PANS-OPS (Doc 8168), Volume I, Part II, Section 5.

4.2.8.8 The operating minima for 3D instrument approach operations using instrument approach procedures shall be determined by establishing a decision altitude (DA) or decision height (DH) and the minimum visibility or RVR.

4.2.9 Threshold crossing height for 3D instrument approach operations

The operator shall establish operational procedures designed to ensure that an aeroplane being used to conduct 3D instrument approach operations crosses the threshold by a safe margin, with the aeroplane in the landing configuration and attitude.

4.2.10 Fuel and oil records

4.2.10.1 The operator shall maintain fuel records to enable the State of the Operator to ascertain that, for each flight, the requirements of 4.3.6 and 4.3.7.1 have been complied with.

4.2.10.2 The operator shall maintain oil records to enable the State of the Operator to ascertain that trends for oil consumption are such that an aeroplane has sufficient oil to complete each flight.

4.2.10.3 Fuel and oil records shall be retained by the operator for a period of three months.

4.2.11 Crew

4.2.11.1 *Pilot-in-command.* For each flight, the operator shall designate one pilot to act as pilot-in-command.

4.2.11.2 For each flight of an aeroplane above 15 000 m (49 000 ft), the operator shall maintain records so that the total cosmic radiation dose received by each crew member over a period of 12 consecutive months can be determined.

Note.— Guidance on the maintenance of cumulative radiation records is given in Circular 126 — Guidance Material on SST Aircraft Operations.

4.2.12 Passengers

4.2.12.1 The operator shall ensure that passengers are made familiar with the location and use of:

a) seat belts;

b) emergency exits;

c) life jackets, if the carriage of life jackets is prescribed;

d) oxygen dispensing equipment, if the provision of oxygen for the use of passengers is prescribed; and

e) other emergency equipment provided for individual use, including passenger emergency briefing cards.

4.2.12.2 The operator shall inform the passengers of the location and general manner of use of the principal emergency equipment carried for collective use.

4.2.12.3 The operator shall ensure that in an emergency during flight, passengers are instructed in such emergency action as may be appropriate to the circumstances.

4.2.12.4 The operator shall ensure that, during take-off and landing and whenever considered necessary by reason of turbulence or any emergency occurring during flight, all passengers on board an aeroplane shall be secured in their seats by means of the seat belts or harnesses provided.

4.3 FLIGHT PREPARATION

4.3.1 A flight shall not be commenced until flight preparation forms have been completed certifying that the pilot-in-command is satisfied that:

a) the aeroplane is airworthy and the appropriate certificates (i.e. airworthiness, registration) are on board the aeroplane;

b) the instruments and equipment prescribed in Chapter 6, for the particular type of operation to be undertaken, are installed and are sufficient for the flight;

c) a maintenance release as prescribed in 8.8 has been issued in respect of the aeroplane;

d) the mass of the aeroplane and centre of gravity location are such that the flight can be conducted safely, taking into account the flight conditions expected;

e) any load carried is properly distributed and safely secured;

f) a check has been completed indicating that the operating limitations of Chapter 5 can be complied with for the flight to be undertaken; and

g) the Standards of 4.3.3 relating to operational flight planning have been complied with.

4.3.2 Completed flight preparation forms shall be kept by the operator for a period of three months.

4.3.3 Operational flight planning

4.3.3.1 An operational flight plan shall be completed for every intended flight. The operational flight plan shall be approved and signed by the pilot-in-command and, where applicable, signed by the flight operations officer/flight dispatcher, and a copy shall be filed with the operator or a designated agent, or, if these procedures are not possible, it shall be left with the aerodrome authority or on record in a suitable place at the point of departure.

Note.— The duties of a flight operations officer/flight dispatcher are contained in 4.6.

4.3.3.2 The operations manual must describe the content and use of the operational flight plan.

4.3.4 Alternate aerodromes

4.3.4.1 *Take-off alternate aerodrome*

4.3.4.1.1 A take-off alternate aerodrome shall be selected and specified in the operational flight plan if either the meteorological conditions at the aerodrome of departure are below the operator's established aerodrome landing minima for that operation or if it would not be possible to return to the aerodrome of departure for other reasons.

4.3.4.1.2 The take-off alternate aerodrome shall be located within the following flight time from the aerodrome of departure:

a) for aeroplanes with two engines, one hour of flight time at a one-engine-inoperative cruising speed, determined from the aircraft operating manual, calculated in ISA and still-air conditions using the actual take-off mass; or

b) for aeroplanes with three or more engines, two hours of flight time at an all engines operating cruising speed, determined from the aircraft operating manual, calculated in ISA and still-air conditions using the actual take-off mass; or

c) for aeroplanes engaged in extended diversion time operations (EDTO) where an alternate aerodrome meeting the distance criteria of a) or b) is not available, the first available alternate aerodrome located within the distance of the operator's specified maximum diversion time considering the actual take-off mass.

4.3.4.1.3 For an aerodrome to be selected as a take-off alternate the available information shall indicate that, at the estimated time of use, the conditions will be at or above the operator's established aerodrome operating minima for that operation.

4.3.4.2 *En-route alternate aerodromes*

En-route alternate aerodromes, required by 4.7 for extended diversion time operations by aeroplanes with two turbine engines, shall be selected and specified in the operational and filed flight plans, and, if applicable, in the preliminary flight plan.

4.3.4.3 *Destination alternate aerodromes*

4.3.4.3.1 For a flight to be conducted in accordance with the instrument flight rules, at least one destination alternate aerodrome shall be selected and specified in the operational and filed flight plans, and, if applicable, in the preliminary flight plan, unless:

a) the duration of the flight from the departure aerodrome, or from the point of in-flight re-planning, to the destination aerodrome is such that, taking into account all meteorological conditions and operational information relevant to the flight, at the estimated time of use, a reasonable certainty exists that:

   1) the approach and landing may be made under visual meteorological conditions; and

   2) separate runways are usable at the estimated time of use of the destination aerodrome with at least one runway having an operational instrument approach procedure; or

b) the aerodrome is isolated. Operations into isolated aerodromes do not require the selection of a destination alternate aerodrome(s) and shall be planned in accordance with 4.3.6.3 d) 4);

   1) for each flight into an isolated aerodrome a point of no return shall be determined; and

   2) a flight to be conducted to an isolated aerodrome shall not be continued past the point of no return unless a current assessment of meteorological conditions, traffic and other operational conditions indicate that a safe landing can be made at the estimated time of use.

Note 1.— Separate runways are two or more runways at the same aerodrome configured such that if one runway is closed, operations to the other runway(s) can be conducted.

Note 2.— Guidance on planning operations to isolated aerodromes is contained in the Flight Planning and Fuel Management (FPFM) Manual *(Doc 9976).*

4.3.4.3.2 Two destination alternate aerodromes shall be selected and specified in the operational and filed flight plans, and, if applicable, in the preliminary flight plan, when, for the destination aerodrome:

a) meteorological conditions at the estimated time of use will be below the operator's established aerodrome operating minima for that operation; or

b) meteorological information is not available.

4.3.4.4 Notwithstanding the provisions in 4.3.4.1, 4.3.4.2 and 4.3.4.3, the State of the Operator may, based on the results of a specific safety risk assessment conducted by the operator which demonstrates how an equivalent level of safety will be maintained, approve operational variations to alternate aerodrome selection criteria. The specific safety risk assessment shall include at least the:

a) capabilities of the operator;

b) overall capability of the aeroplane and its systems;

c) available aerodrome technologies, capabilities and infrastructure;

d) quality and reliability of meteorological information;

e) identified hazards and safety risks associated with each alternate aerodrome variation; and

f) specific mitigation measures.

Note.— Guidance on performing a safety risk assessment and on determining variations, including examples of variations, is contained in the Flight Planning and Fuel Management (FPFM) Manual *(Doc 9976) and the* Safety Management Manual *(Doc 9859).*

4.3.5 Meteorological conditions

4.3.5.1 A flight to be conducted in accordance with VFR shall not be commenced unless current meteorological reports or a combination of current reports and forecasts indicate that the meteorological conditions along the route or that part of the route to be flown under VFR will, at the appropriate time, be such as to enable compliance with these rules.

4.3.5.2 A flight to be conducted in accordance with the instrument flight rules shall not:

a) take off from the departure aerodrome unless the meteorological conditions, at the time of use, are at or above the operator's established aerodrome operating minima for that operation; and

b) take off or continue beyond the point of in-flight re-planning unless at the aerodrome of intended landing or at each alternate aerodrome to be selected in compliance with 4.3.4, current meteorological reports or a combination of current reports and forecasts indicate that the meteorological conditions will be, at the estimated time of use, at or above the operator's established aerodrome operating minima for that operation.

4.3.5.3 To ensure that an adequate margin of safety is observed in determining whether or not an approach and landing can be safely carried out at each alternate aerodrome, the operator shall specify appropriate incremental values for height of cloud base and visibility, acceptable to the State of the Operator, to be added to the operator's established aerodrome operating minima.

Note.— Guidance on the selection of these incremental values is contained in the Flight Planning and Fuel Management (FPFM) Manual *(Doc 9976).*

4.3.5.4 The State of the Operator shall approve a margin of time established by the operator for the estimated time of use of an aerodrome.

Note.— Guidance on establishing an appropriate margin of time for the estimated time of use of an aerodrome is contained in the Flight Planning and Fuel Management (FPFM) Manual *(Doc 9976).*

4.3.5.5 A flight to be operated in known or expected icing conditions shall not be commenced unless the aeroplane is certificated and equipped to cope with such conditions.

4.3.5.6 A flight to be planned or expected to operate in suspected or known ground icing conditions shall not take off unless the aeroplane has been inspected for icing and, if necessary, has been given appropriate de-icing/anti-icing treatment. Accumulation of ice or other naturally occurring contaminants shall be removed so that the aeroplane is kept in an airworthy condition prior to take-off.

Note.— Guidance material is given in the Manual of Aircraft Ground De-icing/Anti-icing Operations *(Doc 9640).*

4.3.6 Fuel requirements

4.3.6.1 An aeroplane shall carry a sufficient amount of usable fuel to complete the planned flight safely and to allow for deviations from the planned operation.

4.3.6.2 The amount of usable fuel to be carried shall, as a minimum, be based on:

a) the following data:

1) current aeroplane-specific data derived from a fuel consumption monitoring system, if available; or

2) if current aeroplane-specific data are not available, data provided by the aeroplane manufacturer; and

b) the operating conditions for the planned flight including:

1) anticipated aeroplane mass;

2) Notices to Airmen;

3) current meteorological reports or a combination of current reports and forecasts;

4) air traffic services procedures, restrictions and anticipated delays; and

5) the effects of deferred maintenance items and/or configuration deviations.

4.3.6.3 The pre-flight calculation of usable fuel required shall include:

a) *taxi fuel*, which shall be the amount of fuel expected to be consumed before take-off, taking into account local conditions at the departure aerodrome and auxiliary power unit (APU) fuel consumption;

b) *trip fuel*, which shall be the amount of fuel required to enable the aeroplane to fly from take-off, or the point of in-flight re-planning, until landing at the destination aerodrome taking into account the operating conditions of 4.3.6.2 b);

c) *contingency fuel*, which shall be the amount of fuel required to compensate for unforeseen factors. It shall be five per cent of the planned trip fuel or of the fuel required from the point of in-flight re-planning based on the consumption rate used to plan the trip fuel but, in any case, shall not be lower than the amount required to fly for five minutes at holding speed at 450 m (1 500 ft) above the destination aerodrome in standard conditions;

Note.— Unforeseen factors are those which could have an influence on the fuel consumption to the destination aerodrome, such as deviations of an individual aeroplane from the expected fuel consumption data, deviations from forecast meteorological conditions, extended delays and deviations from planned routings and/or cruising levels.

d) *destination alternate fuel*, which shall be:

1) where a destination alternate aerodrome is required, the amount of fuel required to enable the aeroplane to:

i) perform a missed approach at the destination aerodrome;

ii) climb to the expected cruising altitude;

iii) fly the expected routing;

iv) descend to the point where the expected approach is initiated; and

v) conduct the approach and landing at the destination alternate aerodrome; or

2) where two destination alternate aerodromes are required, the amount of fuel, as calculated in 4.3.6.3 d) 1), required to enable the aeroplane to proceed to the destination alternate aerodrome which requires the greater amount of alternate fuel; or

3) where a flight is operated without a destination alternate aerodrome, the amount of fuel required to enable the aeroplane to fly for 15 minutes at holding speed at 450 m (1 500 ft) above destination aerodrome elevation in standard conditions; or

4) where the aerodrome of intended landing is an isolated aerodrome:

i) for a reciprocating engine aeroplane, the amount of fuel required to fly for 45 minutes plus 15 per cent of the flight time planned to be spent at cruising level, including final reserve fuel, or two hours, whichever is less; or

ii) for a turbine-engined aeroplane, the amount of fuel required to fly for two hours at normal cruise consumption above the destination aerodrome, including final reserve fuel;

e) *final reserve fuel*, which shall be the amount of fuel calculated using the estimated mass on arrival at the destination alternate aerodrome, or the destination aerodrome when no destination alternate aerodrome is required:

1) for a reciprocating engine aeroplane, the amount of fuel required to fly for 45 minutes, under speed and altitude conditions specified by the State of the Operator; or

2) for a turbine-engined aeroplane, the amount of fuel required to fly for 30 minutes at holding speed at 450 m (1 500 ft) above aerodrome elevation in standard conditions;

f) *additional fuel,* which shall be the supplementary amount of fuel required if the minimum fuel calculated in accordance with 4.3.6.3 b), c), d) and e) is not sufficient to:

1) allow the aeroplane to descend as necessary and proceed to an alternate aerodrome in the event of engine failure or loss of pressurization, whichever requires the greater amount of fuel based on the assumption that such a failure occurs at the most critical point along the route;

i) fly for 15 minutes at holding speed at 450 m (1 500 ft) above aerodrome elevation in standard conditions; and

ii) make an approach and landing;

2) allow an aeroplane engaged in EDTO to comply with the EDTO critical fuel scenario as established by the State of the Operator;

3) meet additional requirements not covered above;

Note 1.— Fuel planning for a failure that occurs at the most critical point along a route (4.3.6.3 f) 1)) may place the aeroplane in a fuel emergency situation based on 4.3.7.2.

Note 2.— Guidance on EDTO critical fuel scenarios is contained in the Extended Diversion Time Operations (EDTO) Manual *(Doc 10085).*

g) *discretionary fuel*, which shall be the extra amount of fuel to be carried at the discretion of the pilot-in-command.

4.3.6.4 **Recommendation.—** *Operators should determine one final reserve fuel value for each aeroplane type and variant in their fleet rounded up to an easily recalled figure.*

4.3.6.5 A flight shall not commence unless the usable fuel on board meets the requirements in 4.3.6.3 a), b), c), d), e) and f) if required and shall not continue from the point of in-flight re-planning unless the usable fuel on board meets the requirements in 4.3.6.3 b), c), d), e) and f) if required.

4.3.6.6 Notwithstanding the provisions in 4.3.6.3 a), b), c), d) and f), the State of the Operator may, based on the results of a specific safety risk assessment conducted by the operator which demonstrates how an equivalent level of safety will be maintained, approve variations to the pre-flight fuel calculation of taxi fuel, trip fuel, contingency fuel, destination alternate fuel, and additional fuel. The specific safety risk assessment shall include at least the:

a) flight fuel calculations;

b) capabilities of the operator to include:

i) a data-driven method that includes a fuel consumption monitoring programme; and/or

ii) the advanced use of alternate aerodromes; and

c) specific mitigation measures.

Note.— Guidance on the specific safety risk assessment, fuel consumption monitoring programmes and the advanced use of alternate aerodromes is contained in the Flight Planning and Fuel Management (FPFM) Manual *(Doc 9976).*

4.3.6.7 The use of fuel after flight commencement for purposes other than originally intended during pre-flight planning shall require a re-analysis and, if applicable, adjustment of the planned operation.

Note.— Guidance on procedures for in-flight fuel management including re-analysis, adjustment and/or re-planning considerations when a flight begins to consume contingency fuel before take-off is contained in the Flight Planning and Fuel Management (FPFM) Manual *(Doc 9976).*

4.3.7 In-flight fuel management

4.3.7.1 The operator shall establish policies and procedures, approved by the State of the Operator, to ensure that in-flight fuel checks and fuel management are performed.

4.3.7.2 The pilot-in-command shall continually ensure that the amount of usable fuel remaining on board is not less than the fuel required to proceed to an aerodrome where a safe landing can be made with the planned final reserve fuel remaining upon landing.

Note.— The protection of final reserve fuel is intended to ensure a safe landing at any aerodrome when unforeseen occurrences may not permit safe completion of an operation as originally planned. Guidance on flight planning, including the circumstances that may require re-analysis, adjustment and/or re-planning of the planned operation before take-off or en-route, is contained in the Flight Planning and Fuel Management (FPFM) Manual *(Doc 9976).*

4.3.7.2.1 The pilot-in-command shall request delay information from ATC when unanticipated circumstances may result in landing at the destination aerodrome with less than the final reserve fuel plus any fuel required to proceed to an alternate aerodrome or the fuel required to operate to an isolated aerodrome.

4.3.7.2.2 The pilot-in-command shall advise ATC of a minimum fuel state by declaring MINIMUM FUEL when, having committed to land at a specific aerodrome, the pilot calculates that any change to the existing clearance to that aerodrome may result in landing with less than the planned final reserve fuel.

Note 1.— The declaration of MINIMUM FUEL informs ATC that all planned aerodrome options have been reduced to a specific aerodrome of intended landing and any change to the existing clearance may result in landing with less than the planned final reserve fuel. This is not an emergency situation but an indication that an emergency situation is possible should any additional delay occur.

Note 2.— Guidance on declaring minimum fuel is contained in the Flight Planning and Fuel Management (FPFM) Manual *(Doc 9976).*

4.3.7.2.3 The pilot-in-command shall declare a situation of fuel emergency by broadcasting MAYDAY MAYDAY MAYDAY FUEL, when the calculated usable fuel predicted to be available upon landing at the nearest aerodrome where a safe landing can be made is less than the planned final reserve fuel.

Note 1.— The planned final reserve fuel refers to the value calculated in 4.3.6.3 e) 1) or 2) and is the minimum amount of fuel required upon landing at any aerodrome.

Note 2.— The words "MAYDAY FUEL" describe the nature of the distress conditions as required in Annex 10, Volume II, 5.3.2.1.1 b) 3.

Note 3.— Guidance on procedures for in-flight fuel management is contained in the Flight Planning and Fuel Management (FPFM) Manual *(Doc 9976).*

4.3.8 Refuelling with passengers on board

4.3.8.1 An aeroplane shall not be refuelled when passengers are embarking, on board or disembarking unless it is properly attended by qualified personnel ready to initiate and direct an evacuation of the aeroplane by the most practical and expeditious means available.

4.3.8.2 When refuelling with passengers embarking, on board or disembarking, two-way communication shall be maintained by the aeroplane's inter-communication system or other suitable means between the ground crew supervising the refuelling and the qualified personnel on board the aeroplane.

Note 1.— The provisions of 4.3.8.1 do not necessarily require the deployment of integral aeroplane stairs or the opening of emergency exits as a prerequisite to refuelling.

Note 2.— Provisions concerning aircraft refuelling are contained in Annex 14, Volume I, and guidance on safe refuelling practices is contained in the Airport Services Manual, *(Doc 9137), Parts 1 and 8.*

Note 3.— Additional precautions are required when refuelling with fuels other than aviation kerosene or when refuelling results in a mixture of aviation kerosene with other aviation turbine fuels, or when an open line is used.

4.3.9 Oxygen supply

Note.— Approximate altitudes in the Standard Atmosphere corresponding to the values of absolute pressure used in the text are as follows:

Absolute pressure	Metres	Feet
700 hPa	*3 000*	*10 000*
620 hPa	*4 000*	*13 000*
376 hPa	*7 600*	*25 000*

4.3.9.1 A flight to be operated at flight altitudes at which the atmospheric pressure in personnel compartments will be less than 700 hPa shall not be commenced unless sufficient stored breathing oxygen is carried to supply:

a) all crew members and 10 per cent of the passengers for any period in excess of 30 minutes that the pressure in compartments occupied by them will be between 700 hPa and 620 hPa; and

b) the crew and passengers for any period that the atmospheric pressure in compartments occupied by them will be less than 620 hPa.

4.3.9.2 A flight to be operated with a pressurized aeroplane shall not be commenced unless a sufficient quantity of stored breathing oxygen is carried to supply all the crew members and passengers, as is appropriate to the circumstances of the flight being undertaken, in the event of loss of pressurization, for any period that the atmospheric pressure in any compartment occupied by them would be less than 700 hPa. In addition, when an aeroplane is operated at flight altitudes at which the atmospheric pressure is less than 376 hPa, or which, if operated at flight altitudes at which the atmospheric pressure is more than 376 hPa and cannot descend safely within four minutes to a flight altitude at which the atmospheric pressure is equal to 620 hPa, there shall be no less than a 10-minute supply for the occupants of the passenger compartment.

4.3.10 Time capability of cargo compartment fire suppression system

4.3.10.1 **Recommendation.—** *All flights should be planned so that the diversion time to an aerodrome where a safe landing could be made does not exceed the cargo compartment fire suppression time capability of the aeroplane, when one is identified in the relevant aeroplane documentation, reduced by an operational safety margin specified by the State of the Operator.*

Note 1.— Cargo compartment fire suppression time capabilities will be identified in the relevant aeroplane documentation when they are to be considered for the operation.

Note 2.— Fifteen minutes is an operational safety margin commonly retained for that purpose.

Note 3.— Refer to Chapter 4, 4.7 for considerations of time capability of cargo compartment fire suppression systems for aeroplanes engaged in EDTO.

4.4 IN-FLIGHT PROCEDURES

4.4.1 Aerodrome operating minima

4.4.1.1 A flight shall not be continued towards the aerodrome of intended landing, unless the latest available information indicates that at the expected time of arrival, a landing can be effected at that aerodrome or at least one destination alternate aerodrome, in compliance with the operating minima established in accordance with 4.2.8.1.

4.4.1.2 An instrument approach shall not be continued below 300 m (1 000 ft) above the aerodrome elevation or into the final approach segment unless the reported visibility or controlling RVR is at or above the aerodrome operating minima.

Note.— Criteria for the final approach segment is contained in PANS-OPS (Doc 8168), Volume II.

4.4.1.3 If, after entering the final approach segment or after descending below 300 m (1 000 ft) above the aerodrome elevation, the reported visibility or controlling RVR falls below the specified minimum, the approach may be continued to DA/H or MDA/H. In any case, an aeroplane shall not continue its approach-to-land at any aerodrome beyond a point at which the limits of the operating minima specified for that aerodrome would be infringed.

Note.— Controlling RVR means the reported values of one or more RVR reporting locations (touchdown, mid-point and stop-end) used to determine whether operating minima are or are not met. Where RVR is used, the controlling RVR is the touchdown RVR, unless otherwise specified by State criteria.

4.4.2 Meteorological observations

Note.— The procedures for making meteorological observations on board aircraft in flight and for recording and reporting them are contained in Annex 3, the PANS-ATM (Doc 4444), the PANS-MET (Doc 10157) and the appropriate Regional Supplementary Procedures *(Doc 7030).*

4.4.2.1 The pilot-in-command shall report the runway braking action special air-report (AIREP) when the runway braking action encountered is not as good as reported.

Note.— The procedures for making special air-reports regarding runway braking action are contained in the PANS-ATM (Doc 4444), Chapter 4 and Appendix 1.

4.4.3 Hazardous flight conditions

Hazardous flight conditions encountered, other than those associated with meteorological conditions, shall be reported to the appropriate aeronautical station as soon as possible. The reports so rendered shall give such details as may be pertinent to the safety of other aircraft.

4.4.4 Flight crew members at duty stations

4.4.4.1 *Take-off and landing.* All flight crew members required to be on flight deck duty shall be at their stations.

4.4.4.2 *En route.* All flight crew members required to be on flight deck duty shall remain at their stations except when their absence is necessary for the performance of duties in connection with the operation of the aeroplane or for physiological needs.

4.4.4.3 *Seat belts.* All flight crew members shall keep their seat belts fastened when at their stations.

4.4.4.4 *Safety harness.* Any flight crew member occupying a pilot's seat shall keep the safety harness fastened during the take-off and landing phases; all other flight crew members shall keep their safety harnesses fastened during the take-off and landing phases unless the shoulder straps interfere with the performance of their duties, in which case the shoulder straps may be unfastened but the seat belt must remain fastened.

Note.— Safety harness includes shoulder straps and a seat belt which may be used independently.

4.4.5 Use of oxygen

4.4.5.1 All flight crew members, when engaged in performing duties essential to the safe operation of an aeroplane in flight, shall use breathing oxygen continuously whenever the circumstances prevail for which its supply has been required in 4.3.9.1 or 4.3.9.2.

4.4.5.2 All flight crew members of pressurized aeroplanes operating above an altitude where the atmospheric pressure is less than 376 hPa shall have available at the flight duty station a quick-donning type of oxygen mask which will readily supply oxygen upon demand.

4.4.6 Safeguarding of cabin crew and passengers in pressurized aeroplanes in the event of loss of pressurization

Recommendation.— *Cabin crew should be safeguarded so as to ensure reasonable probability of their retaining consciousness during any emergency descent which may be necessary in the event of loss of pressurization and, in addition, they should have such means of protection as will enable them to administer first aid to passengers during stabilized flight following the emergency. Passengers should be safeguarded by such devices or operational procedures as will ensure reasonable probability of their surviving the effects of hypoxia in the event of loss of pressurization.*

Note.— It is not envisaged that cabin crew will always be able to provide assistance to passengers during emergency descent procedures which may be required in the event of loss of pressurization.

4.4.7 In-flight operational instructions

Operational instructions involving a change in the filed or current flight plan shall, when practicable, be coordinated with the appropriate ATS unit before transmission to the aeroplane.

Note.— When the above coordination has not been possible, operational instructions do not relieve a pilot of the responsibility for obtaining an appropriate clearance from an ATS unit, if applicable, before making a change in flight plan.

4.4.8 Instrument flight procedures

4.4.8.1 One or more instrument approach procedures designed to support instrument approach operations shall be approved and promulgated by the State in which the aerodrome is located to serve each instrument runway or aerodrome utilized for instrument flight operations.

4.4.8.2 All aeroplanes operated in accordance with instrument flight rules shall comply with the instrument flight procedures approved by the State in which the aerodrome is located.

Note 1.— See 4.2.8.3 for instrument approach operation classifications.

Note 2.— Information for pilots on flight procedure parameters and operational procedures is contained in PANS-OPS (Doc 8168), Volume I. Criteria for the construction of instrument flight procedures for the guidance of procedure specialists are provided in PANS-OPS (Doc 8168), Volume II. Obstacle clearance criteria and procedures used in certain States may differ from PANS-OPS, and knowledge of these differences is important for safety reasons (see Chapter 3, 3.1.1).

4.4.9 Aeroplane operating procedures for noise abatement

4.4.9.1 **Recommendation.—** *Aeroplane operating procedures for noise abatement should comply with the provisions of PANS-OPS (Doc 8168), Volume I.*

4.4.9.2 **Recommendation.—** *Noise abatement procedures specified by the operator for any one aeroplane type should be the same for all aerodromes.*

Note.— A single procedure may not satisfy the requirements at some aerodromes.

4.4.10 Aeroplane operating procedures for rates of climb and descent

Recommendation.— *Unless otherwise specified in an air traffic control instruction, to avoid unnecessary airborne collision avoidance system (ACAS II) resolution advisories in aircraft at or approaching adjacent altitudes or flight levels, operators should specify procedures by which an aeroplane climbing or descending to an assigned altitude or flight level, especially with an autopilot engaged, may do so at a rate less than 8 m/sec or 1 500 ft/min (depending on the instrumentation available) throughout the last 300 m (1 000 ft) of climb or descent to the assigned level when the pilot is made aware of another aircraft at or approaching an adjacent altitude or flight level.*

Note.— Material concerning the development of these procedures is contained in the PANS-OPS (Doc 8168) Volume I, Part III, Section 3, Chapter 3.

4.4.11 Aeroplane operating procedures for landing performance

An approach to land shall not be continued below 300 m (1 000 ft) above aerodrome elevation unless the pilot-in-command is satisfied that, with the runway surface condition information available, the aeroplane performance information indicates that a safe landing can be made.

Note 1.— The procedures used by aerodromes to assess and report runway surface conditions are contained in the PANS-Aerodromes *(Doc 9981) and those for using runway surface condition information on board aircraft are in the* Aeroplane Performance Manual *(Doc 10064).*

Note 2.— Guidance on development of aeroplane performance information is contained in the Aeroplane Performance Manual *(Doc 10064)*.

4.5 DUTIES OF PILOT-IN-COMMAND

4.5.1 The pilot-in-command shall be responsible for the safety of all crew members, passengers and cargo on board when the doors are closed. The pilot-in-command shall also be responsible for the operation and safety of the aeroplane from the moment the aeroplane is ready to move for the purpose of taking off until the moment it finally comes to rest at the end of the flight and the engine(s) used as primary propulsion units are shut down.

4.5.2 The pilot-in-command shall ensure that the checklists specified in 4.2.6 are complied with in detail.

4.5.3 The pilot-in-command shall be responsible for notifying the nearest appropriate authority by the quickest available means of any accident involving the aeroplane, resulting in serious injury or death of any person or substantial damage to the aeroplane or property.

Note.— A definition of the term "serious injury" is contained in Annex 13.

4.5.4 The pilot-in-command shall be responsible for reporting all known or suspected defects in the aeroplane, to the operator, at the termination of the flight.

4.5.5 The pilot-in-command shall be responsible for the journey log book or the general declaration containing the information listed in 11.4.1.

Note.— By virtue of Resolution A10-36 of the Tenth Session of the Assembly (Caracas, June–July 1956) "the General Declaration, [described in Annex 9] when prepared so as to contain all the information required by Article 34 [of the Convention on International Civil Aviation] with respect to the journey log book, may be considered by Contracting States to be an acceptable form of journey log book".

4.6 DUTIES OF FLIGHT OPERATIONS OFFICER/FLIGHT DISPATCHER

4.6.1 A flight operations officer/flight dispatcher in conjunction with a method of control and supervision of flight operations in accordance with 4.2.1.3 shall:

a) assist the pilot-in-command in flight preparation and provide the relevant information;

b) assist the pilot-in-command in preparing the operational flight plan and the flight plan to be filed;

c) when applicable, assist the pilot-in-command in preparing the preliminary flight plan, and submit it to a unit designated by the appropriate ATS authority;

d) sign, when applicable, and file the flight plan to a unit designated by the appropriate ATS authority;

e) furnish the pilot-in-command while in flight, by appropriate means, with information which may be necessary for the safe conduct of the flight; and

f) notify the appropriate ATS unit when the position of the aeroplane cannot be determined by an aircraft tracking capability, and attempts to establish communication are unsuccessful.

Note 1.— The requirements for flight plans are contained in Annex 2 — Rules of the Air *and the procedures relating to flight plans and associated services are contained in the* Procedures for Air Navigation Services — Air Traffic Management *(PANS-ATM, Doc 4444).*

Note 2.— Detailed guidance on the use of the FF-ICE services, including the use of a preliminary flight plan, can be found in the Manual on Flight and Flow — Information for a Collaborative Environment (FF-ICE) *(Doc 9965).*

4.6.2 In the event of an emergency, a flight operations officer/flight dispatcher shall:

a) initiate such procedures as outlined in the operations manual while avoiding taking any action that would conflict with ATC procedures; and

b) convey safety-related information to the pilot-in-command that may be necessary for the safe conduct of the flight, including information related to any amendments to the flight plan that become necessary in the course of the flight.

Note.— It is equally important that the pilot-in-command also convey similar information to the flight operations officer/ flight dispatcher during the course of the flight, particularly in the context of emergency situations.

4.7 ADDITIONAL REQUIREMENTS FOR OPERATIONS BY AEROPLANES WITH TURBINE ENGINES BEYOND 60 MINUTES TO AN EN-ROUTE ALTERNATE AERODROME INCLUDING EXTENDED DIVERSION TIME OPERATIONS (EDTO)

4.7.1 Requirements for operations beyond 60 minutes to an en-route alternate aerodrome

4.7.1.1 Operators conducting operations beyond 60 minutes from a point on a route to an en-route alternate aerodrome shall ensure that:

a) for all aeroplanes:

1) en-route alternate aerodromes are identified; and

2) the most up-to-date information is provided to the flight crew on identified en-route alternate aerodromes, including operational status and meteorological conditions;

b) for aeroplanes with two turbine engines, the most up-to-date information provided to the flight crew indicates that conditions at identified en-route alternate aerodromes will be at or above the operator's established aerodrome operating minima for the operation at the estimated time of use.

Note.— Guidance on compliance with the requirements of these provisions is contained in the Extended Diversion Time Operations (EDTO) Manual *(Doc 10085).*

4.7.1.2 In addition to the requirements in 4.7.1.1, all operators shall ensure that the following are taken into account and provide the overall level of safety intended by the provisions of Annex 6, Part I:

a) operational control and flight dispatch procedures;

b) operating procedures; and

c) training programmes.

4.7.2 Requirements for extended diversion time operations (EDTO)

4.7.2.1 Unless the State of the Operator has issued a specific approval for EDTO, an aeroplane with two or more turbine engines shall not be operated on a route where the diversion time to an en-route alternate aerodrome from any point on the route, calculated in ISA and still-air conditions at the one-engine-inoperative cruise speed for aeroplanes with two turbine engines and at the all engines operating cruise speed for aeroplanes with more than two turbine engines, exceeds a threshold time established for such operations by that State. The specific approval shall identify the applicable threshold time established for each particular aeroplane and engine combination.

Note 1.— When the diversion time exceeds the threshold time, the operation is considered to be an extended diversion time operation (EDTO).

Note 2.— Guidance on the establishment of an appropriate threshold time and on specific approval of extended diversion time operations is contained in the Extended Diversion Time Operations (EDTO) Manual *(Doc 10085).*

Note 3.— For the purpose of EDTO, the take-off and/or destination aerodromes may be considered en-route alternate aerodromes.

4.7.2.2 On issuing the specific approval for extended diversion time operations, the State of the Operator shall specify the maximum diversion time granted to the operator for each particular aeroplane and engine combination.

Note.— Guidance on the conditions to be used when converting EDTO maximum diversion times to distances is contained in the Extended Diversion Time Operations (EDTO) Manual *(Doc 10085).*

4.7.2.3 When specifying the appropriate maximum diversion time for the operator of a particular aeroplane type engaged in extended diversion time operations, the State of the Operator shall ensure that:

a) *for all aeroplanes:* the operator has in place procedures to prevent the aeroplane being dispatched on a route with diversion times beyond the capability of EDTO significant system time limitation, if any, indicated in the aeroplane flight manual (directly or by reference); and

b) *for aeroplanes* with two turbine engines: the aeroplane is EDTO certified.

Note 1.— EDTO may be referred to as ETOPS in some documents.

Note 2.— Guidance on the conditions to be used when converting EDTO significant system time limitations to distances and on the consideration of the EDTO system time limitations at dispatch is contained in the Extended Diversion Time Operations Manual (Doc 10085).

4.7.2.3.1 Notwithstanding the provisions in 4.7.2.3 a), the State of the Operator may, based on the results of a specific safety risk assessment conducted by the operator which demonstrates how an equivalent level of safety will be maintained, approve operations beyond the time limits of the most time-limited system. The specific safety risk assessment shall include at least the:

a) capabilities of the operator;

b) overall reliability of the aeroplane;

c) reliability of each time-limited system;

d) relevant information from the aeroplane manufacturer; and

e) specific mitigation measures.

Note.— Guidance on the specific safety risk assessment is contained in the Extended Diversion Time Operations (EDTO) Manual *(Doc 10085).*

4.7.2.4 For aeroplanes engaged in EDTO, the additional fuel required by 4.3.6.3 f) 2) shall include the fuel necessary to comply with the EDTO critical fuel scenario as established by the State of the Operator.

Note.— Guidance on compliance with the requirements of this provision is in the Extended Diversion Time Operations (EDTO) Manual *(Doc 10085).*

4.7.2.5 A flight shall not proceed beyond the threshold time in accordance with 4.7.2.1 unless the identified en-route alternate aerodromes have been re-evaluated for availability and the most up-to-date information indicates that, during the estimated time of use, conditions at those aerodromes will be at or above the operator's established aerodrome operating minima for the operation. If any conditions are identified that would preclude a safe approach and landing at that aerodrome during the estimated time of use, an alternative course of action shall be determined.

4.7.2.6 The State of the Operator shall, when specifying maximum diversion times for aeroplanes with two turbine engines, ensure that the following are taken into account in providing the overall level of safety intended by the provisions of Annex 8:

a) reliability of the propulsion system;

b) airworthiness certification for EDTO of the aeroplane type; and

c) EDTO maintenance programme.

Note 1.— EDTO may be referred to as ETOPS in some documents.

Note 2.— The Airworthiness Manual *(Doc 9760) contains guidance on the level of performance and reliability of aeroplane systems intended by 4.7.2.6, as well as guidance on continuing airworthiness aspects of the requirements of 4.7.2.6.*

4.7.2.7 **Recommendation.—** *The State of the Operator of an aeroplane type with two turbine engines which, prior to 25 March 1986, was authorized and operating on a route where the flight time at one-engine-inoperative cruise speed to an en-route alternate aerodrome exceeded the threshold time established for such operations in accordance with 4.7.2.1 should give consideration to permitting such an operation to continue on that route after that date.*

4.8 CARRY-ON BAGGAGE

The operator shall ensure that all baggage carried onto an aeroplane and taken into the passenger cabin is adequately and securely stowed.

4.9 ADDITIONAL REQUIREMENTS FOR SINGLE PILOT OPERATIONS UNDER THE INSTRUMENT FLIGHT RULES (IFR) OR AT NIGHT

4.9.1 An aeroplane shall not be operated under the IFR or at night by a single pilot unless approved by the State of the Operator.

4.9.2 An aeroplane shall not be operated under the IFR or at night by a single pilot unless:

a) the flight manual does not require a flight crew of more than one;

b) the aeroplane is propeller-driven;

c) the maximum approved passenger seating configuration is not more than nine;

d) the maximum certificated take-off mass does not exceed 5 700 kg;

e) the aeroplane is equipped as described in 6.23; and

f) the pilot-in-command has satisfied requirements of experience, training, checking and recency described in 9.4.5.

4.10 FATIGUE MANAGEMENT

Note.— Guidance on the development and implementation of fatigue management regulations is contained in the Manual for the Oversight of Fatigue Management Approaches *(Doc 9966).*

4.10.1 The State of the Operator shall establish regulations for the purpose of managing fatigue. These regulations shall be based upon scientific principles, knowledge and operational experience with the aim of ensuring that flight and cabin crew members are performing at an adequate level of alertness. Accordingly, the State of the Operator shall establish:

a) prescriptive regulations for flight time, flight duty period, duty period limitations and rest period requirements; and

b) where authorizing the operator to use a Fatigue Risk Management System (FRMS) to manage fatigue, FRMS regulations.

4.10.2 The State of the Operator shall require that the operator, in compliance with 4.10.1 and for the purposes of managing its fatigue-related safety risks, establish either:

a) flight time, flight duty period, duty period limitations and rest period requirements that are within the prescriptive fatigue management regulations established by the State of the Operator; or

b) a Fatigue Risk Management System (FRMS) in compliance with 4.10.6 for all operations; or

c) an FRMS in compliance with 4.10.6 for part of its operations and the requirements of 4.10.2 a) for the remainder of its operations.

Note.— Complying with the prescriptive fatigue management regulations does not relieve the operator of the responsibility to manage its risks, including fatigue-related risks, using its safety management system (SMS) in accordance with the provisions of Annex 19.

4.10.3 Where the operator adopts prescriptive fatigue management regulations for part or all of its operations, the State of the Operator may approve, in exceptional circumstances, variations to these regulations on the basis of a risk assessment provided by the operator. Approved variations shall provide a level of safety equivalent to, or better than, that achieved through the prescriptive fatigue management regulations.

4.10.4 The State of the Operator shall approve the operator's FRMS before it may take the place of any or all of the prescriptive fatigue management regulations. An approved FRMS shall provide a level of safety equivalent to, or better than, the prescriptive fatigue management regulations.

4.10.5 States that approve the operator's FRMS shall establish a process to ensure that an FRMS provides a level of safety equivalent to, or better than, the prescriptive fatigue management regulations. As part of this process, the State of the Operator shall:

a) require that the operator establish maximum values for flight times and/or flight duty periods(s) and duty period(s), and minimum values for rest periods. These values shall be based upon scientific principles and knowledge, subject to safety assurance processes, and acceptable to the State of the Operator;

b) mandate a decrease in maximum values and an increase in minimum values in the event that the operator's data indicates these values are too high or too low, respectively; and

c) approve any increase in maximum values or decrease in minimum values only after evaluating the operator's justification for such changes, based on accumulated FRMS experience and fatigue-related data.

Note.— Safety assurance processes are described in Appendix 7.

4.10.6 Where the operator implements an FRMS to manage fatigue-related safety risks, the operator shall, as a minimum:

a) incorporate scientific principles and knowledge within the FRMS;

b) identify fatigue-related safety hazards and the resulting risks on an ongoing basis;

c) ensure that remedial actions, necessary to effectively mitigate the risks associated with the hazards, are implemented promptly;

d) provide for continuous monitoring and regular assessment of the mitigation of fatigue risks achieved by such actions; and

e) provide for continuous improvement to the overall performance of the FRMS.

Note 1.— Detailed requirements for an FRMS are in Appendix 7.

Note 2.— Provisions on the protection of safety data, safety information and related sources are contained in Appendix 3 to Annex 19.

4.10.7 **Recommendation.—** *States should require that, where the operator has an FRMS, it is integrated with the operator's SMS.*

Note.— The integration of FRMS and SMS is described in the Manual for the Oversight of Fatigue Management Approaches *(Doc 9966).*

4.10.8 The operator shall maintain records for all its flight and cabin crew members of flight time, flight duty periods, duty periods, and rest periods for a period of time specified by the State of the Operator.

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CHAPTER 5. AEROPLANE PERFORMANCE OPERATING LIMITATIONS

5.1 GENERAL

5.1.1 Aeroplanes shall be operated in accordance with a comprehensive and detailed code of performance established by the State of Registry in compliance with the applicable Standards of this chapter.

5.1.2 Except as provided in 5.4, single-engine aeroplanes shall only be operated in conditions of weather and light, and over such routes and diversions therefrom, that permit a safe forced landing to be executed in the event of engine failure.

5.1.3 **Recommendation.—** *For aeroplanes for which Parts IIIA and IIIB of Annex 8 are not applicable because of the exemption provided for in Article 41 of the Convention, the State of Registry should ensure that the level of performance specified in 5.2 should be met as far as practicable.*

5.2 APPLICABLE TO AEROPLANES CERTIFICATED IN ACCORDANCE WITH PARTS IIIA AND IIIB OF ANNEX 8

5.2.1 The Standards contained in 5.2.2 to 5.2.11 inclusive are applicable to the large aeroplanes to which Parts IIIA and IIIB of Annex 8 are applicable.

Note.— The following Standards do not include quantitative specifications comparable to those found in national airworthiness codes. In accordance with 5.1.1, they are to be supplemented by national requirements prepared by Contracting States.

5.2.2 The level of performance defined by the appropriate parts of the comprehensive and detailed national code referred to in 5.1.1 for the aeroplanes designated in 5.2.1 shall be at least substantially equivalent to the overall level embodied in the Standards of this chapter.

5.2.3 An aeroplane shall be operated in compliance with the terms of its certificate of airworthiness and within the approved operating limitations contained in its flight manual.

5.2.4 The State of Registry shall take such precautions as are reasonably possible to ensure that the general level of safety contemplated by these provisions is maintained under all expected operating conditions, including those not covered specifically by the provisions of this chapter.

5.2.5 A flight shall not be commenced unless the performance information provided in the flight manual, supplemented as necessary with other data acceptable to the State of the Operator, indicates that the Standards of 5.2.6 to 5.2.11 can be complied with for the flight to be undertaken.

5.2.6 In applying the Standards of this chapter, account shall be taken of all factors that significantly affect the performance of the aeroplane, including but not limited to: the mass of the aeroplane, the operating procedures, the pressure-altitude appropriate to the elevation of the aerodrome, the runway slope, the ambient temperature, the wind, and surface

conditions of the runway at the expected time of use, i.e. presence of snow, slush, water, and/or ice for landplanes, water surface condition for seaplanes. Such factors shall be taken into account directly as operational parameters or indirectly by means of allowances or margins, which may be provided in the scheduling of performance data or in the comprehensive and detailed code of performance in accordance with which the aeroplane is being operated.

Note.— Guidelines for using runway surface condition information on board aircraft in accordance with 4.4.11 are contained in the Aeroplane Performance Manual *(Doc 10064).*

5.2.7 Mass limitations

a) The mass of the aeroplane at the start of take-off shall not exceed the mass at which 5.2.8 is complied with, or the mass at which 5.2.9, 5.2.10 and 5.2.11 are complied with, allowing for expected reductions in mass as the flight proceeds, and for such fuel jettisoning as is envisaged in applying 5.2.9 and 5.2.10 and, in respect of alternate aerodromes, 5.2.7 c) and 5.2.11.

b) In no case shall the mass at the start of take-off exceed the maximum take-off mass specified in the flight manual for the pressure-altitude appropriate to the elevation of the aerodrome, and, if used as a parameter to determine the maximum take-off mass, any other local atmospheric condition.

c) In no case shall the estimated mass for the expected time of landing at the aerodrome of intended landing and at any destination alternate aerodrome, exceed the maximum landing mass specified in the flight manual for the pressure-altitude appropriate to the elevation of those aerodromes, and if used as a parameter to determine the maximum landing mass, any other local atmospheric condition.

d) In no case shall the mass at the start of take-off, or at the expected time of landing at the aerodrome of intended landing and at any destination alternate aerodrome, exceed the relevant maximum masses at which compliance has been demonstrated with the applicable noise certification Standards in Annex 16, Volume I, unless otherwise authorized in exceptional circumstances for a certain aerodrome or a runway where there is no noise disturbance problem, by the competent authority of the State in which the aerodrome is situated.

5.2.8 *Take-off.* The aeroplane shall be able, in the event of a critical engine failing, or for other reasons, at any point in the take-off, either to discontinue the take-off and stop within the accelerate-stop distance available, or to continue the take-off and clear all obstacles along the flight path by an adequate vertical or horizontal distance until the aeroplane is in a position to comply with 5.2.9. When determining the resulting take-off obstacle accountability area, the operating conditions, such as the crosswind component and navigation accuracy, must be taken into account.

5.2.8.1 In determining the length of the runway available, account shall be taken of the loss, if any, of runway length due to alignment of the aeroplane prior to take-off.

5.2.9 *En route — one-engine-inoperative*. The aeroplane shall be able, in the event of the critical engine becoming inoperative at any point along the route or planned diversions therefrom, to continue the flight to an aerodrome at which the Standard of 5.2.11 can be met, without flying below the minimum flight altitude at any point.

5.2.10 *En route — two engines inoperative.* In the case of aeroplanes having three or more engines, on any part of a route where the location of en-route alternate aerodromes and the total duration of the flight are such that the probability of a second engine becoming inoperative must be allowed for if the general level of safety implied by the Standards of this chapter is to be maintained, the aeroplane shall be able, in the event of any two engines becoming inoperative, to continue the flight to an en-route alternate aerodrome and land.

5.2.11 *Landing.* The aeroplane shall, at the aerodrome of intended landing and at any alternate aerodrome, after clearing all obstacles in the approach path by a safe margin, be able to land, with assurance that it can come to a stop or, for a seaplane, to a satisfactorily low speed, within the landing distance available. Allowance shall be made for expected variations in the approach and landing techniques, if such allowance has not been made in the scheduling of performance data.

Note.— Guidelines on appropriate margins for the "at time of landing" distance assessment is contained in the Aeroplane Performance Manual *(Doc 10064).*

5.3 OBSTACLE DATA

5.3.1 Obstacle data shall be provided to enable the operator to develop procedures to comply with 5.2.9.

Note.— See Annex 4 and Annex 15, Chapter 5 and Appendix 1 and the Procedures for Air Navigation Services — Aeronautical Information Management (PANS-AIM), Chapter 5 for methods of presentation of certain obstacle data.

5.3.2 The operator shall take account of charting accuracy when assessing compliance with 5.2.8.

5.4 ADDITIONAL REQUIREMENTS FOR OPERATIONS OF SINGLE-ENGINE TURBINE-POWERED AEROPLANES AT NIGHT AND/OR IN INSTRUMENT METEOROLOGICAL CONDITIONS (IMC)

5.4.1 In approving operations by single-engine turbine-powered aeroplanes at night and/or in IMC, the State of the Operator shall ensure that the airworthiness certification of the aeroplane is appropriate and that the overall level of safety intended by the provisions of Annexes 6 and 8 is provided by:

a) the reliability of the turbine engine;

b) the operator's maintenance procedures, operating practices, flight dispatch procedures and crew training programmes; and

c) equipment and other requirements provided in accordance with Appendix 3.

5.4.2 All single-engine turbine-powered aeroplanes operated at night and/or in IMC shall have an engine trend monitoring system, and those aeroplanes for which the individual certificate of airworthiness is first issued on or after 1 January 2005 shall have an automatic trend monitoring system.

CHAPTER 6. AEROPLANE INSTRUMENTS, EQUIPMENT AND FLIGHT DOCUMENTS

Note.— Specifications for the provision of aeroplane communication and navigation equipment are contained in Chapter 7.

6.1 GENERAL

6.1.1 In addition to the minimum equipment necessary for the issuance of a certificate of airworthiness, the instruments, equipment and flight documents prescribed in the following paragraphs shall be installed or carried, as appropriate, in aeroplanes according to the aeroplane used and to the circumstances under which the flight is to be conducted. The prescribed instruments and equipment, including their installation, shall be approved or accepted by the State of Registry.

6.1.2 An aeroplane shall carry a certified true copy of the air operator certificate specified in Chapter 4, 4.2.1, and a copy of the operations specifications relevant to the aeroplane, issued in conjunction with the certificate. When the certificate and the associated operations specifications are issued by the State of the Operator in a language other than English, an English translation shall be included.

Note.— Provisions for the content of the air operator certificate and its associated operations specifications are contained in 4.2.1.5 and 4.2.1.6.

6.1.3 The operator shall include in the operations manual a minimum equipment list (MEL), approved by the State of the Operator which will enable the pilot-in-command to determine whether a flight may be commenced or continued from any intermediate stop should any instrument, equipment or systems become inoperative. Where the State of the Operator is not the State of Registry, the State of the Operator shall ensure that the MEL does not affect the aeroplane's compliance with the airworthiness requirements applicable in the State of Registry.

Note.— Attachment C contains guidance on the minimum equipment list.

6.1.4 The operator shall provide operations staff and flight crew with an aircraft operating manual, for each aircraft type operated, containing the normal, abnormal and emergency procedures relating to the operation of the aircraft. The manual shall include details of the aircraft systems and of the checklists to be used. The design of the manual shall observe Human Factors principles.

Note.— Guidance material on the application of Human Factors principles can be found in the Human Factors Training Manual *(Doc 9683)*.

6.1.5 Aeroplane operated under an Article 83 *bis* agreement

Note.— Guidance concerning the transfer of responsibilities by the State of Registry to the State of the Operator in accordance with Article 83 bis *is contained in the* Manual on the Implementation of Article 83 *bis* of the Convention on International Civil Aviation *(Doc 10059).*

6.1.5.1 An aeroplane, when operating under an Article 83 *bis* agreement entered into between the State of Registry and the State of the Operator, shall carry a certified true copy of the agreement summary, in either an electronic or hard copy format. When the summary is issued in a language other than English, an English translation shall be included.

Note.— Guidance regarding the agreement summary is contained in the Manual on the Implementation of Article 83 *bis* of the Convention on International Civil Aviation *(Doc 10059).*

6.1.5.2 The agreement summary of an Article 83 *bis* agreement shall be accessible to a civil aviation safety inspector to determine which functions and duties are transferred under the agreement by the State of Registry to the State of the Operator, when conducting surveillance activities, such as ramp checks.

Note.— Guidance for the civil aviation safety inspector conducting an inspection of an aeroplane operated under an Article 83 bis *agreement is contained in the* Manual of Procedures for Operations Inspection, Certification and Continued Surveillance *(Doc 8335).*

6.1.5.3 The agreement summary shall be transmitted to ICAO together with the Article 83 *bis* agreement for registration with the ICAO Council by the State of Registry or the State of the Operator.

Note.— The agreement summary transmitted with the Article 83 bis *agreement registered with the ICAO Council contains the list of all aircraft affected by the agreement. However, the certified true copy to be carried on board, as per 6.1.5.1, will need to list only the specific aircraft carrying the copy.*

6.1.5.4 **Recommendation.**— *The agreement summary should contain the information in Appendix 10 for the specific aircraft and should follow the layout of Appendix 10, paragraph 2.*

6.2 ALL AEROPLANES ON ALL FLIGHTS

6.2.1 An aeroplane shall be equipped with instruments which will enable the flight crew to control the flight path of the aeroplane, carry out any required procedural manoeuvres and observe the operating limitations of the aeroplane in the expected operating conditions.

6.2.2 An aeroplane shall be equipped with:

a) accessible and adequate medical supplies;

Recommendation.— *Medical supplies should comprise:*

1) one or more first-aid kits for the use of cabin crew in managing incidents of ill health; and

2) for aeroplanes required to carry cabin crew as part of the operating crew, one universal precaution kit (two for aeroplanes authorized to carry more than 250 passengers) for the use of cabin crew members in managing incidents of ill health associated with a case of suspected communicable disease, or in the case of illness involving contact with body fluids; and

3) for aeroplanes authorized to carry more than 100 passengers, on a sector length of more than two hours, a medical kit, for the use of medical doctors or other qualified persons in treating in-flight medical emergencies.

Note.— Guidance on the types, number, location and contents of the medical supplies is given in Attachment A.

b) portable fire extinguishers of a type which, when discharged, will not cause dangerous contamination of the air within the aeroplane. At least one shall be located in:

1) the pilot's compartment; and

2) each passenger compartment that is separate from the pilot's compartment and that is not readily accessible to the flight crew;

Note 1.— Any portable fire extinguisher so fitted in accordance with the certificate of airworthiness of the aeroplane may count as one prescribed.

Note 2.— Refer to 6.2.2.1 for fire extinguishing agents.

c) 1) a seat or berth for each person over an age to be determined by the State of the Operator;

2) a seat belt for each seat and restraining belts for each berth; and

3) a safety harness for each flight crew seat. The safety harness for each pilot seat shall incorporate a device which will automatically restrain the occupant's torso in the event of rapid deceleration;

Recommendation.— *The safety harness for each pilot seat should incorporate a device to prevent a suddenly incapacitated pilot from interfering with the flight controls*.

Note.— Safety harness includes shoulder straps and a seat belt which may be used independently.

d) means of ensuring that the following information and instructions are conveyed to passengers:

1) when seat belts are to be fastened;

2) when and how oxygen equipment is to be used if the carriage of oxygen is required;

3) restrictions on smoking;

4) location and use of life jackets or equivalent individual flotation devices where their carriage is required; and

5) location and method of opening emergency exits; and

e) spare electrical fuses of appropriate ratings for replacement of those accessible in flight.

6.2.2.1 Any agent used in a built-in fire extinguisher for each lavatory disposal receptacle for towels, paper or waste in an aeroplane for which the individual certificate of airworthiness is first issued on or after 31 December 2011 and any extinguishing agent used in a portable fire extinguisher in an aeroplane for which the individual certificate of airworthiness is first issued on or after 31 December 2018 shall:

a) meet the applicable minimum performance requirements of the State of Registry; and

b) not be of a type listed in the 1987 *Montreal Protocol on Substances that Deplete the Ozone Layer* as it appears in the Eighth Edition of the *Handbook for the Montreal Protocol on Substances that Deplete the Ozone La*yer, Annex A, Group II.

Note.— Information concerning extinguishing agents is contained in the UNEP Halons Technical Options Committee Technical Note No. 1 – New Technology Halon Alternatives *and* FAA Report No. DOT/FAA/AR-99-63, Options to the Use of Halons for Aircraft Fire Suppression Systems.

6.2.3 An aeroplane shall carry:

a) the operations manual prescribed in Chapter 4, 4.2.3, or those parts of it that pertain to flight operations;

b) the flight manual for the aeroplane, or other documents containing performance data required for the application of Chapter 5 and any other information necessary for the operation of the aeroplane within the terms of its certificate of airworthiness, unless these data are available in the operations manual; and

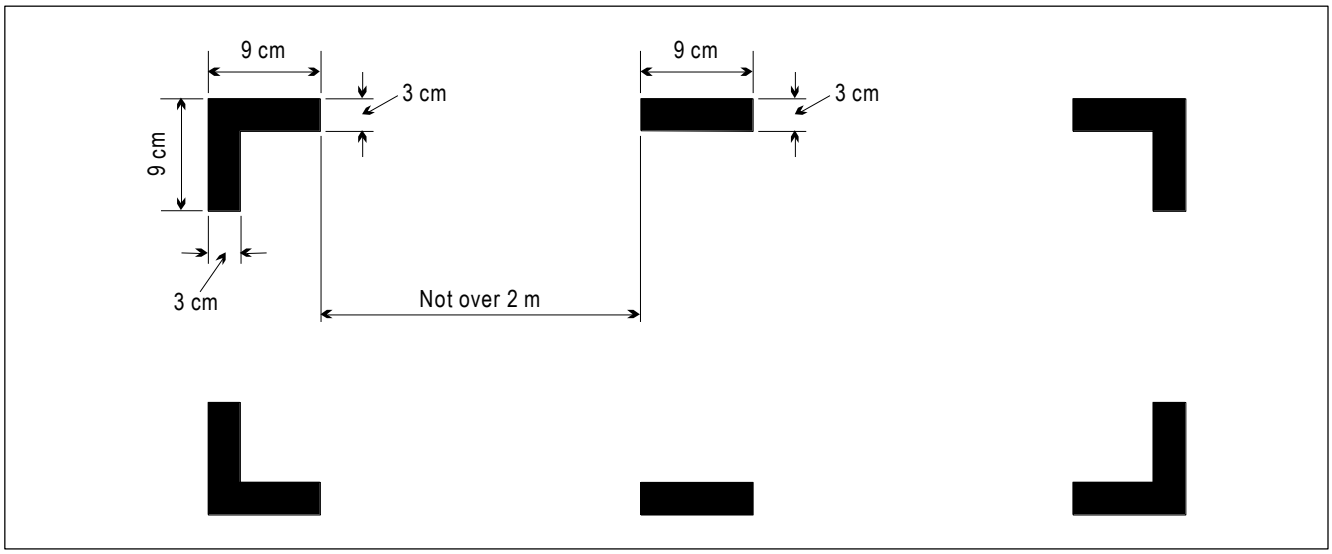
c) current and suitable charts to cover the route of the proposed flight and any route along which it is reasonable to expect that the flight may be diverted.

6.2.4 Marking of break-in points

6.2.4.1 If areas of the fuselage suitable for break-in by rescue crews in an emergency are marked on an aeroplane, such areas shall be marked as shown below (see figure following). The colour of the markings shall be red or yellow, and if necessary they shall be outlined in white to contrast with the background.

6.2.4.2 If the corner markings are more than 2 m apart, intermediate lines 9 cm × 3 cm shall be inserted so that there is no more than 2 m between adjacent markings.

Note.— This Standard does not require any aeroplane to have break-in areas.



MARKING OF BREAK-IN POINTS (see 6.2.4)

6.3 FLIGHT RECORDERS

Note 1.— Crash-protected flight recorders comprise one or more of the following:

— *a flight data recorder (FDR),*
— *a cockpit voice recorder (CVR),*
— *an airborne image recorder (AIR),*
— *a data link recorder (DLR).*

As per Appendix 8, image and data link information may be recorded on either the CVR or the FDR.

Note 2.— Lightweight flight recorders comprise one or more of the following:

— *an aircraft data recording system (ADRS),*
— *a cockpit audio recording system (CARS),*
— *an airborne image recording system (AIRS),*
— *a data link recording system (DLRS).*

As per Appendix 8, image and data link information may be recorded on either the CARS or the ADRS.

Note 3.— Detailed requirements on flight recorders are contained in Appendix 8.

Note 4.— For aeroplanes for which the application for type certification is submitted to a Contracting State before 1 January 2016, specifications applicable to crash-protected flight recorders may be found in EUROCAE ED-112, ED-56A, ED-55, Minimum Operational Performance Specifications (MOPS), or earlier equivalent documents.

Note 5.— For aeroplanes for which the application for type certification is submitted to a Contracting State on or after 1 January 2016, specifications applicable to crash-protected flight recorders may be found in EUROCAE ED-112A, Minimum Operational Performance Specification (MOPS), or equivalent documents.

Note 6.— Specifications applicable to lightweight flight recorders may be found in EUROCAE ED-155, Minimum Operational Performance Specification (MOPS), or equivalent documents.

Note 7.— Chapter 3 contains requirements for States regarding the use of voice, image and/or data recordings and transcripts.

6.3.1 Flight data recorders and aircraft data recording systems

Note.—Parameters to be recorded are listed in Tables A8-1 and A8-3 of Appendix 8.

6.3.1.1 *Applicability*

6.3.1.1.1 All turbine-engined aeroplanes of a maximum certificated take-off mass of 5 700 kg or less for which the application for type certification is submitted to a Contracting State on or after 1 January 2016 shall be equipped with:

a) an FDR which shall record at least the first 16 parameters listed in Table A8-1 of Appendix 8; or

b) a Class C AIR or AIRS which shall record at least the flight path and speed parameters displayed to the pilot(s), as defined in 2.2.3 of Appendix 8; or

c) an ADRS which shall record at least the first 7 parameters listed in Table A8-3 of Appendix 8.

Note 1.— "The application for type certification is submitted to a Contracting State" refers to the date of application of the original "Type Certificate" for the aeroplane type, not the date of certification of particular aeroplane variants or derivative models.

Note 2.— AIR or AIRS classification is defined in 6.2 of Appendix 8.

6.3.1.1.2 **Recommendation.—** *All turbine-engined aeroplanes of a maximum certificated take-off mass of 5 700 kg or less for which the individual certificate of airworthiness is first issued on or after 1 January 2016 should be equipped with:*

a) an FDR which should record at least the first 16 parameters listed in Table A8-1 of Appendix 8; or

b) a Class C AIR or AIRS which should record at least the flight path and speed parameters displayed to the pilot(s), as defined in 2.2.3 of Appendix 8; or

c) an ADRS which should record at least the first 7 parameters listed in Table A8-3 of Appendix 8.

6.3.1.1.3 All aeroplanes of a maximum certificated take-off mass of over 27 000 kg for which the individual certificate of airworthiness is first issued on or after 1 January 1989 shall be equipped with an FDR which shall record at least the first 32 parameters listed in Table A8-1 of Appendix 8.

6.3.1.1.4 All aeroplanes of a maximum certificated take-off mass of over 5 700 kg, up to and including 27 000 kg, for which the individual certificate of airworthiness is first issued on or after 1 January 1989, shall be equipped with an FDR which shall record at least the first 16 parameters listed in Table A8-1 of Appendix 8.

6.3.1.1.5 **Recommendation.—** *All multi-engined turbine-engined aeroplanes of a maximum certificated take-off mass of 5 700 kg or less for which the individual certificate of airworthiness is first issued on or after 1 January 1990 should be equipped with an FDR which should record at least the first 16 parameters listed in Table A8-1 of Appendix 8.*

6.3.1.1.6 All turbine-engined aeroplanes, for which the individual certificate of airworthiness was first issued before 1 January 1989, with a maximum certificated take-off mass of over 5 700 kg, except those in 6.3.1.1.8, shall be equipped with an FDR which shall record at least the first 5 parameters listed in Table A8-1 of Appendix 8.

6.3.1.1.7 **Recommendation.—** *All turbine-engined aeroplanes, for which the individual certificate of airworthiness was first issued on or after 1 January 1987 but before 1 January 1989, with a maximum certificated take-off mass of over 5 700 kg, except those in 6.3.1.1.8, should be equipped with an FDR which should record at least the first 9 parameters listed in Table A8-1 of Appendix 8.*

6.3.1.1.8 All turbine-engined aeroplanes, for which the individual certificate of airworthiness was first issued on or after 1 January 1987 but before 1 January 1989, with a maximum certificated take-off mass of over 27 000 kg that are of types of which the prototype was certificated by the appropriate national authority after 30 September 1969 shall be equipped with an FDR which shall record at least the first 16 parameters listed in Table A8-1 of Appendix 8.

6.3.1.1.9 **Recommendation.—** *All turbine-engined aeroplanes, for which the individual certificate of airworthiness was first issued before 1 January 1987, with a maximum certificated take-off mass of over 27 000 kg that are of types of which the prototype was certificated by the appropriate national authority after 30 September 1969 should be equipped with an FDR which should record, in addition to the first 5 parameters listed in Table A8-1 of Appendix 8, such additional parameters as are necessary to meet the objectives of determining:*

a) the attitude of the aeroplane in achieving its flight path; and

b) the basic forces acting upon the aeroplane resulting in the achieved flight path and the origin of such basic forces.

6.3.1.1.10 All aeroplanes of a maximum certificated take-off mass of over 5 700 kg for which the individual certificate of airworthiness is first issued after 1 January 2005 shall be equipped with an FDR which shall record at least the first 78 parameters listed in Table A8-1 of Appendix 8.

6.3.1.1.11 All aeroplanes of a maximum certificated take-off mass of over 5 700 kg for which the application for type certification is submitted to a Contracting State on or after 1 January 2023 shall be equipped with an FDR capable of recording at least the 82 parameters listed in Table A8-1 of Appendix 8.

6.3.1.1.12 **Recommendation.—** *All aeroplanes of a maximum certificated take-off mass of over 5 700 kg for which the individual certificate of airworthiness is first issued on or after 1 January 2023 shall be equipped with an FDR capable of recording at least the 82 parameters listed in Table A8-1 of Appendix 8.*

6.3.1.2 *Recording technology*

FDRs or ADRS shall not use engraving metal foil, frequency modulation (FM), photographic film or magnetic tape.

6.3.1.3 *Duration*

All FDRs shall retain the information recorded during at least the last 25 hours of their operation, with the exception of those installed on aeroplanes referenced in 6.3.1.1.5 for which the FDR shall retain the information recorded during at least the last 30 minutes of its operation, and, in addition, sufficient information from the preceding take-off for calibration purposes.

6.3.2 Cockpit voice recorders and cockpit audio recording systems

6.3.2.1 *Applicability*

6.3.2.1.1 All turbine-engined aeroplanes of a maximum certificated take-off mass of over 2 250 kg, up to and including 5 700 kg, for which the application for type certification is submitted to a Contracting State on or after 1 January 2016 and required to be operated by more than one pilot shall be equipped with either a CVR or a CARS.

6.3.2.1.2 **Recommendation.—** *All turbine-engined aeroplanes of a maximum certificated take-off mass of 5 700 kg or less for which the individual certificate of airworthiness is first issued on or after 1 January 2016 and required to be operated by more than one pilot should be equipped with either a CVR or a CARS.*

6.3.2.1.3 All aeroplanes of a maximum certificated take-off mass of over 5 700 kg for which the individual certificate of airworthiness is first issued on or after 1 January 1987 shall be equipped with a CVR.

6.3.2.1.4 All turbine-engined aeroplanes, for which the individual certificate of airworthiness was first issued before 1 January 1987, with a maximum certificated take-off mass of over 27 000 kg that are of types of which the prototype was certificated by the appropriate national authority after 30 September 1969 shall be equipped with a CVR.

6.3.2.1.5 **Recommendation.—** *All turbine-engined aeroplanes, for which the individual certificate of airworthiness was first issued before 1 January 1987, with a maximum certificated take-off mass of over 5 700 kg up to and including 27 000 kg that are of types of which the prototype was certificated by the appropriate national authority after 30 September 1969 should be equipped with a CVR.*

6.3.2.2 *Recording technology*

CVRs and CARS shall not use magnetic tape or wire.

6.3.2.3 *Duration*

6.3.2.3.1 All CVRs shall retain the information recorded during at least the last 2 hours of their operation.

6.3.2.3.2 All aeroplanes of a maximum certificated take-off mass of over 27 000 kg for which the individual certificate of airworthiness is first issued on or after 1 January 2022 shall be equipped with a CVR which shall retain the information recorded during at least the last 25 hours of its operation.

6.3.2.3.3 All aeroplanes that are required to be equipped with CARS, and for which the individual certificate of airworthiness is first issued on or after 1 January 2025, shall be equipped with a CARS which shall retain the information recorded during at least the last two hours of their operation.

6.3.2.4 *Cockpit voice recorder alternate power source*

6.3.2.4.1 An alternate power source shall automatically engage and provide 10 minutes, plus or minus one minute, of operation whenever aeroplane power to the recorder ceases, either by normal shutdown or by any other loss of power. The alternate power source shall power the CVR and its associated cockpit area microphone components. The CVR shall be located as close as practicable to the alternate power source.

Note 1.— "Alternate" means separate from the power source that normally provides power to the CVR. The use of aeroplane batteries or other power sources is acceptable provided that the requirements above are met and electrical power to essential and critical loads is not compromised.

Note 2.— When the CVR function is combined with other recording functions within the same unit, powering the other functions is allowed.

6.3.2.4.2 All aeroplanes of a maximum certificated take-off mass of over 27 000 kg for which the application for type certification is submitted to a Contracting State on or after 1 January 2018 shall be provided with an alternate power source, as defined in 6.3.2.4.1, that powers the forward CVR in the case of combination recorders.

6.3.2.4.3 **Recommendation.—** *All aeroplanes of a maximum certificated take-off mass of over 27 000 kg for which the individual certificate of airworthiness is first issued on or after 1 January 2018 should be provided with an alternate power source, as defined in 6.3.2.4.1, that powers at least one CVR.*

6.3.3 Data link recorders

6.3.3.1 *Applicability*

6.3.3.1.1 All aeroplanes for which the individual certificate of airworthiness is first issued on or after 1 January 2016, which use any of the data link communications applications referred to in 5.1.2 of Appendix 8 and are required to carry a CVR, shall record the data link communications messages on a crash-protected flight recorder.

6.3.3.1.2 All aeroplanes for which the individual certificate of airworthiness was first issued before 1 January 2016, that are required to carry a CVR and are modified on or after 1 January 2016 to use any of the data link communications applications referred to in 5.1.2 of Appendix 8, shall record the data link communications messages on a crash-protected flight recorder, unless the installed data link communications equipment is compliant with a type certificate issued or aircraft modification first approved prior to 1 January 2016.

Note 1.— Refer to Table I-5 in Attachment I for examples of data link communication recording requirements.

Note 2.— A Class B AIR could be a means for recording data link communications applications messages to and from the aeroplanes where it is not practical or is prohibitively expensive to record those data link communications applications messages on FDR or CVR.

Note 3.— The "aircraft modifications" refer to modifications to install the data link communications equipment on the aircraft (e.g. structural, wiring).

6.3.3.1.3 **Recommendation**.— *All aeroplanes for which the individual certificate of airworthiness was first issued before 1 January 2016, that are required to carry a CVR and are modified on or after 1 January 2016 to use any of the data link communications applications referred to in 5.1.2 of Appendix 8 should record the data link communications messages on a crash-protected flight recorder.*

6.3.3.2 *Duration*

The minimum recording duration shall be equal to the duration of the CVR.

6.3.3.3 *Correlation*

Data link recording shall be able to be correlated to the recorded cockpit audio.

6.3.4 Flight crew-machine interface recordings

6.3.4.1 *Applicability*

6.3.4.1.1 All aeroplanes of a maximum take-off mass of over 27 000 kg for which the application for type certification is submitted to a Contracting State on or after 1 January 2023 shall be equipped with a crash-protected flight recorder which shall record the information displayed to the flight crew from electronic displays, as well as the operation of switches and selectors by the flight crew as defined in Appendix 8.

6.3.4.1.2 **Recommendation**.— *All aeroplanes of a maximum take-off mass of over 5 700 kg, up to and including 27 000 kg, for which the application for type certification is submitted to a Contracting State on or after 1 January 2023 should be equipped with a crash-protected flight recorder which should record the information displayed to the flight crew from electronic displays, as well as the operation of switches and selectors by the flight crew, as defined in Appendix 8.*

6.3.4.2 *Duration*

The minimum flight crew-machine interface recording duration shall be at least for the last two hours.

6.3.4.3 *Correlation*

Flight crew-machine interface recordings shall be able to be correlated to the recorded cockpit audio.

6.3.5 Flight recorders — general

6.3.5.1 *Construction and installation*

Flight recorders shall be constructed, located and installed so as to provide maximum practical protection for the recordings in order that the recorded information may be preserved, recovered and transcribed. Flight recorders shall meet the prescribed crashworthiness and fire protection specifications.

6.3.5.2 *Operation*

6.3.5.2.1 Flight recorders shall not be switched off during flight time.

6.3.5.2.2 To preserve flight recorder records, flight recorders shall be deactivated upon completion of flight time following an accident or incident. The flight recorders shall not be reactivated before their disposition as determined in accordance with Annex 13.

Note 1.— The need for removal of the flight recorder records from the aircraft will be determined by the investigation authority in the State conducting the investigation with due regard to the seriousness of an occurrence and the circumstances, including the impact on the operation.

Note 2.— The operator's responsibilities regarding the retention of flight recorder records are contained in 11.6.

6.3.5.3 *Continued serviceability*

Operational checks and evaluations of recordings from the flight recorder systems shall be conducted to ensure the continued serviceability of the recorders.

Note.— Procedures for the inspections of the flight recorder systems are given in Appendix 8.

6.3.5.4 *Flight recorder electronic documentation*

Recommendation.— *The documentation requirement concerning FDR and ADRS parameters provided by operators to accident investigation authorities should be in electronic format and take account of industry specifications.*

Note.— Industry specification for documentation concerning flight recorder parameters may be found in the ARINC 647A, Flight Recorder Electronic Documentation*, or equivalent document.*

6.3.5.5 *Combination recorders*

6.3.5.5.1 **Recommendation.—** *All aeroplanes of a maximum certificated take-off mass of over 5 700 kg for which the application for type certification is submitted to a Contracting State on or after 1 January 2016, and which are required to be equipped with both a CVR and an FDR, should be equipped with two combination recorders (FDR/CVR).*

6.3.5.5.2 All aeroplanes of a maximum certificated take-off mass of over 15 000 kg for which the application for type certification is submitted to a Contracting State on or after 1 January 2016, and which are required to be equipped with both a CVR and an FDR, shall be equipped with two combination recorders (FDR/CVR). One recorder shall be located as close to the cockpit as practicable and the other recorder located as far aft as practicable.

6.3.5.5.3 **Recommendation.—** *All aeroplanes of a maximum certificated take-off mass over 5 700 kg, required to be equipped with an FDR and a CVR, may alternatively be equipped with two combination recorders (FDR/CVR).*

Note.— The requirement of 6.3.4.5 may be satisfied by equipping the aeroplanes with two combination recorders (one forward and one aft) or separate devices.

6.3.5.5.4 **Recommendation.—** *All multi-engined turbine-powered aeroplanes of a maximum certificated take-off mass of 5 700 kg or less, required to be equipped with an FDR and/or a CVR, may alternatively be equipped with one combination recorder (FDR/CVR).*

6.3.6 Flight recorder data recovery

6.3.6.1 All aeroplanes of a maximum certificated take-off mass of over 27 000 kg and authorized to carry more than nineteen passengers for which the application for type certification is submitted to a Contracting State on or after 1 January 2021, shall be equipped with a means approved by the State of the Operator, to recover flight recorder data and make it available in a timely manner.

6.3.6.2 In approving the means to make flight recorder data available in a timely manner, the State of the Operator shall take into account the following:

a) the capabilities of the operator;

b) overall capability of the aeroplane and its systems as certified by the State of Design;

c) the reliability of the means to recover the appropriate CVR channels and appropriate FDR data; and

d) specific mitigation measures.

Note.— Guidance on approving the means to make flight recorder data available in a timely manner is contained in the Manual on Location of Aircraft in Distress and Flight Recorder Data Recovery *(Doc 10054).*

6.4 ALL AEROPLANES OPERATED AS VFR FLIGHTS

6.4.1 All aeroplanes when operated as VFR flights shall be equipped with:

a) a magnetic compass;

b) an accurate timepiece indicating the time in hours, minutes and seconds;

c) a sensitive pressure altimeter;

d) an airspeed indicator; and

e) such additional instruments or equipment as may be prescribed by the appropriate authority.

6.4.2 VFR flights which are operated as controlled flights shall be equipped in accordance with 6.9.

6.5 ALL AEROPLANES ON FLIGHTS OVER WATER

6.5.1 Seaplanes

All seaplanes for all flights shall be equipped with:

a) one life jacket, or equivalent individual flotation device, for each person on board, stowed in a position easily accessible from the seat or berth of the person for whose use it is provided;

b) equipment for making the sound signals prescribed in the International Regulations for Preventing Collisions at Sea, where applicable; and

c) one sea anchor (drogue).

Note.— "Seaplanes" includes amphibians operated as seaplanes.

6.5.2 Landplanes

6.5.2.1 Landplanes shall carry the equipment prescribed in 6.5.2.2:

a) when flying over water and at a distance of more than 93 km (50 NM) away from the shore, in the case of landplanes operated in accordance with 5.2.9 or 5.2.10;

b) when flying en route over water beyond gliding distance from the shore, in the case of all other landplanes; and

c) when taking off or landing at an aerodrome where, in the opinion of the State of the Operator, the take-off or approach path is so disposed over water that in the event of a mishap there would be a likelihood of a ditching.

6.5.2.2 The equipment referred to in 6.5.2.1 shall comprise one life jacket or equivalent individual flotation device for each person on board, stowed in a position easily accessible from the seat or berth of the person for whose use it is provided.

Note 1.— "Landplanes" includes amphibians operated as landplanes.

Note 2.— Life jackets accessible from seats or berths located in crew rest compartments are required only if the seats or berths concerned are certified to be occupied during take-off and landing.

Note 3. — Information regarding the acceptable means of compliance with this Standard, particularly in the case of infants, can be found in the Guidance on the Preparation of an Operations Manual *(Doc 10153), Chapter11, Attachment D.*

6.5.3 All aeroplanes on long-range over-water flights

6.5.3.1 In addition to the equipment prescribed in 6.5.1 or 6.5.2 whichever is applicable, the following equipment shall be installed in all aeroplanes when used over routes on which the aeroplane may be over water and at more than a distance corresponding to 120 minutes at cruising speed or 740 km (400 NM), whichever is the lesser, away from land suitable for making an emergency landing in the case of aircraft operated in accordance with 5.2.9 or 5.2.10, and 30 minutes or 185 km (100 NM), whichever is the lesser, for all other aeroplanes:

a) life-saving rafts in sufficient numbers to carry all persons on board, stowed so as to facilitate their ready use in emergency, provided with such life-saving equipment including means of sustaining life as is appropriate to the flight to be undertaken;

b) equipment for making the pyrotechnical distress signals described in Annex 2; and

c) at the earliest practicable date, but not later than 1 January 2018, on all aeroplanes of a maximum certificated take-off mass of over 27 000 kg, a securely attached underwater locating device operating at a frequency of 8.8 kHz. This automatically activated underwater locating device shall operate for a minimum of 30 days and shall not be installed in wings or empennage.

Note.— Underwater locator beacon (ULB) performance requirements are as contained in the SAE AS6254, Minimum Performance Standard for Low Frequency Underwater Locating Devices (Acoustic) (Self-Powered)*, or equivalent documents.*

6.5.3.2 Each life jacket and equivalent individual flotation device, when carried in accordance with 6.5.1 a), 6.5.2.1 and 6.5.2.2, shall be equipped with a means of electric illumination for the purpose of facilitating the location of persons, except where the requirement of 6.5.2.1 c) is met by the provision of individual flotation devices other than life jackets.

6.6 ALL AEROPLANES ON FLIGHTS OVER DESIGNATED LAND AREAS

Aeroplanes, when operated across land areas which have been designated by the State concerned as areas in which search and rescue would be especially difficult, shall be equipped with such signalling devices and life-saving equipment (including means of sustaining life) as may be appropriate to the area overflown.

6.7 ALL AEROPLANES ON HIGH ALTITUDE FLIGHTS

Note.— Approximate altitude in the Standard Atmosphere corresponding to the value of absolute pressure used in this text is as follows:

Absolute pressure	Metres	Feet
700 hPa	*3 000*	*10 000*
620 hPa	*4 000*	*13 000*
376 hPa	*7 600*	*25 000*

6.7.1 An aeroplane intended to be operated at flight altitudes at which the atmospheric pressure is less than 700 hPa in personnel compartments shall be equipped with oxygen storage and dispensing apparatus capable of storing and dispensing the oxygen supplies required in 4.3.9.1.

6.7.2 An aeroplane intended to be operated at flight altitudes at which the atmospheric pressure is less than 700 hPa but which is provided with means of maintaining pressures greater than 700 hPa in personnel compartments shall be provided with oxygen storage and dispensing apparatus capable of storing and dispensing the oxygen supplies required in 4.3.9.2.

6.7.3 Pressurized aeroplanes newly introduced into service on or after 1 July 1962 and intended to be operated at flight altitudes at which the atmospheric pressure is less than 376 hPa shall be equipped with a device to provide positive warning to the flight crew of any dangerous loss of pressurization.

6.7.4 **Recommendation.—** *Pressurized aeroplanes introduced into service before 1 July 1962 and intended to be operated at flight altitudes at which the atmospheric pressure is less than 376 hPa should be equipped with a device to provide positive warning to the flight crew of any dangerous loss of pressurization.*

6.7.5 An aeroplane intended to be operated at flight altitudes at which the atmospheric pressure is less than 376 hPa, or which, if operated at flight altitudes at which the atmospheric pressure is more than 376 hPa, cannot descend safely within four minutes to a flight altitude at which the atmospheric pressure is equal to 620 hPa and for which the individual certificate of airworthiness is first issued on or after 9 November 1998, shall be provided with automatically deployable oxygen equipment to satisfy the requirements of 4.3.9.2. The total number of oxygen dispensing units shall exceed the number of passenger and cabin crew seats by at least 10 per cent.

6.7.6 **Recommendation.—** *An aeroplane intended to be operated at flight altitudes at which the atmospheric pressure is less than 376 hPa, or which, if operated at flight altitudes at which the atmospheric pressure is more than 376 hPa cannot descend safely within four minutes to a flight altitude at which the atmospheric pressure is equal to 620 hPa, and for which the individual certificate of airworthiness was first issued before 9 November 1998, should be provided with automatically deployable oxygen equipment to satisfy the requirements of 4.3.9.2. The total number of oxygen dispensing units should exceed the number of passenger and cabin crew seats by at least 10 per cent.*

6.8 ALL AEROPLANES IN ICING CONDITIONS

All aeroplanes shall be equipped with suitable de-icing and/or anti-icing devices when operated in circumstances in which icing conditions are reported to exist or are expected to be encountered.

6.9 ALL AEROPLANES OPERATED IN ACCORDANCE WITH INSTRUMENT FLIGHT RULES

6.9.1 All aeroplanes when operated in accordance with the instrument flight rules, or when the aeroplane cannot be maintained in a desired attitude without reference to one or more flight instruments, shall be equipped with:

a) a magnetic compass;

b) an accurate timepiece indicating the time in hours, minutes and seconds;

c) two sensitive pressure altimeters with counter drum-pointer or equivalent presentation;

Note.— Neither three-pointer nor drum-pointer altimeters satisfy the requirement in 6.9.1 c).

d) an airspeed indicating system with means of preventing malfunctioning due to either condensation or icing;

e) a turn and slip indicator;

f) an attitude indicator (artificial horizon);

g) a heading indicator (directional gyroscope);

Note.— The requirements of 6.9.1 e), f) and g) may be met by combinations of instruments or by integrated flight director systems provided that the safeguards against total failure, inherent in the three separate instruments, are retained.

h) a means of indicating whether the power supply to the gyroscopic instrument is adequate;

i) a means of indicating in the flight crew compartment the outside air temperature;

j) a rate-of-climb and descent indicator; and

k) such additional instruments or equipment as may be prescribed by the appropriate authority.

6.9.2 All aeroplanes over 5 700 kg — Emergency power supply for electrically operated attitude indicating instruments

6.9.2.1 All aeroplanes of a maximum certificated take-off mass of over 5 700 kg newly introduced into service after 1 January 1975 shall be fitted with an emergency power supply, independent of the main electrical generating system, for the purpose of operating and illuminating, for a minimum period of 30 minutes, an attitude indicating instrument (artificial horizon), clearly visible to the pilot-in-command. The emergency power supply shall be automatically operative after the total failure of the main electrical generating system and clear indication shall be given on the instrument panel that the attitude indicator(s) is being operated by emergency power.

6.9.2.2 Those instruments that are used by any one pilot shall be so arranged as to permit the pilot to see their indications readily from the pilot's station, with the minimum practicable deviation from the position and line of vision normally assumed when looking forward along the flight path.

6.10 ALL AEROPLANES WHEN OPERATED AT NIGHT

All aeroplanes when operated at night shall be equipped with:

a) all equipment specified in 6.9;

b) the lights required by Annex 2 for aircraft in flight or operating on the movement area of an aerodrome;

Note.— Specifications for lights meeting the requirements of Annex 2 for navigation lights are contained in Appendix 1. The general characteristics of lights are specified in Annex 8.

c) two landing lights;

Note.— Aeroplanes not certificated in accordance with Annex 8 which are equipped with a single landing light having two separately energized filaments will be considered to have complied with 6.10 c).

d) illumination for all instruments and equipment that are essential for the safe operation of the aeroplane that are used by the flight crew;

e) lights in all passenger compartments; and

f) an independent portable light for each crew member station.

6.11 PRESSURIZED AEROPLANES WHEN CARRYING PASSENGERS — WEATHER RADAR

Recommendation.— *Pressurized aeroplanes when carrying passengers should be equipped with operative weather radar whenever such aeroplanes are being operated in areas where thunderstorms or other potentially hazardous weather conditions, regarded as detectable with airborne weather radar, may be expected to exist along the route either at night or under instrument meteorological conditions.*

6.12 ALL AEROPLANES OPERATED ABOVE 15 000 M (49 000 FT) — RADIATION INDICATOR

All aeroplanes intended to be operated above 15 000 m (49 000 ft) shall carry equipment to measure and indicate continuously the dose rate of total cosmic radiation being received (i.e. the total of ionizing and neutron radiation of galactic and solar origin) and the cumulative dose on each flight. The display unit of the equipment shall be readily visible to a flight crew member.

Note.— The equipment is calibrated on the basis of assumptions acceptable to the appropriate national authorities.

6.13 ALL AEROPLANES COMPLYING WITH THE NOISE CERTIFICATION STANDARDS IN ANNEX 16, VOLUME I

An aeroplane shall carry a document attesting noise certification. When the document, or a suitable statement attesting noise certification as contained in another document approved by the State of Registry, is issued in a language other than English, it shall include an English translation.

Note.— The attestation may be contained in any document, carried on board, approved by the State of Registry.

6.14 MACH NUMBER INDICATOR

All aeroplanes with speed limitations expressed in terms of Mach number shall be equipped with a Mach number indicator.

Note.— This does not preclude the use of the airspeed indicator to derive Mach number for ATS purposes.

6.15 AEROPLANES REQUIRED TO BE EQUIPPED WITH GROUND PROXIMITY WARNING SYSTEMS (GPWS)

6.15.1 All turbine-engined aeroplanes of a maximum certificated take-off mass in excess of 5 700 kg or authorized to carry more than nine passengers shall be equipped with a ground proximity warning system which has a forward-looking terrain avoidance function.

6.15.2 The operator shall implement database management procedures that ensure the timely distribution and update of current terrain and obstacle data to the ground proximity warning system.

6.15.3 **Recommendation.—** *All turbine-engined aeroplanes of a maximum certificated take-off mass of 5 700 kg or less and authorized to carry more than five but not more than nine passengers should be equipped with a ground proximity warning system which provides the warnings of 6.15.7 a) and c), warning of unsafe terrain clearance and a forward looking terrain avoidance function.*

6.15.4 All turbine-engined aeroplanes of a maximum certificated take-off mass of 5 700 kg or less and authorized to carry more than five, but not more than nine, passengers for which the individual certificate of airworthiness is first issued on or after 1 January 2026, shall be equipped with a ground proximity warning system which provides the warnings of 6.15.7 a) and c), warning of unsafe terrain clearance, and a forward looking terrain avoidance function.

6.15.5 All piston-engined aeroplanes of a maximum certificated take-off mass in excess of 5 700 kg or authorized to carry more than nine passengers shall be equipped with a ground proximity warning system which provides the warnings in 6.15.6 a) and c), warning of unsafe terrain clearance and a forward-looking terrain avoidance function.

6.15.6 A ground proximity warning system shall provide automatically a timely and distinctive warning to the flight crew when the aeroplane is in potentially hazardous proximity to the earth's surface.

6.15.7 A ground proximity warning system shall provide, unless otherwise specified herein, warnings of the following circumstances:

a) excessive descent rate;

b) excessive terrain closure rate;

c) excessive altitude loss after take-off or go-around;

d) unsafe terrain clearance while not in landing configuration:

   1) gear not locked down;

   2) flaps not in a landing position; and

e) excessive descent below the instrument glide path.

6.16 AEROPLANES CARRYING PASSENGERS — CABIN CREW SEATS

6.16.1 Aeroplanes for which the individual certificate of airworthiness is first issued on or after 1 January 1981

All aeroplanes shall be equipped with a forward or rearward facing (within 15 degrees of the longitudinal axis of the aeroplane) seat, fitted with a safety harness for the use of each cabin crew member required to satisfy the intent of 12.1 in respect of emergency evacuation.

6.16.2 Aeroplanes for which the individual certificate of airworthiness was first issued before 1 January 1981

Recommendation.— *All aeroplanes should be equipped with a forward or rearward facing (within 15 degrees of the longitudinal axis of the aeroplane) seat, fitted with a safety harness for the use of each cabin crew member required to satisfy the intent of 12.1 in respect of emergency evacuation.*

Note.— Safety harness includes shoulder straps and a seat belt which may be used independently.

6.16.3 Cabin crew seats provided in accordance with 6.16.1 and 6.16.2 shall be located near floor level and other emergency exits as required by the State of Registry for emergency evacuation.

6.17 EMERGENCY LOCATOR TRANSMITTER (ELT)

6.17.1 **Recommendation.—** *All aeroplanes should carry an automatic ELT.*

6.17.2 Except as provided for in 6.17.3, all aeroplanes authorized to carry more than 19 passengers shall be equipped with at least one automatic ELT or two ELTs of any type.

6.17.3 All aeroplanes authorized to carry more than 19 passengers for which the individual certificate of airworthiness is first issued after 1 July 2008 shall be equipped with either:

a) at least two ELTs, one of which shall be automatic; or

b) at least one ELT and a capability that meets the requirements of 6.18.

Note.— In the case where the requirements for 6.18 are met by another system no automatic ELT is required.

6.17.4 Except as provided for in 6.17.5, all aeroplanes authorized to carry 19 passengers or less shall be equipped with at least one ELT of any type.

6.17.5 All aeroplanes authorized to carry 19 passengers or less for which the individual certificate of airworthiness is first issued after 1 July 2008 shall be equipped with at least one automatic ELT.

6.17.6 ELT equipment carried to satisfy the requirements of 6.17.1, 6.17.2, 6.17.3, 6.17.4 and 6.17.5 shall operate in accordance with the relevant provisions of Annex 10, Volume III.

Note.— The judicious choice of numbers of ELTs, their type and placement on aircraft and associated floatable life support systems will ensure the greatest chance of ELT activation in the event of an accident for aircraft operating over water or land, including areas especially difficult for search and rescue. Placement of transmitter units is a vital factor in ensuring optimal crash and fire protection. The placement of the control and switching devices (activation monitors) of automatic fixed ELTs and their associated operational procedures will also take into consideration the need for rapid detection of inadvertent activation and convenient manual switching by crew members.

6.18 LOCATION OF AN AEROPLANE IN DISTRESS

6.18.1 As of 1 January 2025, all aeroplanes of a maximum certificated take-off mass of over 27 000 kg for which the individual certificate of airworthiness is first issued on or after 1 January 2024, shall autonomously transmit information from which a position can be determined by the operator at least once every minute, when in distress, in accordance with Appendix 9.

6.18.2 **Recommendation.—** *All aeroplanes of a maximum certificated take-off mass of over 5 700 kg for which the individual certificate of airworthiness is first issued on or after 1 January 2023, should autonomously transmit information from which a position can be determined at least once every minute, when in distress, in accordance with Appendix 9.*

6.18.3 The operator shall make position information of a flight in distress available to the appropriate organizations, as established by the State of the Operator.

Note 1.— Refer to 4.2.1.3.1 for operator responsibilities when using third parties.

Note 2.— Operational procedures for monitoring and making position information of a flight in distress available to the appropriate organizations in a timely manner are contained in PANS-OPS, Volume III, Section 10.

6.19 AEROPLANES REQUIRED TO BE EQUIPPED WITH AN AIRBORNE COLLISION AVOIDANCE SYSTEM (ACAS II)

6.19.1 All turbine-engined aeroplanes of a maximum certificated take-off mass in excess of 5 700 kg or authorized to carry more than 19 passengers shall be equipped with an airborne collision avoidance system (ACAS II).

6.19.2 **Recommendation.—** *All aeroplanes should be equipped with an airborne collision avoidance system (ACAS II).*

6.19.3 An airborne collision avoidance system shall operate in accordance with the relevant provisions of Annex 10, Volume IV.

6.20 REQUIREMENTS FOR PRESSURE-ALTITUDE REPORTING TRANSPONDERS

6.20.1 All aeroplanes shall be equipped with a pressure-altitude reporting transponder which operates in accordance with the relevant provisions of Annex 10, Volume IV.

6.20.2 All aeroplanes for which the individual certificate of airworthiness is first issued after 1 January 2009 shall be equipped with a data source that provides pressure-altitude information with a resolution of 7.62 m (25 ft), or better.

6.20.3 All aeroplanes shall be equipped with a data source that provides pressure-altitude information with a resolution of 7.62 m (25 ft), or better.

6.20.4 **Recommendation.—** *The Mode S transponder should be provided with the airborne/on-the-ground status if the aeroplane is equipped with an automatic means of detecting such status.*

Note 1.— These provisions will improve the effectiveness of airborne collision avoidance systems as well as air traffic services that employ Mode S radar. In particular, tracking processes are significantly enhanced with a resolution of 7.62 m (25 ft), or better.

Note 2.— Mode C replies of transponders always report pressure altitude in 30.50 m (100 ft) increments irrespective of the resolution of the data source.

6.21 MICROPHONES

All flight crew members required to be on flight deck duty shall communicate through boom or throat microphones below the transition level/altitude.

6.22 TURBO-JET AEROPLANES — FORWARD-LOOKING WIND SHEAR WARNING SYSTEM

6.22.1 **Recommendation.—** *All turbo-jet aeroplanes of a maximum certificated take-off mass in excess of 5 700 kg or authorized to carry more than nine passengers should be equipped with a forward-looking wind shear warning system.*

6.22.2 **Recommendation.—** *A forward-looking wind shear warning system should be capable of providing the pilot with a timely aural and visual warning of wind shear ahead of the aircraft, and the information required to permit the pilot to safely commence and continue a missed approach or go-around or to execute an escape manoeuvre if necessary. The system should also provide an indication to the pilot when the limits specified for the certification of automatic landing equipment are being approached, when such equipment is in use.*

6.23 ALL AEROPLANES OPERATED BY A SINGLE PILOT UNDER THE INSTRUMENT FLIGHT RULES (IFR) OR AT NIGHT

For approval in accordance with 4.9.1, all aeroplanes operated by a single pilot under the IFR or at night shall be equipped with:

a) a serviceable autopilot that has at least altitude hold and heading select modes;

b) a headset with a boom microphone or equivalent; and

c) means of displaying charts that enables them to be readable in all ambient light conditions.

6.24 AEROPLANES EQUIPPED WITH AUTOMATIC LANDING SYSTEMS, A HEAD-UP DISPLAY (HUD) OR EQUIVALENT DISPLAYS, ENHANCED VISION SYSTEMS (EVS), SYNTHETIC VISION SYSTEMS (SVS) AND/OR COMBINED VISION SYSTEMS (CVS)

Notwithstanding Chapter 4, 4.2.8.1.1 to 4.2.8.1.3, where aeroplanes are equipped with automatic landing systems, a HUD or equivalent displays, EVS, SVS or CVS, or any combination of those systems into a hybrid system, criteria for the use of such systems for the safe operation of an aeroplane shall be established by the State of the Operator.

Note.— Information regarding automatic landing systems, a HUD or equivalent displays, EVS, SVS or CVS, is contained in the Manual of All-Weather Operations *(Doc 9365).*

6.25 ELECTRONIC FLIGHT BAGS (EFBS)

Note.— Guidance on EFB equipment, functions and specific approval is contained in the Manual on Electronic Flight Bags (EFBs) *(Doc 10020).*

6.25.1 EFB equipment

Where portable EFBs are used on board an aeroplane, the operator shall ensure that they do not affect the performance of the aeroplane systems, equipment or the ability to operate the aeroplane.

6.25.2 EFB functions

6.25.2.1 Where EFBs are used on board an aeroplane the operator shall:

a) assess the safety risk(s) associated with each EFB function;

b) establish and document the procedures for the use of, and training requirements for, the device and each EFB function; and

c) ensure that, in the event of an EFB failure, sufficient information is readily available to the flight crew for the flight to be conducted safely.

Note.— Guidance on safety risk assessments is contained in the Safety Management Manual *(Doc 9859).*

6.25.2.2 The State of the Operator shall issue a specific approval for the operational use of EFB functions to be used for the safe operation of aeroplanes.

6.25.3 EFB specific approval

When issuing a specific approval for the use of EFBs, the State of the Operator shall ensure that:

a) the EFB equipment and its associated installation hardware, including interaction with aeroplane systems if applicable, meet the appropriate airworthiness certification requirements;

b) the operator has assessed the safety risks associated with the operations supported by the EFB function(s);

c) the operator has established requirements for redundancy of the information (if appropriate) contained in and displayed by the EFB function(s);

d) the operator has established and documented procedures for the management of the EFB function(s) including any database it may use; and

e) the operator has established and documented the procedures for the use of, and training requirements for, the EFB and the EFB function(s).

Note.— Guidance on safety risk assessments is contained in the Safety Management Manual *(Doc 9859).*

6.26 TURBINE AEROPLANE - RUNWAY OVERRUN AWARENESS AND ALERTING SYSTEM (ROAAS)

6.26.1 All turbine-engined aeroplanes of a maximum certificated take-off mass in excess of 5 700 kg, for which the individual certificate of airworthiness is first issued on or after 1 January 2026, shall be equipped with a runway overrun awareness and alerting system (ROAAS).

Note.— Guidance material for ROAAS design is contained in EUROCAE ED-250, Minimum Operational Performance Specification (MOPS) for Runway Overrun Awareness and Alerting Systems (ROAAS), or equivalent documents.

CHAPTER 7. AEROPLANE COMMUNICATION, NAVIGATION AND SURVEILLANCE EQUIPMENT

7.1 COMMUNICATION EQUIPMENT

7.1.1 An aeroplane shall be provided with radio communication equipment capable of:

a) conducting two-way communication for aerodrome control purposes;

b) receiving meteorological information at any time during flight; and

c) conducting two-way communication at any time during flight with at least one aeronautical station and with such other aeronautical stations and on such frequencies as may be prescribed by the appropriate authority.

Note.— The requirements of 7.1.1 are considered fulfilled if the ability to conduct the communications specified therein is established during radio propagation conditions which are normal for the route.

7.1.2 The radio communication equipment required in accordance with 7.1.1 shall provide for communications on the aeronautical emergency frequency 121.5 MHz.

7.1.3 For operations where communication equipment is required to meet an RCP specification for performance-based communication (PBC) , an aeroplane shall, in addition to the requirements specified in 7.1.1:

a) be provided with communication equipment which will enable it to operate in accordance with the prescribed RCP specification(s);

b) have information relevant to the aeroplane RCP specification capabilities listed in the flight manual or other aeroplane documentation approved by the State of Design or State of Registry; and

c) have information relevant to the aeroplane RCP specification capabilities included in the MEL.

Note.— Information on the performance-based communication and surveillance (PBCS) concept and guidance material on its implementation are contained in the Performance-based Communication and Surveillance (PBCS) Manual *(Doc 9869).*

7.1.4 The State of the Operator shall, for operations where an RCP specification for PBC has been prescribed, ensure that the operator has established and documented:

a) normal and abnormal procedures, including contingency procedures;

b) flight crew qualification and proficiency requirements, in accordance with appropriate RCP specifications;

c) a training programme for relevant personnel consistent with the intended operations; and

d) appropriate maintenance procedures to ensure continued airworthiness, in accordance with appropriate RCP specifications.

7.1.5 The State of the Operator shall ensure that, in respect of those aeroplanes mentioned in 7.1.3, adequate provisions exist for:

a) receiving the reports of observed communication performance issued by monitoring programmes established in accordance with Annex 11, Chapter 3, 3.3.5.2; and

b) taking immediate corrective action for individual aircraft, aircraft types or operators, identified in such reports as not complying with the RCP specification(s).

7.2 NAVIGATION EQUIPMENT

7.2.1 An aeroplane shall be provided with navigation equipment which will enable it to proceed:

a) in accordance with its operational flight plan; and

b) in accordance with the requirements of air traffic services;

except when, if not so precluded by the appropriate authority, navigation for flights under VFR is accomplished by visual reference to landmarks.

7.2.2 For operations where a navigation specification for performance-based navigation (PBN) has been prescribed, an aeroplane shall, in addition to the requirements specified in 7.2.1:

a) be provided with navigation equipment which will enable it to operate in accordance with the prescribed navigation specification(s);

b) have information relevant to the aeroplane navigation specification capabilities listed in the flight manual or other aeroplane documentation approved by the State of the Design or State of Registry; and

c) have information relevant to the aeroplane navigation specification capabilities included in the MEL.

Note.— Guidance on aeroplane documentation is contained in the Performance-based Navigation (PBN) Manual *(Doc 9613).*

7.2.3 The State of the Operator shall, for operations where a navigation specification for PBN has been prescribed, ensure that the operator has established and documented:

a) normal and abnormal procedures including contingency procedures;

b) flight crew qualification and proficiency requirements in accordance with the appropriate navigation specifications;

c) a training programme for relevant personnel consistent with the intended operations; and

d) appropriate maintenance procedures to ensure continued airworthiness in accordance with the appropriate navigation specifications.

Note 1.— Guidance on safety risks and mitigations for PBN operations, in accordance with Annex 19, are contained in the Performance-based Navigation (PBN) Operational Approval Manual *(Doc 9997).*

Note 2.— Electronic navigation data management is an integral part of normal and abnormal procedures.

7.2.4 The State of the Operator shall issue a specific approval for operations based on PBN authorization required (AR) navigation specifications.

Note.— Guidance on specific approvals for PBN authorization required (AR) navigation specifications is contained in the Performance-based Navigation (PBN) Operational Approval Manual *(Doc 9997).*

7.2.5 For flights in defined portions of airspace where, based on Regional Air Navigation Agreement, minimum navigation performance specifications (MNPS) are prescribed, an aeroplane shall be provided with navigation equipment which:

a) continuously provides indications to the flight crew of adherence to or departure from track to the required degree of accuracy at any point along that track; and

b) has been authorized by the State of the Operator for the MNPS operations concerned.

Note.— The prescribed minimum navigation performance specifications and the procedures governing their application are published in the Regional Supplementary Procedures *(Doc 7030).*

7.2.6 For flights in defined portions of airspace where, based on Regional Air Navigation Agreement, a reduced vertical separation minimum (RVSM) of 300 m (1 000 ft) is applied between FL 290 and FL 410 inclusive:

a) the aeroplane shall be provided with equipment which is capable of:

1) indicating to the flight crew the flight level being flown;

2) automatically maintaining a selected flight level;

3) providing an alert to the flight crew when a deviation occurs from the selected flight level. The threshold for the alert shall not exceed ± 90 m (300 ft); and

4) automatically reporting pressure-altitude; and

b) the State of the Operator shall issue a specific approval for RVSM operations.

7.2.7 Prior to granting the RVSM specific approval required in accordance with 7.2.6 b), the State shall be satisfied that:

a) the vertical navigation performance capability of the aeroplane satisfies the requirements specified in Appendix 4;

b) the operator has instituted appropriate procedures in respect of continued airworthiness (maintenance and repair) practices and programmes; and

c) the operator has instituted appropriate flight crew procedures for operations in RVSM airspace.

Note.— An RVSM specific approval is valid globally on the understanding that any operating procedures specific to a given region will be stated in the operations manual or appropriate crew guidance.

7.2.8 The State of the Operator, in consultation with the State of Registry if appropriate, shall ensure that, in respect of those aeroplanes mentioned in 7.2.6, adequate provisions exist for:

a) receiving the reports of height-keeping performance issued by the monitoring agencies established in accordance with Annex 11, 3.3.5.1; and

b) taking immediate corrective action for individual aircraft, or aircraft type groups, identified in such reports as not complying with the height-keeping requirements for operation in airspace where RVSM is applied.

7.2.9 The State of the Operator that has issued an RVSM specific approval to the operator shall establish a requirement which ensures that a minimum of two aeroplanes of each aircraft type grouping of the operator have their height-keeping performance monitored, at least once every two years or within intervals of 1 000 flight hours per aeroplane, whichever period is longer. If the operator aircraft type grouping consists of a single aeroplane, monitoring of that aeroplane shall be accomplished within the specified period.

Note.— Monitoring data from any regional monitoring programme established in accordance with Annex 11, 3.3.5.2, may be used to satisfy the requirement.

7.2.10 All States that are responsible for airspace where RVSM has been implemented, or that have issued RVSM specific approvals to operators within their State, shall establish provisions and procedures which ensure that appropriate action will be taken in respect of aircraft and operators found to be operating in RVSM airspace without a valid RVSM specific approval.

Note 1.— These provisions and procedures need to address both the situation where the aircraft in question is operating without a specific approval in the airspace of the State, and the situation where the operator for which the State has regulatory oversight responsibility is found to be operating without the required specific approval in the airspace of another State.

Note 2.— Guidance material relating to the specific approval for operation in RVSM airspace is contained in the Manual on a 300 m (1 000 ft) Vertical Separation Minimum Between FL 290 and FL 410 Inclusive *(Doc 9574)*.

7.2.11 The aeroplane shall be sufficiently provided with navigation equipment to ensure that, in the event of the failure of one item of equipment at any stage of the flight, the remaining equipment will enable the aeroplane to navigate in accordance with 7.2.1 and, where applicable, 7.2.2, 7.2.5 and 7.2.6.

Note.— Guidance material relating to aircraft equipment necessary for flight in airspace where RVSM is applied is contained in the Manual on a 300 m (1 000 ft) Vertical Separation Minimum Between FL 290 and FL 410 Inclusive *(Doc 9574)*.

7.2.12 On flights in which it is intended to land in instrument meteorological conditions, an aeroplane shall be provided with radio equipment capable of receiving signals providing guidance to a point from which a visual landing can be effected. This equipment shall be capable of providing such guidance for each aerodrome at which it is intended to land in instrument meteorological conditions and for any designated alternate aerodromes.

7.3 SURVEILLANCE EQUIPMENT

7.3.1 An aeroplane shall be provided with surveillance equipment which will enable it to operate in accordance with the requirements of air traffic services.

7.3.2 For operations where surveillance equipment is required to meet an RSP specification for performance-based surveillance (PBS), an aeroplane shall, in addition to the requirements specified in 7.3.1:

a) be provided with surveillance equipment which will enable it to operate in accordance with the prescribed RSP specification(s);

b) have information relevant to the aeroplane RSP specification capabilities listed in the flight manual or other aeroplane documentation approved by the State of Design or State of Registry; and

c) have information relevant to the aeroplane RSP specification capabilities included in the MEL.

Note 1.— Information on surveillance equipment is contained in the Aeronautical Surveillance Manual *(Doc 9924).*

Note 2.— Information on RSP specifications for performance-based surveillance is contained in the Performance-based Communication and Surveillance (PBCS) Manual *(Doc 9869).*

7.3.3 The State of the Operator shall, for operations where an RSP specification for PBS has been prescribed, ensure that the operator has established and documented:

a) normal and abnormal procedures, including contingency procedures;

b) flight crew qualification and proficiency requirements, in accordance with appropriate RSP specifications;

c) a training programme for relevant personnel consistent with the intended operations; and

d) appropriate maintenance procedures to ensure continued airworthiness, in accordance with appropriate RSP specifications.

7.3.4 The State of the Operator shall ensure that, in respect of those aeroplanes mentioned in 7.3.2, adequate provisions exist for:

a) receiving the reports of observed surveillance performance issued by monitoring programmes established in accordance with Annex 11, Chapter 3, 3.3.5.2; and

b) taking immediate corrective action for individual aircraft, aircraft types or operators, identified in such reports as not complying with the RSP specification(s).

7.4 INSTALLATION

The equipment installation shall be such that the failure of any single unit required for communication, navigation or surveillance purposes or any combination thereof will not result in the failure of another unit required for communication, navigation or surveillance purposes.

7.5 ELECTRONIC NAVIGATION DATA MANAGEMENT

7.5.1 The operator shall not employ electronic navigation data products that have been processed for application in the air and on the ground unless the State of the Operator has approved the operator's procedures for ensuring that the process applied and the products delivered have met acceptable standards of integrity and that the products are compatible with the intended function of the existing equipment. The State of the Operator shall ensure that the operator continues to monitor both the process and products.

Note.— Guidance relating to the processes that data suppliers may follow is contained in RTCA DO-200A/EUROCAE ED-76 *and* RTCA DO-201A/EUROCAE ED-77.

7.5.2 The operator shall implement procedures that ensure the timely distribution and insertion of current and unaltered electronic navigation data to all necessary aircraft.

CHAPTER 8. AEROPLANE CONTINUING AIRWORTHINESS

Note 1.— For the purpose of this chapter, "aeroplane" includes: engines, propellers, components, accessories, instruments, equipment and apparatus including emergency equipment.

Note 2.— Reference is made throughout this chapter to the requirements of the State of Registry. When the State of the Operator is not the same as the State of Registry, it may be necessary to consider any additional requirements of the State of the Operator.

Note 3.— Guidance on continuing airworthiness requirements is contained in the Airworthiness Manual *(Doc 9760).*

8.1 OPERATOR'S CONTINUING AIRWORTHINESS RESPONSIBILITIES

8.1.1 Operators shall ensure that, in accordance with procedures acceptable to the State of Registry:

a) each aeroplane they operate is maintained in an airworthy condition;

b) the operational and emergency equipment necessary for an intended flight is serviceable; and

c) the certificate of airworthiness of each aeroplane they operate remains valid.

8.1.2 The operator shall not operate an aeroplane unless maintenance on the aeroplane, including any associated engine, propeller and part, is carried out:

a) by an organization complying with Annex 8, Part II, Chapter 6 that is either approved by the State of Registry of the aeroplane or is approved by another Contracting State and is accepted by the State of Registry; or

b) by a person or organization in accordance with procedures that are authorized by the State of Registry;

and there is a maintenance release in relation to the maintenance carried out.

8.1.3 The operator shall employ a person or group of persons to ensure that all maintenance is carried out in accordance with the maintenance control manual.

8.1.4 The operator shall ensure that the maintenance of its aeroplanes is performed in accordance with the maintenance programme.

8.2 OPERATOR'S MAINTENANCE CONTROL MANUAL

8.2.1 The operator shall provide, for the use and guidance of maintenance and operational personnel concerned, a maintenance control manual, acceptable to the State of Registry, in accordance with the requirements of 11.2. The design of the manual shall observe Human Factors principles.

Note.— Guidance material on the application of Human Factors principles can be found in the Human Factors Training Manual *(Doc 9683).*

8.2.2 The operator shall ensure that the maintenance control manual is amended as necessary to keep the information contained therein up to date.

8.2.3 Copies of all amendments to the operator's maintenance control manual shall be furnished promptly to all organizations or persons to whom the manual has been issued.

8.2.4 The operator shall provide the State of the Operator and the State of Registry with a copy of the operator's maintenance control manual, together with all amendments and/or revisions to it and shall incorporate in it such mandatory material as the State of the Operator or the State of Registry may require.

8.3 MAINTENANCE PROGRAMME

8.3.1 The operator shall provide, for the use and guidance of maintenance and operational personnel concerned, a maintenance programme, approved by the State of Registry, containing the information required by 11.3. The design and application of the operator's maintenance programme shall observe Human Factors principles.

Note.— Guidance material on the application of Human Factors principles can be found in the Human Factors Training Manual *(Doc 9683)*.

8.3.2 Copies of all amendments to the maintenance programme shall be furnished promptly to all organizations or persons to whom the maintenance programme has been issued.

8.4 CONTINUING AIRWORTHINESS RECORDS

8.4.1 The operator shall ensure that the following records are kept for the periods mentioned in 8.4.2:

a) the total time in service (hours, calendar time and cycles, as appropriate) of the aeroplane and all life-limited components;

b) the current status of compliance with all mandatory continuing airworthiness information;

c) appropriate details of modifications and repairs;

d) the time in service (hours, calendar time and cycles, as appropriate) since the last overhaul of the aeroplane or its components subject to a mandatory overhaul life;

e) the current status of the aeroplane's compliance with the maintenance programme; and

f) the detailed maintenance records to show that all requirements for the signing of a maintenance release have been met.

8.4.2 The records in 8.4.1 a) to e) shall be kept for a minimum period of 90 days after the unit to which they refer has been permanently withdrawn from service, and the records in 8.4.1 f) for a minimum period of one year after the signing of the maintenance release.

8.4.3 In the event of a temporary change of operator, the records shall be made available to the new operator. In the event of any permanent change of operator, the records shall be transferred to the new operator.

Note.— In the context of 8.4.3, a judgement on what should be considered as a temporary change of operator will need to be made by the State of Registry in the light of the need to exercise control over the records, which will depend on access to them and the opportunity to update them.

8.4.4 Records kept and transferred in accordance with 8.4 shall be maintained in a form and format that ensures readability, security and integrity of the records at all times.

Note 1.— The form and format of the records may include, for example, paper records, film records, electronic records or any combination thereof.

Note 2.— Guidance regarding electronic aircraft continuing airworthiness records is included in the Airworthiness Manual *(Doc 9760).*

8.5 CONTINUING AIRWORTHINESS INFORMATION

8.5.1 The operator of an aeroplane over 5 700 kg maximum certificated take-off mass shall monitor and assess maintenance and operational experience with respect to continuing airworthiness and provide the information as prescribed by the State of Registry and report through the system specified in Annex 8, Part II, Chapter 4, 4.2.3 f) and 4.2.4.

8.5.2 The operator of an aeroplane over 5 700 kg maximum certificated take-off mass shall obtain and assess continuing airworthiness information and recommendations available from the organization responsible for the type design and shall implement resulting actions considered necessary in accordance with a procedure acceptable to the State of Registry.

Note.— Guidance on the interpretation of "the organization responsible for the type design" is contained in the Airworthiness Manual *(Doc 9760)*.

8.6 MODIFICATIONS AND REPAIRS

All modifications and repairs shall comply with airworthiness requirements acceptable to the State of Registry. Procedures shall be established to ensure that the substantiating data supporting compliance with the airworthiness requirements are retained.

8.7 APPROVED MAINTENANCE ORGANIZATION

An approved maintenance organization shall comply with Annex 8, Part II, Chapter 6 – Maintenance organization approval.

8.8 MAINTENANCE RELEASE

8.8.1 When maintenance is carried out by an approved maintenance organization, the maintenance release shall be issued by the approved maintenance organization in accordance with the provisions of Annex 8, Part II, Chapter 6, 6.8.

8.8.2 When maintenance is not carried out by an approved maintenance organization, the maintenance release shall be completed and signed by a person appropriately licensed in accordance with Annex 1 to certify that the maintenance work performed has been completed satisfactorily and in accordance with approved data and procedures acceptable to the State of Registry.

8.8.3 When maintenance is not carried out by an approved maintenance organization, the maintenance release shall include the following:

a) basic details of the maintenance carried out including detailed reference of the approved data used;

b) the date such maintenance was completed; and

c) the identity of the person or persons signing the release.

CHAPTER 9. AEROPLANE FLIGHT CREW

9.1 COMPOSITION OF THE FLIGHT CREW

9.1.1 The number and composition of the flight crew shall not be less than that specified in the operations manual. The flight crews shall include flight crew members in addition to the minimum numbers specified in the flight manual or other documents associated with the certificate of airworthiness, when necessitated by considerations related to the type of aeroplane used, the type of operation involved and the duration of flight between points where flight crews are changed.

9.1.2 Radio operator

The flight crew shall include at least one member who holds a valid licence, issued or rendered valid by the State of Registry, authorizing operation of the type of radio transmitting equipment to be used.

9.1.3 Flight engineer

When a separate flight engineer's station is incorporated in the design of an aeroplane, the flight crew shall include at least one flight engineer especially assigned to that station, unless the duties associated with that station can be satisfactorily performed by another flight crew member, holding a flight engineer licence, without interference with regular duties.

9.1.4 Flight navigator

The flight crew shall include at least one member who holds a flight navigator licence in all operations where, as determined by the State of the Operator, navigation necessary for the safe conduct of the flight cannot be adequately accomplished by the pilots from the pilot station.

9.2 FLIGHT CREW MEMBER EMERGENCY DUTIES

The operator shall, for each type of aeroplane, assign to all flight crew members the necessary functions they are to perform in an emergency or in a situation requiring emergency evacuation. Annual training in accomplishing these functions shall be contained in the operator's training programme and shall include instruction in the use of all emergency and life-saving equipment required to be carried, and drills in the emergency evacuation of the aeroplane.

9.3 FLIGHT CREW MEMBER TRAINING PROGRAMMES

9.3.1 The operator shall establish and maintain a ground and flight training programme, approved by the State of the Operator, which ensures that all flight crew members are adequately trained to perform their assigned duties. The training programme shall:

a) include ground and flight training facilities and properly qualified instructors as determined by the State of the Operator;

b) consist of ground and flight training in the type(s) of aeroplane on which the flight crew member serves;

c) include proper flight crew coordination and training in all types of emergency and abnormal situations or procedures caused by engine, airframe or systems malfunctions, fire or other abnormalities;

d) include upset prevention and recovery training;

e) include training in knowledge and skills related to visual and instrument flight procedures for the intended area of operation, charting, human performance including threat and error management and in the transport of dangerous goods;

f) ensure that all flight crew members know the functions for which they are responsible and the relation of these functions to the functions of other crew members, particularly in regard to abnormal or emergency procedures; and

g) be given on a recurrent basis, as determined by the State of the Operator and shall include an assessment of competence.

Note 1.— Paragraph 4.2.5 prohibits the in-flight simulation of emergency or abnormal situations when passengers or cargo are being carried.

Note 2.— Flight training may, to the extent deemed appropriate by the State of the Operator, be given in flight simulation training devices approved by the State for that purpose.

Note 3.— The scope of the recurrent training required by 9.2 and 9.3 may be varied and need not be as extensive as the initial training given in a particular type of aeroplane.

Note 4.— The use of correspondence courses and written examinations as well as other means may, to the extent deemed feasible by the State of the Operator, be utilized in meeting the requirements for periodic ground training.

Note 5.— For more information on dangerous goods operational requirements, see Chapter 14.

Note 6.— Guidance material to design training programmes to develop knowledge and skills in human performance can be found in the Human Factors Training Manual *(Doc 9683)*.

Note 7.— Information for pilots and flight operations personnel on flight procedure parameters and operational procedures is contained in PANS-OPS (Doc 8168), Volume I. Criteria for the construction of visual and instrument flight procedures are contained in PANS-OPS (Doc 8168), Volume II. Obstacle clearance criteria and procedures used in certain States may differ from PANS-OPS, and knowledge of these differences is important for safety reasons.

Note 8.— Guidance material to design flight crew training programmes can be found in the Manual of Evidence-based Training *(Doc 9995).*

Note 9.— Guidance material on the different means used to assess competence can be found in the Attachment to Chapter 2 of the Procedures for Air Navigation Services — Training *(PANS-TRG, Doc 9868).*

Note 10.— Procedures for upset prevention and recovery training in a flight simulation training device are contained in the Procedures for Air Navigation Services — Training *(PANS-TRG, Doc 9868).*

Note 11.— Guidance on upset prevention and recovery training in a flight simulation training device is contained in the Manual on Aeroplane Upset Prevention and Recovery Training *(Doc 10011).*

9.3.2 The requirement for recurrent flight training in a particular type of aeroplane shall be considered fulfilled by:

a) the use, to the extent deemed feasible by the State of the Operator, of flight simulation training devices approved by that State for that purpose; or

b) the completion within the appropriate period of the proficiency check required by 9.4.4 in that type of aeroplane.

9.4 QUALIFICATIONS

Note.— See the Manual of Procedures for Establishment and Management of a State's Personnel Licensing System *(Doc 9379) for guidance of a general nature on cross-crew qualification, mixed-fleet flying and cross-credit.*

9.4.1 Recent experience — pilot-in-command and co-pilot

9.4.1.1 The operator shall not assign a pilot-in-command or a co-pilot to operate at the flight controls of a type or variant of a type of aeroplane during take-off and landing unless that pilot has operated the flight controls during at least three take-offs and landings within the preceding 90 days on the same type of aeroplane or in a flight simulator approved for the purpose.

9.4.1.2 When a pilot-in-command or a co-pilot is flying several variants of the same type of aeroplane or different types of aeroplanes with similar characteristics in terms of operating procedures, systems and handling, the State shall decide under which conditions the requirements of 9.4.1.1 for each variant or each type of aeroplane can be combined.

9.4.2 Recent experience — cruise relief pilot

9.4.2.1 The operator shall not assign a pilot to act in the capacity of cruise relief pilot in a type or variant of a type of aeroplane unless, within the preceding 90 days that pilot has either:

a) operated as a pilot-in-command, co-pilot or cruise relief pilot on the same type of aeroplane; or

b) carried out flying skill refresher training including normal, abnormal and emergency procedures specific to cruise flight on the same type of aeroplane or in a flight simulator approved for the purpose, and has practised approach and landing procedures, where the approach and landing procedure practice may be performed as the pilot who is not flying the aeroplane.

9.4.2.2 When a cruise relief pilot is flying several variants of the same type of aeroplane or different types of aeroplanes with similar characteristics in terms of operating procedures, systems and handling, the State shall decide under which conditions the requirements of 9.4.2.1 for each variant or each type of aeroplane can be combined.

9.4.3 Pilot-in-command area, route and aerodrome qualification

9.4.3.1 The operator shall not utilize a pilot as pilot-in-command of an aeroplane on a route or route segment for which that pilot is not currently qualified until such pilot has complied with 9.4.3.2 and 9.4.3.3.

9.4.3.2 Each such pilot shall demonstrate to the operator an adequate knowledge of:

a) the route to be flown, and the aerodromes which are to be used. This shall include knowledge of:

1) the terrain and minimum safe altitudes;

2) the seasonal meteorological conditions;

3) the meteorological, communication and air traffic facilities, services and procedures;

4) the search and rescue procedures; and

5) the navigational facilities and procedures, including any long-range navigation procedures, associated with the route along which the flight is to take place; and

b) procedures applicable to flight paths over heavily populated areas and areas of high air traffic density, obstructions, physical layout, lighting, approach aids and arrival, departure, holding and instrument approach procedures, and applicable operating minima.

Note.— That portion of the demonstration relating to arrival, departure, holding and instrument approach procedures may be accomplished in an appropriate training device which is adequate for this purpose.

9.4.3.3 A pilot-in-command shall have made an actual approach into each aerodrome of landing on the route, accompanied by a pilot who is qualified for the aerodrome, as a member of the flight crew or as an observer on the flight deck, unless:

a) the approach to the aerodrome is not over difficult terrain and the instrument approach procedures and aids available are similar to those with which the pilot is familiar, and a margin to be approved by the State of the Operator is added to the normal operating minima, or there is reasonable certainty that approach and landing can be made in visual meteorological conditions; or

b) the descent from the initial approach altitude can be made by day in visual meteorological conditions; or

c) the operator qualifies the pilot-in-command to land at the aerodrome concerned by means of an adequate pictorial presentation; or

d) the aerodrome concerned is adjacent to another aerodrome at which the pilot-in-command is currently qualified to land.

9.4.3.4 The operator shall maintain a record, sufficient to satisfy the State of the Operator of the qualification of the pilot and of the manner in which such qualification has been achieved.

9.4.3.5 The operator shall not continue to utilize a pilot as a pilot-in-command on a route or within an area specified by the operator and approved by the State of the Operator unless, within the preceding 12 months, that pilot has made at least one trip as a pilot member of the flight crew, or as a check pilot, or as an observer in the flight crew compartment:

a) within that specified area; and

b) if appropriate, on any route where procedures associated with that route or with any aerodromes intended to be used for take-off or landing require the application of special skills or knowledge.

9.4.3.6 In the event that more than 12 months elapse in which a pilot-in-command has not made such a trip on a route in close proximity and over similar terrain, within such a specified area, route or aerodrome, and has not practised such procedures in a training device which is adequate for this purpose, prior to again serving as a pilot-in-command within that area or on that route, that pilot must requalify in accordance with 9.4.3.2 and 9.4.3.3.

9.4.4 Pilot proficiency checks

9.4.4.1 The operator shall ensure that piloting technique and the ability to execute emergency procedures is checked in such a way as to demonstrate the pilot's competence on each type or variant of a type of aeroplane. Where the operation may be conducted under instrument flight rules, the operator shall ensure that the pilot's competence to comply with such rules is demonstrated to either a check pilot of the operator or to a representative of the State of the Operator. Such checks shall be performed twice within any period of one year. Any two such checks which are similar and which occur within a period of four consecutive months shall not alone satisfy this requirement.

Note 1.— Flight simulation training devices approved by the State of the Operator may be used for those parts of the checks for which they are specifically approved.

Note 2.— See the Manual of Criteria for the Qualification of Flight Simulation Training Devices *(Doc 9625).*

9.4.4.2 When the operator schedules flight crew on several variants of the same type of aeroplane or different types of aeroplanes with similar characteristics in terms of operating procedures, systems and handling, the State shall decide under which conditions the requirements of 9.4.4.1 for each variant or each type of aeroplane can be combined.

9.4.5 Single pilot operations under the instrument flight rules (IFR) or at night

9.4.5.1 The State of the Operator shall prescribe requirements of experience, recency and training applicable to single pilot operations intended to be carried out under the IFR or at night.

9.4.5.2 **Recommendation.—** *The pilot-in-command should:*

a) for operations under the IFR or at night, have accumulated at least 50 hours flight time on the class of aeroplane, of which at least 10 hours shall be as pilot-in-command;

b) for operations under the IFR, have accumulated at least 25 hours flight time under the IFR on the class of aeroplane, which may form part of the 50 hours flight time in sub-paragraph a);

c) for operations at night, have accumulated at least 15 hours flight time at night, which may form part of the 50 hours flight time in sub-paragraph a);

d) for operations under the IFR, have acquired recent experience as a pilot engaged in a single pilot operation under the IFR of:

1) at least five IFR flights, including three instrument approaches carried out during the preceding 90 days on the class of aeroplane in the single pilot role; or

2) an IFR instrument approach check carried out on such an aeroplane during the preceding 90 days;

e) for operations at night, have made at least three take-offs and landings at night on the class of aeroplane in the single pilot role in the preceding 90 days; and

f) have successfully completed training programmes that include, in addition to the requirements of 9.3, passenger briefing with respect to emergency evacuation, autopilot management, and the use of simplified in-flight documentation.

9.4.5.3 The initial and recurrent flight training and proficiency checks indicated in 9.3.1 and 9.4.4 shall be performed by the pilot-in-command in the single pilot role on the class of aeroplane in an environment representative of the operation.

9.5 FLIGHT CREW EQUIPMENT

A flight crew member assessed as fit to exercise the privileges of a licence, subject to the use of suitable correcting lenses, shall have a spare set of the correcting lenses readily available when exercising those privileges.

CHAPTER 10. FLIGHT OPERATIONS OFFICER/FLIGHT DISPATCHER

10.1 When the State of the Operator requires that a flight operations officer/flight dispatcher, employed in conjunction with an approved method of control and supervision of flight operations, be licensed, that flight operations officer/flight dispatcher shall be licensed in accordance with the provisions of Annex 1.

10.2 In accepting proof of qualifications other than the option of holding of a flight operations officer/flight dispatcher licence, the State of the Operator, in accordance with the approved method of control and supervision of flight operations, shall require that, as a minimum, such persons meet the requirements specified in Annex 1 for the flight operations officer/flight dispatcher licence.

10.3 A flight operations officer/flight dispatcher shall not be assigned to duty unless that person has:

a) satisfactorily completed the operator-specific training course that addresses all the specific components of its approved method of control and supervision of flight operations specified in 4.2.1.3;

Note.— Guidance on the composition of such training syllabi is provided in the Training Manual (*Doc 7192), Part D-3 —* Flight Operations Officers/Flight Dispatchers.

b) made, within the preceding 12 months, at least a one-way qualification flight in the flight crew compartment of an aeroplane over any area for which that individual is authorized to exercise flight supervision. The flight should include landings at as many aerodromes as practicable;

Note.— For the purpose of the qualification flight, the flight operations officer/flight dispatcher must be able to monitor the flight crew intercommunication system and radio communications, and be able to observe the actions of the flight crew.

c) demonstrated to the operator a knowledge of:

1) the contents of the operations manual described in Appendix 2;

2) the radio equipment in the aeroplanes used; and

3) the navigation equipment in the aeroplanes used;

d) demonstrated to the operator a knowledge of the following details concerning operations for which the officer is responsible and areas in which that individual is authorized to exercise flight supervision:

1) the seasonal meteorological conditions and the sources of meteorological information;

2) the effects of meteorological conditions on radio reception in the aeroplanes used;

3) the peculiarities and limitations of each navigation system which is used by the operation; and

4) the aeroplane loading instructions;

e) demonstrated to the operator knowledge and skills related to human performance relevant to dispatch duties; and

f) demonstrated to the operator the ability to perform the duties specified in 4.6.

10.4 **Recommendation.—** *A flight operations officer/flight dispatcher assigned to duty should maintain complete familiarization with all features of the operation which are pertinent to such duties, including knowledge and skills related to human performance.*

Note.— Guidance material to design training programmes to develop knowledge and skills in human performance can be found in the Human Factors Training Manual *(Doc 9683)*.

10.5 **Recommendation.—** *A flight operations officer/flight dispatcher should not be assigned to duty after 12 consecutive months of absence from such duty, unless the provisions of 10.3 are met.*

CHAPTER 11. MANUALS, LOGS AND RECORDS

Note.— The following additional manuals, logs and records are associated with this Annex but are not included in this chapter:

Fuel and oil records — see 4.2.10

Continuing Airworthiness Records — see 8.4

Flight time, flight duty periods, duty periods and rest periods records — see 4.10.8

Flight preparation forms — see 4.3

Operational flight plan — see 4.3.3.1

Pilot-in-command route and airport qualification records — see 9.4.3.4.

11.1 FLIGHT MANUAL

Note.— The flight manual contains the information specified in Annex 8.

The flight manual shall be updated by implementing changes made mandatory by the State of Registry.

11.2 OPERATOR'S MAINTENANCE CONTROL MANUAL

The operator's maintenance control manual provided in accordance with 8.2, which may be issued in separate parts, shall contain the following information:

a) a description of the procedures required by 8.1.1 including, when applicable:

   1) a description of the administrative arrangements between the operator and the approved maintenance organization;

   2) a description of the maintenance procedures and the procedures for completing and signing a maintenance release when maintenance is based on a system other than that of an approved maintenance organization.

b) names and duties of the person or persons required by 8.1.4;

c) a reference to the maintenance programme required by 8.3.1;

d) a description of the methods used for the completion and retention of the operator's continuing airworthiness records required by 8.4;

e) a description of the procedures for monitoring, assessing and reporting maintenance and operational experience required by 8.5.1;

f) a description of the procedures for complying with the service information reporting requirements of Annex 8, Part II, Chapter 4, 4.2.3 f) and 4.2.4;

g) a description of procedures for assessing continuing airworthiness information and implementing any resulting actions, as required by 8.5.2;

h) a description of the procedures for implementing action resulting from mandatory continuing airworthiness information;

i) a description of establishing and maintaining a system of analysis and continued monitoring of the performance and efficiency of the maintenance programme, in order to correct any deficiency in that programme;

j) a description of aircraft types and models to which the manual applies;

k) a description of procedures for ensuring that unserviceabilities affecting airworthiness are recorded and rectified; and

l) a description of the procedures for advising the State of Registry of significant in-service occurrences.

11.3 MAINTENANCE PROGRAMME

11.3.1 A maintenance programme for each aeroplane as required by 8.3 shall contain the following information:

a) maintenance tasks and the intervals at which these are to be performed, taking into account the anticipated utilization of the aeroplane;

b) when applicable, a continuing structural integrity programme;

c) procedures for changing or deviating from a) and b) above; and

d) when applicable, condition monitoring and reliability programme descriptions for aircraft systems, components and engines.

11.3.2 Maintenance tasks and intervals that have been specified as mandatory in approval of the type design shall be identified as such.

11.3.3 **Recommendation.—** *The maintenance programme should be based on maintenance programme information made available by the State of Design or by the organization responsible for the type design, and any additional applicable experience.*

11.4 JOURNEY LOG BOOK

11.4.1 **Recommendation.—** *The aeroplane journey log book should contain the following items and the corresponding roman numerals:*

I — *Aeroplane nationality and registration.*

II — *Date.*

III — *Names of crew members.*

IV — *Duty assignments of crew members.*

V — *Place of departure.*

VI — *Place of arrival.*

VII — *Time of departure.*

VIII — *Time of arrival.*

IX — *Hours of flight.*

X — *Nature of flight (private, aerial work, scheduled or non-scheduled).*

XI — *Incidents, observations, if any.*

XII — *Signature of person in charge.*

11.4.2 **Recommendation.—** *Entries in the journey log book should be made currently and in ink or indelible pencil.*

11.4.3 **Recommendation.—** *Completed journey log book should be retained to provide a continuous record of the last six months' operations.*

11.5 RECORDS OF EMERGENCY AND SURVIVAL EQUIPMENT CARRIED

Operators shall at all times have available for immediate communication to rescue coordination centres, lists containing information on the emergency and survival equipment carried on board any of their aeroplanes engaged in international air navigation. The information shall include, as applicable, the number, colour and type of life rafts and pyrotechnics, details of emergency medical supplies, water supplies and the type and frequencies of the emergency portable radio equipment.

11.6 FLIGHT RECORDER RECORDS

The operator shall ensure, to the extent possible, in the event the aeroplane becomes involved in an accident or incident, the preservation of all related flight recorder records and, if necessary, the associated flight recorders, and their retention in safe custody pending their disposition as determined in accordance with Annex 13.

CHAPTER 12. CABIN CREW

12.1 ASSIGNMENT OF EMERGENCY DUTIES

The operator shall establish, to the satisfaction of the State of the Operator, the minimum number of cabin crew required for each type of aeroplane, based on seating capacity or the number of passengers carried, in order to effect a safe and expeditious evacuation of the aeroplane, and the necessary functions to be performed in an emergency or a situation requiring emergency evacuation. The operator shall assign these functions for each type of aeroplane.

12.2 CABIN CREW AT EMERGENCY EVACUATION STATIONS

Each cabin crew member assigned to emergency evacuation duties shall occupy a seat provided in accordance with 6.16 during take-off and landing and whenever the pilot-in-command so directs.

12.3 PROTECTION OF CABIN CREW DURING FLIGHT

Each cabin crew member shall be seated with seat belt or, when provided, safety harness fastened during take-off and landing and whenever the pilot-in-command so directs.

Note.— The foregoing does not preclude the pilot-in-command from directing the fastening of the seat belt only, at times other than during take-off and landing.

12.4 TRAINING

The operator shall establish and maintain a training programme, approved by the State of the Operator, to be completed by all persons before being assigned as a cabin crew member. Cabin crew members shall complete a recurrent training programme annually. These training programmes shall ensure that each person is:

a) competent to execute those safety duties and functions which the cabin crew member is assigned to perform in the event of an emergency or in a situation requiring emergency evacuation;

b) drilled and capable in the use of emergency and life-saving equipment required to be carried, such as life jackets, life rafts, evacuation slides, emergency exits, portable fire extinguishers, oxygen equipment, first-aid and universal precaution kits, and automated external defibrillators;

c) when serving on aeroplanes operated above 3 000 m (10 000 ft), knowledgeable as regards the effect of lack of oxygen and, in the case of pressurized aeroplanes, as regards physiological phenomena accompanying a loss of pressurization;

d) aware of other crew members' assignments and functions in the event of an emergency so far as is necessary for the fulfilment of the cabin crew member's own duties;

e) aware of the types of dangerous goods which may, and may not, be carried in a passenger cabin; and

f) knowledgeable about human performance as related to passenger cabin safety duties including flight crew-cabin crew coordination.

Note 1.— Requirements for the training of cabin crew members in the transport of dangerous goods are included in the Dangerous Goods Training Programme contained in Annex 18 — The Safe Transport of Dangerous Goods by Air *and the* Technical Instructions for the Safe Transport of Dangerous Goods by Air *(Doc 9284).*

Note 2.— For more information on dangerous goods operational requirements, see Chapter 14.

Note 3.— Guidance material to design training programmes to develop knowledge and skills in human performance can be found in the Cabin Crew Safety Training Manual *(Doc 10002).*

CHAPTER 13. SECURITY[††]

13.1 DOMESTIC COMMERCIAL OPERATIONS

Recommendation.— *International Standards and Recommended Practices set forth in this chapter should be applied by all Contracting States also in case of domestic commercial operations (air services).*

13.2 SECURITY OF THE FLIGHT CREW COMPARTMENT

13.2.1 In all aeroplanes which are equipped with a flight crew compartment door, this door shall be capable of being locked, and means shall be provided by which cabin crew can discreetly notify the flight crew in the event of suspicious activity or security breaches in the cabin.

13.2.2 All passenger-carrying aeroplanes:

a) of a maximum certificated take-off mass in excess of 54 500 kg; or

b) of a maximum certificated take-off mass in excess of 45 500 kg with a passenger seating capacity greater than 19; or

c) with a passenger seating capacity greater than 60

shall be equipped with an approved flight crew compartment door that is designed to resist penetration by small arms fire and grenade shrapnel, and to resist forcible intrusions by unauthorized persons. This door shall be capable of being locked and unlocked from either pilot's station.

13.2.3 In all aeroplanes which are equipped with a flight crew compartment door in accordance with 13.2.2:

a) this door shall be closed and locked from the time all external doors are closed following embarkation until any such door is opened for disembarkation, except when necessary to permit access and egress by authorized persons; and

b) means shall be provided for monitoring from either pilot's station the entire door area outside the flight crew compartment to identify persons requesting entry and to detect suspicious behaviour or potential threat.

13.2.4 **Recommendation.—** *All passenger-carrying aeroplanes should be equipped with an approved flight crew compartment door, where practicable, that is designed to resist penetration by small arms fire and grenade shrapnel, and to resist forcible intrusions by unauthorized persons. This door should be capable of being locked and unlocked from either pilot's station.*

[††] In the context of this chapter, the word "security" is used in the sense of prevention of illicit acts against civil aviation.

13.2.5 **Recommendation.—** *In all aeroplanes which are equipped with a flight crew compartment door in accordance with 13.2.4:*

a) the door should be closed and locked from the time all external doors are closed following embarkation until any such door is opened for disembarkation, except when necessary to permit access and egress by authorized persons; and

b) means should be provided for monitoring from either pilot's station the entire door area outside the flight crew compartment to identify persons requesting entry and to detect suspicious behaviour or potential threat.

13.3 AEROPLANE SEARCH PROCEDURE CHECKLIST

The operator shall ensure that there is on board a checklist of the procedures to be followed in searching for a bomb in case of suspected sabotage and for inspecting aeroplanes for concealed weapons, explosives or other dangerous devices when a well-founded suspicion exists that the aeroplane may be the object of an act of unlawful interference. The checklist shall be supported by guidance on the appropriate course of action to be taken should a bomb or suspicious object be found and information on the least-risk bomb location specific to the aeroplane.

13.4 TRAINING PROGRAMMES

13.4.1 The operator shall establish and maintain an approved security training programme which ensures crew members act in the most appropriate manner to minimize the consequences of acts of unlawful interference. As a minimum, this programme shall include the following elements:

a) determination of the seriousness of any occurrence;

b) crew communication and coordination;

c) appropriate self-defence responses;

d) use of non-lethal protective devices assigned to crew members whose use is authorized by the State of the Operator;

e) understanding of behaviour of terrorists so as to facilitate the ability of crew members to cope with hijacker behaviour and passenger responses;

f) live situational training exercises regarding various threat conditions;

g) flight crew compartment procedures to protect the aeroplane; and

h) aeroplane search procedures and guidance on least-risk bomb locations where practicable.

13.4.2 The operator shall also establish and maintain a training programme to acquaint appropriate employees with preventive measures and techniques in relation to passengers, baggage, cargo, mail, equipment, stores and supplies intended for carriage on an aeroplane so that they contribute to the prevention of acts of sabotage or other forms of unlawful interference.

13.5 REPORTING ACTS OF UNLAWFUL INTERFERENCE

Following an act of unlawful interference, the pilot-in-command shall submit, without delay, a report of such an act to the designated local authority.

13.6 MISCELLANEOUS

13.6.1 **Recommendation.—** *Specialized means of attenuating and directing the blast should be provided for use at the least-risk bomb location.*

13.6.2 **Recommendation.—** *Where the operator accepts the carriage of weapons removed from passengers, the aeroplane should have provision for stowing such weapons in a place so that they are inaccessible to any person during flight time.*

CHAPTER 14. DANGEROUS GOODS

14.1 STATE RESPONSIBILITIES

Note 1.— Annex 18, Chapter 11, contains requirements for each Contracting State to establish oversight procedures for all entities (including packers, shippers, ground handling agents and operators) performing dangerous goods functions.

Note 2.— Operator responsibilities for the transport of dangerous goods are contained in Chapters 8, 9 and 10 of Annex 18. Part 7 of the Technical Instructions for the Safe Transport of Dangerous Goods by Air *(Doc 9284) (Technical Instructions) contains the operator's responsibilities and requirements for incident and accident reporting.*

Note 3.— The requirements pertaining to crew members or passengers carrying dangerous goods on aircraft are set forth in Part 8, Chapter 1, of the Technical Instructions.

Note 4.— COMAT that meets the classification criteria of the Technical Instructions for dangerous goods are considered cargo and must be transported in accordance with Part 1;2.2.2 or Part 1;2.2.3 of the Technical Instructions (e.g. aircraft parts such as chemical oxygen generators, fuel control units, fire extinguishers, oils, lubricants, cleaning products).

14.2 OPERATORS WITH NO SPECIFIC APPROVAL FOR THE TRANSPORT OF DANGEROUS GOODS AS CARGO

The State of the Operator shall ensure that operators with no specific approval to transport dangerous goods have:

a) established a dangerous goods training programme that meets the requirements of Annex 18, the applicable requirements of the Technical Instructions, Part 1, Chapter 4, and the requirements of the State's regulations, as appropriate. Details of the dangerous goods training programme shall be included in the operator's operations manuals;

b) established dangerous goods policies and procedures in its operations manual to meet, at a minimum, the requirements of Annex 18, the Technical Instructions and the State's regulations to allow operator personnel to:

   1) identify and reject undeclared dangerous goods, including COMAT classified as dangerous goods; and

   2) report to the appropriate authorities of the State of the Operator and the State in which it occurred any:

      i) occasions when undeclared dangerous goods are discovered in cargo or mail; and

      ii) dangerous goods accidents and incidents.

14.3 OPERATORS WITH A SPECIFIC APPROVAL FOR THE TRANSPORT OF DANGEROUS GOODS AS CARGO

The State of the Operator shall issue a specific approval for the transport of dangerous goods and ensure that the operator:

a) establishes a dangerous goods training programme that meets the requirements in the Technical Instructions, Part 1, Chapter 4, Table 1-4, and the requirements of the State regulations, as appropriate. Details of the dangerous goods training programme shall be included in the operator's operations manuals;

b) establishes dangerous goods policies and procedures in its operations manual to meet, at a minimum, the requirements of Annex 18, the Technical Instructions and the State's regulations to enable operator personnel to:

1) identify and reject undeclared or misdeclared dangerous goods, including COMAT classified as dangerous goods;

2) report to the appropriate authorities of the State of the Operator and the State in which it occurred any:

i) occasions when undeclared or misdeclared dangerous goods are discovered in cargo or mail; and

ii) dangerous goods accidents and incidents;

3) report to the appropriate authorities of the State of the Operator and the State of Origin any occasions when dangerous goods are discovered to have been carried;

i) when not loaded, segregated, separated or secured in accordance with the Technical Instructions, Part 7, Chapter 2; and

ii) without information having been provided to the pilot-in-command;

4) accept, handle, store, transport, load and unload dangerous goods, including COMAT classified as dangerous goods as cargo on board an aircraft; and

5) provide the pilot-in-command with accurate and legible written or printed information concerning dangerous goods that are to be carried as cargo.

Note.— Article 35 of the Convention refers to certain classes of cargo restrictions.

14.4 PROVISION OF INFORMATION

The operator shall ensure that all personnel, including third-party personnel, involved in the acceptance, handling, loading and unloading of cargo are informed of the operator's specific approval and limitations with regard to the transport of dangerous goods.

14.5 DOMESTIC COMMERCIAL AIR TRANSPORT OPERATIONS

Recommendation.— *The International Standards and Recommended Practices set forth in this chapter should be applied by all Contracting States also in the case of domestic commercial air transport operations.*

Note.— Annex 18 contains a similar provision in this regard.

CHAPTER 15. CARGO COMPARTMENT SAFETY

Note.— Guidance on the hazards associated with the transport of items in the cargo compartment, the conduct of a specific safety risk assessment in accordance with the Safety Management Manual *(Doc 9859), and the responsibilities for the transport of dangerous goods, is contained in the* Guidance for Safe Operations Involving Cargo Compartments *(Doc10102).*

15.1 TRANSPORT OF ITEMS IN THE CARGO COMPARTMENT

15.1. The State of the Operator shall ensure that the operator establishes policies and procedures for the transport of items in the cargo compartment, which include the conduct of a specific safety risk assessment. The risk assessment shall include at least the:

a) hazards associated with the properties of the items to be transported;

b) capabilities of the operator;

c) operational considerations (e.g. area of operations, diversion time);

d) capabilities of the aeroplane and its systems (e.g. cargo compartment fire suppression capabilities);

e) containment characteristics of unit load devices;

f) packing and packaging;

g) safety of the supply chain for items to be transported; and

h) quantity and distribution of dangerous goods items to be transported.

Note.— Additional operational requirements for the transport of dangerous goods are contained in Chapter 14.

15.2 FIRE PROTECTION

15.2.1 The elements of the cargo compartment(s) fire protection system, as approved by the State of Design or State of Registry, and a summary of the demonstrated cargo compartment fire protection certification standards, shall be provided in the aeroplane flight manual or other documentation supporting the operation of the aeroplane.

Note.— Guidance on the elements of cargo compartment fire protection and associated demonstrated standards are provided in the Guidance for Safe Operations Involving Cargo Compartments *(Doc 10102).*

15.2.2 The Operator shall establish policies and procedures that address the items to be transported in the cargo compartment. These shall ensure, to a reasonable certainty, that in the event of a fire involving those items, it can be detected and sufficiently suppressed or contained by the elements of the aeroplane design associated with cargo compartment fire protection, until the aeroplane makes a safe landing.

Note.— Guidance on policies and procedures that address the items to be transported in the cargo compartment are provided in the Guidance for Safe Operations Involving Cargo Compartments *(Doc 10102).*

APPENDIX 1. LIGHTS TO BE DISPLAYED BY AEROPLANES

(Chapter 6, 6.10, refers)

1. TERMINOLOGY

When the following terms are used in this Appendix, they have the following meanings:

Angles of coverage.

a) Angle of coverage A is formed by two intersecting vertical planes making angles of 70 degrees to the right and 70 degrees to the left respectively, looking aft along the longitudinal axis to a vertical plane passing through the longitudinal axis.

b) Angle of coverage F is formed by two intersecting vertical planes making angles of 110 degrees to the right and 110 degrees to the left respectively, looking forward along the longitudinal axis to a vertical plane passing through the longitudinal axis.

c) Angle of coverage L is formed by two intersecting vertical planes, one parallel to the longitudinal axis of the aeroplane, and the other 110 degrees to the left of the first, when looking forward along the longitudinal axis.

d) Angle of coverage R is formed by two intersecting vertical planes, one parallel to the longitudinal axis of the aeroplane, and the other 110 degrees to the right of the first, when looking forward along the longitudinal axis.

Horizontal plane. The plane containing the longitudinal axis and perpendicular to the plane of symmetry of the aeroplane.

Longitudinal axis of the aeroplane. A selected axis parallel to the direction of flight at a normal cruising speed, and passing through the centre of gravity of the aeroplane.

Making way. An aeroplane on the surface of the water is "making way" when it is under way and has a velocity relative to the water.

Under command. An aeroplane on the surface of the water is "under command" when it is able to execute manoeuvres as required by the International Regulations for Preventing Collisions at Sea for the purpose of avoiding other vessels.

Under way. An aeroplane on the surface of the water is "under way" when it is not aground or moored to the ground or to any fixed object on the land or in the water.

Vertical planes. Planes perpendicular to the horizontal plane.

Visible. Visible on a dark night with a clear atmosphere.

2. NAVIGATION LIGHTS TO BE DISPLAYED IN THE AIR

Note.— The lights specified herein are intended to meet the requirements of Annex 2 for navigation lights.

As illustrated in Figure 1, the following unobstructed navigation lights shall be displayed:

a) a red light projected above and below the horizontal plane through angle of coverage L;

b) a green light projected above and below the horizontal plane through angle of coverage R;

c) a white light projected above and below the horizontal plane rearward through angle of coverage A.

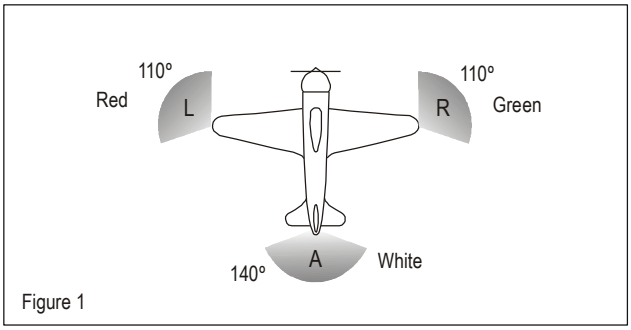


Figure 1

3. LIGHTS TO BE DISPLAYED ON THE WATER

3.1 General

Note.— The lights specified herein are intended to meet the requirements of Annex 2 for lights to be displayed by aeroplanes on the water.

The International Regulations for Preventing Collisions at Sea require different lights to be displayed in each of the following circumstances:

a) when under way;

b) when towing another vessel or aeroplane;

c) when being towed;

d) when not under command and not making way;

e) when making way but not under command;

f) when at anchor;

g) when aground.

The lights required by aeroplanes in each case are described below.

3.2 When under way

As illustrated in Figure 2, the following appearing as steady unobstructed lights:

a) a red light projected above and below the horizontal through angle of coverage L;

b) a green light projected above and below the horizontal through angle of coverage R;

c) a white light projected above and below the horizontal through angle of coverage A; and

d) a white light projected through angle of coverage F.

The lights described in 3.2 a), b) and c) should be visible at a distance of at least 3.7 km (2 NM). The light described in 3.2 d) should be visible at a distance of 9.3 km (5 NM) when fitted to an aeroplane of 20 m or more in length or visible at a distance of 5.6 km (3 NM) when fitted to an aeroplane of less than 20 m in length.

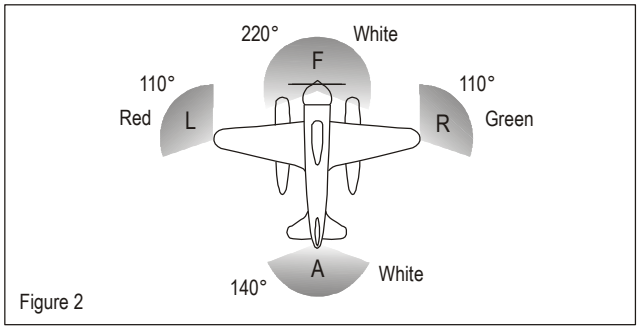


Figure 2

3.3 When towing another vessel or aeroplane

As illustrated in Figure 3, the following appearing as steady, unobstructed lights:

a) the lights described in 3.2;

b) a second light having the same characteristics as the light described in 3.2 d) and mounted in a vertical line at least 2 m above or below it; and

c) a yellow light having otherwise the same characteristics as the light described in 3.2 c) and mounted in a vertical line at least 2 m above it.

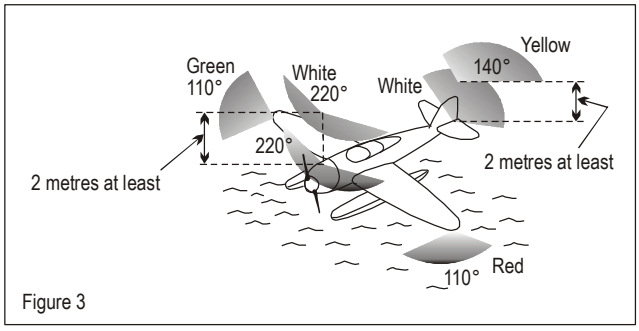


Figure 3

3.4 When being towed

The lights described in 3.2 a), b) and c) appearing as steady, unobstructed lights.

3.5 When not under command and not making way

As illustrated in Figure 4, two steady red lights placed where they can best be seen, one vertically over the other and not less than 1 m apart, and of such a character as to be visible all around the horizon at a distance of at least 3.7 km (2 NM).

3.6 When making way but not under command

As illustrated in Figure 5, the lights described in 3.5 plus the lights described in 3.2 a), b) and c).

Note.— The display of lights prescribed in 3.5 and 3.6 is to be taken by other aircraft as signals that the aeroplane showing them is not under command and cannot therefore get out of the way. They are not signals of aeroplanes in distress and requiring assistance.

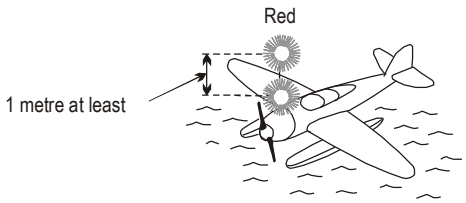


Figure 4

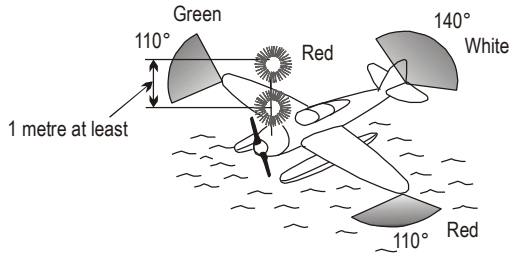


Figure 5

3.7 When at anchor

a) If less than 50 m in length, where it can best be seen, a steady white light (Figure 6), visible all around the horizon at a distance of at least 3.7 km (2 NM).

b) If 50 m or more in length, where they can best be seen, a steady white forward light and a steady white rear light (Figure 7) both visible all around the horizon at a distance of at least 5.6 km (3 NM).

c) If 50 m or more in span a steady white light on each side (Figures 8 and 9) to indicate the maximum span and visible, so far as practicable, all around the horizon at a distance of at least 1.9 km (1 NM).

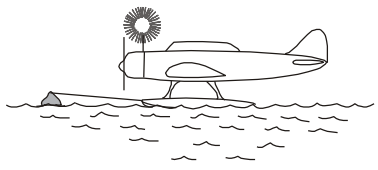


Figure 6

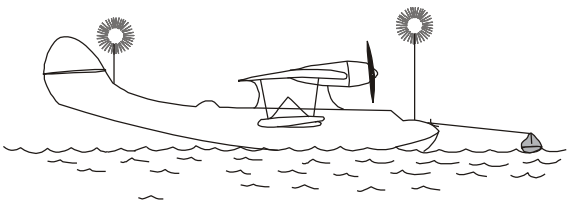
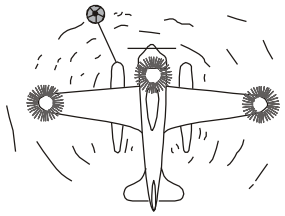
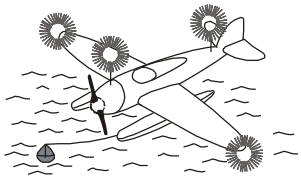


Figure 7



Less than 50 metres in length; 50 metres or more in span

Figure 8



50 metres or more in length; 50 metres or more in span

Figure 9

3.8 When aground

The lights prescribed in 3.7 and in addition two steady red lights in vertical line, at least 1 m apart so placed as to be visible all around the horizon.

APPENDIX 2. ORGANIZATION AND CONTENTS OF AN OPERATIONS MANUAL

(Chapter 4, 4.2.3.1, refers)

1. ORGANIZATION

An operations manual, which may be issued in separate parts corresponding to specific aspects of operations, provided in accordance with Chapter 4, 4.2.3.1, shall be organized with the following structure:

a) General;

b) Aircraft operating information;

c) Areas, routes and aerodromes; and

d) Training.

2. CONTENTS

The operations manual referred to in 1 shall contain at the least the following:

2.1 General

2.1.1 Instructions outlining the responsibilities of operations personnel pertaining to the conduct of flight operations.

2.1.2 Information and policy relating to fatigue management including:

a) policies pertaining to flight time, flight duty period, duty period limitations and rest requirements for flight and cabin crew members in accordance with Chapter 4, 4.10.2 a); and

b) where applicable, policy and documentation pertaining to the operator's FRMS in accordance with Appendix 7.

2.1.3 A list of the navigational equipment to be carried including any requirements relating to operations where performance-based navigation is prescribed.

2.1.4 Where relevant to the operations, the long-range navigation procedures, engine failure procedure for EDTO and the nomination and utilization of diversion aerodromes.

2.1.5 The circumstances in which a radio listening watch is to be maintained.

2.1.6 The method for determining minimum flight altitudes.

2.1.7 The methods for determining aerodrome operating minima.

2.1.8 Safety precautions during refuelling with passengers on board.

2.1.9 Ground handling arrangements and procedures.

2.1.10 Procedures, as prescribed in Annex 12, for pilots-in-command observing an accident.

2.1.11 The flight crew for each type of operation including the designation of the succession of command.

2.1.12 Specific instructions for the computation of the quantities of fuel and oil to be carried, taking into account all circumstances of the operation including the possibility of loss of pressurization and the failure of one or more engines while en route.

2.1.13 The conditions under which oxygen shall be used and the amount of oxygen determined in accordance with Chapter 4, 4.3.9.2.

2.1.14 Instructions for mass and balance control.

2.1.15 Instructions for the conduct and control of ground de-icing/anti-icing operations.

2.1.16 The specifications for the operational flight plan.

2.1.17 Standard operating procedures (SOPs) for each phase of flight.

2.1.18 Instructions on the use of normal checklists and the timing of their use.

2.1.19 Departure contingency procedures.

2.1.20 Instructions on the maintenance of altitude awareness and the use of automated or flight crew altitude call-out.

2.1.21 Instructions on the use of autopilots and auto-throttles in IMC.

Note.— Instructions on the use of autopilots and auto-throttles, together with 2.1.26 and 2.1.30, are essential for avoidance of approach and landing accidents and controlled flight into terrain accidents.

2.1.22 Instructions on the clarification and acceptance of ATC clearances, particularly where terrain clearance is involved.

2.1.23 Departure and approach briefings.

2.1.24 Procedures for familiarization with areas, routes and aerodromes.

2.1.25 Stabilized approach procedure.

2.1.26 Limitation on high rates of descent near the surface.

2.1.27 Conditions required to commence or to continue an instrument approach.

2.1.28 Instructions for the conduct of precision and non-precision instrument approach procedures.

2.1.29 Allocation of flight crew duties and procedures for the management of crew workload during night and IMC instrument approach operations.

2.1.30 Instructions and training requirements for the avoidance of controlled flight into terrain and policy for the use of the ground proximity warning system (GPWS).

2.1.31 Policy, instructions, procedures and training requirements for the avoidance of collisions and the use of the airborne collision avoidance system (ACAS).

Note.— Procedures for the operation of ACAS are contained in PANS-OPS (Doc 8168), Volume I, and in PANS-ATM (Doc 4444), Chapters 12 and 15.

2.1.32 Information and instructions relating to the interception of civil aircraft including:

a) procedures, as prescribed in Annex 2, for pilots-in-command of intercepted aircraft; and

b) visual signals for use by intercepting and intercepted aircraft, as contained in Annex 2.

2.1.33 For aeroplanes intended to be operated above 15 000 m (49 000 ft):

a) information which will enable the pilot to determine the best course of action to take in the event of exposure to solar cosmic radiation; and

b) procedures in the event that a decision to descend is taken, covering:

1) the necessity of giving the appropriate ATS unit prior warning of the situation and of obtaining a provisional descent clearance; and

2) the action to be taken in the event that communication with the ATS unit cannot be established or is interrupted.

Note.— Guidance material on the information to be provided is contained in Circular 126 — Guidance Material on SST Aircraft Operations.

2.1.34 Details of the safety management system (SMS) provided in accordance with Chapters 3 and 4 of Annex 19.

2.1.35 Information and instructions on the carriage of dangerous goods, in accordance with Chapter 14, including action to be taken in the event of an emergency.

Note.— Guidance material on the development of policies and procedures for dealing with dangerous goods incidents on board aircraft is contained in Emergency Response Guidance for Aircraft Incidents Involving Dangerous Goods *(Doc 9481)*.

2.1.36 Security instructions and guidance.

2.1.37 The search procedure checklist provided in accordance with Chapter 13, 13.3.

2.1.38 Instructions and training requirements for the use of automatic landing systems, a HUD or equivalent displays and EVS, SVS or CVS equipment as applicable.

2.1.39 Instructions and training requirements for the use of the EFB, as applicable.

2.2 Aircraft operating information

2.2.1 Certification limitations and operating limitations.

2.2.2 The normal, abnormal and emergency procedures to be used by the flight crew and the checklists relating thereto as required by Chapter 6, 6.1.4.

2.2.3 Operating instructions and information on climb performance with all engines operating, if provided in accordance with Chapter 4, 4.2.4.3.

2.2.4 Flight planning data for pre-flight and in-flight planning with different thrust/power and speed settings.

2.2.5 The maximum crosswind and tailwind components for each aeroplane type operated and the reductions to be applied to these values having regard to gusts, low visibility, runway surface conditions, crew experience, use of autopilot, abnormal or emergency circumstances, or any other relevant operational factors.

2.2.6 Instructions and data for mass and balance calculations.

2.2.7 Instructions for aircraft loading and securing of load.

2.2.8 Aircraft systems, associated controls and instructions for their use, as required by Chapter 6, 6.1.4.

2.2.9 The minimum equipment list and configuration deviation list for the aeroplane types operated and specific operations authorized, including any requirements relating to operations where performance-based navigation is prescribed.

2.2.10 Checklist of emergency and safety equipment and instructions for its use.

2.2.11 Emergency evacuation procedures, including type-specific procedures, crew coordination, assignment of crew's emergency positions and the emergency duties assigned to each crew member.

2.2.12 The normal, abnormal and emergency procedures to be used by the cabin crew, the checklists relating thereto and aircraft systems information as required, including a statement related to the necessary procedures for the coordination between flight and cabin crew.

2.2.13 Survival and emergency equipment for different routes and the necessary procedures to verify its normal functioning before take-off, including procedures to determine the required amount of oxygen and the quantity available.

2.2.14 The ground-air visual signal code for use by survivors, as contained in Annex 12.

2.3 Routes and aerodromes

2.3.1 A route guide to ensure that the flight crew will have, for each flight, information relating to communication facilities, navigation aids, aerodromes, instrument approaches, instrument arrivals and instrument departures as applicable for the operation, and such other information as the operator may deem necessary for the proper conduct of flight operations.

2.3.2 The minimum flight altitudes for each route to be flown.

2.3.3 Aerodrome operating minima for each of the aerodromes that are likely to be used as aerodromes of intended landing or as alternate aerodromes.

2.3.4 The increase of aerodrome operating minima in case of degradation of approach or aerodrome facilities.

2.3.5 Instructions for determining aerodrome operating minima for instrument approaches using eligible equipment for operational credit.

2.3.6 The necessary information for compliance with all flight profiles required by regulations, including but not limited to, the determination of:

a) take-off runway length requirements for dry, wet and contaminated conditions, including those dictated by system failures which affect the take-off distance;

b) take-off climb limitations;

c) en-route climb limitations;

d) approach climb limitations and landing climb limitations;

e) landing runway length requirements for dry, wet and contaminated conditions, including systems failures which affect the landing distance; and

f) supplementary information, such as tire speed limitations.

2.4 Training

2.4.1 Details of the flight crew training programme, as required by Chapter 9, 9.3.

2.4.2 Details of the cabin crew duties training programme as required by Chapter 12, 12.4.

2.4.3 Details of the flight operations officer/flight dispatcher training programme when employed in conjunction with a method of flight supervision in accordance with Chapter 4, 4.2.1.

Note.— Details of the flight operations officer/flight dispatcher training programme are contained in Chapter 10, 10.2.

APPENDIX 3. ADDITIONAL REQUIREMENTS FOR APPROVED OPERATIONS BY SINGLE-ENGINE TURBINE-POWERED AEROPLANES AT NIGHT AND/OR IN INSTRUMENT METEOROLOGICAL CONDITIONS (IMC)

(*Chapter 5, 5.4.1, refers*)

Airworthiness and operational requirements provided in accordance with Chapter 5, 5.4.1, shall satisfy the following:

1. TURBINE ENGINE RELIABILITY

1.1 Turbine engine reliability shall be shown to have a power loss rate of less than 1 per 100 000 engine hours.

Note.— Power loss in this context is defined as any loss of power, the cause of which may be traced to faulty engine or engine component design or installation, including design or installation of the fuel ancillary or engine control systems. (See Attachment E.)

1.2 The operator shall be responsible for engine trend monitoring.

1.3 To minimize the probability of in-flight engine failure, the engine shall be equipped with:

a) an ignition system that activates automatically, or is capable of being operated manually, for take-off and landing, and during flight, in visible moisture;

b) a magnetic particle detection or equivalent system that monitors the engine, accessories gearbox, and reduction gearbox, and which includes a flight deck caution indication; and

c) an emergency engine power control device that permits continuing operation of the engine through a sufficient power range to safely complete the flight in the event of any reasonably probable failure of the fuel control unit.

2. SYSTEMS AND EQUIPMENT

Single-engine turbine-powered aeroplanes approved to operate at night and/or in IMC shall be equipped with the following systems and equipment intended to ensure continued safe flight and to assist in achieving a safe forced landing after an engine failure, under all allowable operating conditions:

a) two separate electrical generating systems, each one capable of supplying all probable combinations of continuous in-flight electrical loads for instruments, equipment and systems required at night and/or in IMC;

b) a radio altimeter;

c) an emergency electrical supply system of sufficient capacity and endurance, following loss of all generated power, to as a minimum:

1) maintain the operation of all essential flight instruments, communication and navigation systems during a descent from the maximum certificated altitude in a glide configuration to the completion of a landing;

2) lower the flaps and landing gear, if applicable;

3) provide power to one pitot heater, which must serve an air speed indicator clearly visible to the pilot;

4) provide for operation of the landing light specified in 2 j);

5) provide for one engine restart, if applicable; and

6) provide for the operation of the radio altimeter;

d) two attitude indicators, powered from independent sources;

e) a means to provide for at least one attempt at engine re-start;

f) airborne weather radar;

g) a certified area navigation system capable of being programmed with the positions of aerodromes and safe forced landing areas, and providing instantly available track and distance information to those locations;

h) for passenger operations, passenger seats and mounts which meet dynamically-tested performance standards and which are fitted with a shoulder harness or a safety belt with a diagonal shoulder strap for each passenger seat;

i) in pressurized aeroplanes, sufficient supplemental oxygen for all occupants for descent following engine failure at the maximum glide performance from the maximum certificated altitude to an altitude at which supplemental oxygen is no longer required;

j) a landing light that is independent of the landing gear and is capable of adequately illuminating the touchdown area in a night forced landing; and

k) an engine fire warning system.

3. MINIMUM EQUIPMENT LIST

The State of the Operator shall require the minimum equipment list of the operator approved in accordance with Chapter 5, 5.4 to specify the operating equipment required for night and/or IMC operations, and for day/VMC operations.

4. FLIGHT MANUAL INFORMATION

The flight manual shall include limitations, procedures, approval status and other information relevant to operations by single-engine turbine-powered aeroplanes at night and/or in IMC.

5. EVENT REPORTING

5.1 The operator approved for operations by single-engine turbine-powered aeroplanes at night and/or in IMC shall report all significant failures, malfunctions or defects to the State of the Operator who in turn will notify the State of Design.

5.2 The State of the Operator shall review the safety data and monitor the reliability information so as to be able to take any actions necessary to ensure that the intended safety level is achieved. The State of the Operator will notify major events or trends of particular concern to the appropriate Type Certificate Holder and the State of Design.

6. OPERATOR PLANNING

6.1 Operator route planning shall take account of all relevant information in the assessment of intended routes or areas of operations, including the following:

a) the nature of the terrain to be overflown, including the potential for carrying out a safe forced landing in the event of an engine failure or major malfunction;

b) weather information, including seasonal and other adverse meteorological influences that may affect the flight; and

c) other criteria and limitations as specified by the State of the Operator.

6.2 The operator shall identify aerodromes or safe forced landing areas available for use in the event of engine failure, and the position of these shall be programmed into the area navigation system.

Note 1.— A 'safe' forced landing in this context means a landing in an area at which it can reasonably be expected that it will not lead to serious injury or loss of life, even though the aeroplane may incur extensive damage.

Note 2.— Operation over routes and in weather conditions that permit a safe forced landing in the event of an engine failure, as specified in Chapter 5, 5.1.2, is not required by Appendix 3, 6.1 and 6.2 for aeroplanes approved in accordance with Chapter 5, 5.4. The availability of forced landing areas at all points along a route is not specified for these aeroplanes because of the very high engine reliability, additional systems and operational equipment, procedures and training requirements specified in this Appendix.

7. FLIGHT CREW EXPERIENCE, TRAINING AND CHECKING

7.1 The State of the Operator shall prescribe the minimum flight crew experience required for night/IMC operations by single-engine turbine-powered aeroplanes.

7.2 The operator's flight crew training and checking shall be appropriate to night and/or IMC operations by single-engine turbine-powered aeroplanes, covering normal, abnormal and emergency procedures and, in particular, engine failure, including descent to a forced landing in night and/or in IMC conditions.

8. ROUTE LIMITATIONS OVER WATER

The State of the Operator shall apply route limitation criteria for single-engine turbine-powered aeroplanes operating at night and/or in IMC on over water operations if beyond gliding distance from an area suitable for a safe forced landing/ditching having regard to the characteristics of the aeroplane, seasonal weather influences, including likely sea state and temperature, and the availability of search and rescue services.

9. OPERATOR CERTIFICATION OR VALIDATION

The operator shall demonstrate the ability to conduct operations by single-engine turbine-powered aeroplanes at night and/or in IMC through a certification and approval process specified by the State of the Operator.

Note.— Guidance on the airworthiness and operational requirements is contained in Attachment E.

APPENDIX 4. ALTIMETRY SYSTEM PERFORMANCE REQUIREMENTS FOR OPERATIONS IN RVSM AIRSPACE

(Chapter 7, 7.2.7, refers)

1. In respect of groups of aeroplanes that are nominally of identical design and build with respect to all details that could influence the accuracy of height-keeping performance, the height-keeping performance capability shall be such that the total vertical error (TVE) for the group of aeroplanes shall have a mean no greater than 25 m (80 ft) in magnitude and shall have a standard deviation no greater than $28 - 0.013z^2$ for $0 \leqslant z \leqslant 25$ when z is the magnitude of the mean TVE in metres, or $92 - 0.004z^2$ for $0 \leqslant z \leqslant 80$ where z is in feet. In addition, the components of TVE shall have the following characteristics:

a) the mean altimetry system error (ASE) of the group shall not exceed 25 m (80 ft) in magnitude;

b) the sum of the absolute value of the mean ASE and of three standard deviations of ASE shall not exceed 75 m (245 ft); and

c) the differences between cleared flight level and the indicated pressure altitude actually flown shall be symmetric about a mean of 0 m, with a standard deviation no greater than 13.3 m (43.7 ft), and in addition, the decrease in the frequency of differences with increasing difference magnitude shall be at least exponential.

2. In respect of aeroplanes for which the characteristics of the airframe and altimetry system fit are unique and so cannot be classified as belonging to a group of aeroplanes encompassed by paragraph 1, the height-keeping performance capability shall be such that the components of the TVE of the aeroplane have the following characteristics:

a) the ASE of the aeroplane shall not exceed 60 m (200 ft) in magnitude under all flight conditions; and

b) the differences between the cleared flight level and the indicated pressure altitude actually flown shall be symmetric about a mean of 0 m, with a standard deviation no greater than 13.3 m (43.7 ft), and in addition, the decrease in the frequency of differences with increasing difference magnitude shall be at least exponential.

APPENDIX 5. SAFETY OVERSIGHT OF AIR OPERATORS

(Chapter 4, 4.2.1.8, refers)

Note 1.— Appendix 1 to Annex 19 contains the general provisions for a State safety oversight system.

Note 2.— This Appendix provides additional provisions for the safety oversight of international commercial air transport operators.

1. PRIMARY AVIATION LEGISLATION

The State of the Operator shall enact and implement laws that enable the State to regulate the certification and continued supervision of air operators and the resolution of safety issues identified by the authority and to ensure that compliance will result in an acceptable level of safety performance for the operations undertaken.

Note 1.— The term authority as used in this Appendix refers to the Civil Aviation Authority as well as equivalent organizations, including inspectors and staff.

Note 2.— Guidance on the inspection, certification and continued surveillance of operations is contained in the Manual of Procedures for Operations Inspection, Certification and Continued Surveillance *(Doc 8335) and the* Airworthiness Manual *(Doc 9760).*

2. SPECIFIC OPERATING REGULATIONS

The State of the Operator shall adopt regulations that provide for the certification and continued surveillance of aircraft operations and the maintenance of aircraft in conformity with the Annexes to the Convention on International Civil Aviation.

3. STATE SAFETY OVERSIGHT SYSTEM AND FUNCTIONS

3.1 The State of the Operator shall ensure that the authority is responsible for the safety oversight of air operators.

3.2 The State of the Operator shall use a methodology to determine its inspector staffing requirements according to the size and complexity of civil air operations in that State.

3.3 **Recommendation.—** *The methodology in 3.2 should be documented.*

3.4 The State of the Operator shall ensure that authority inspectors have adequate support, credentials and transportation to accomplish, independently, their certification and continued surveillance tasks.

4. QUALIFIED TECHNICAL PERSONNEL

The State of the Operator shall require that the initial and recurrent training of the authority inspectors include aircraft-specific subjects.

Note.— Guidance on experience and training for inspectors is contained in the Manual of Procedures for Operations Inspection, Certification and Continued Surveillance *(Doc 8335).*

5. TECHNICAL GUIDANCE, TOOLS AND PROVISION OF SAFETY-CRITICAL INFORMATION

5.1 The State of the Operator shall ensure that authority inspectors are provided with technical guidance manuals containing the policies, procedures and standards to be used in the certification and continued surveillance of air operators.

5.2 The State of the Operator shall ensure that authority inspectors are provided with technical guidance manuals containing the policies, procedures and standards to be used in the resolution of safety issues, including enforcement.

5.3 The State of the Operator shall ensure that authority inspectors are provided with technical guidance manuals that address ethics, personal conduct and the avoidance of actual or perceived conflicts of interest in the performance of official duties.

6. CERTIFICATION OBLIGATIONS

The State of the Operator shall require, prior to commencement of new commercial air transport operations, air operators to demonstrate that they can safely conduct the proposed operations.

Note.— Attachment B contains further information in this regard.

7. CONTINUED SURVEILLANCE OBLIGATIONS

The State of the Operator shall use an ongoing surveillance plan to confirm that operators continue to meet the relevant requirements for initial certification and that each air operator is functioning satisfactorily.

8. RESOLUTION OF SAFETY ISSUES

Note.— Provisions for the resolution of safety issues are contained in Appendix 1 to Annex 19.

APPENDIX 6. AIR OPERATOR CERTIFICATE (AOC)

(Chapter 4, 4.2.1.5 and 4.2.1.6, refer)

1. PURPOSE AND SCOPE

1.1 The AOC and its associated model specific operations specifications shall contain the minimum information required in paragraphs 2 and 3 respectively, in a standardized format.

1.2 The air operator certificate and its associated operations specifications shall define the operations for which the operator is authorized, including specific approvals, conditions and limitations.

Note.— Attachment B, paragraph 3.2.2, contains additional information that may be listed in the operations specifications associated with the air operator certificate.

2. AOC TEMPLATE

Note.— Chapter 6, 6.1.2, requires a certified true copy of the AOC to be carried aboard.

<table>
<tr><th colspan="3">AIR OPERATOR CERTIFICATE</th></tr>
<tr><td>[1]</td><td>STATE OF THE OPERATOR[2]</td><td>[1]</td></tr>
<tr><td></td><td>ISSUING AUTHORITY[3]</td><td></td></tr>
<tr><td>AOC #[4]:
Expiry date[5]:</td><td>OPERATOR NAME[6]

Dba trading name[7]:
Operator address[8]:
Telephone[9]:
Fax:
Email:</td><td>OPERATIONAL POINTS OF CONTACT[10]

Contact details, at which operational management can be contacted without undue delay, are listed in _____[11].</td></tr>
<tr><td colspan="3">This certificate certifies that _____[12] is authorized to perform commercial air operations, as defined in the attached operations specifications, in accordance with the operations manual and the _____[13] .</td></tr>
<tr><td>Date of issue[14]:</td><td colspan="2">Name and signature[15]:
Title:</td></tr>
</table>

Notes.—

1. *For use of the State of the Operator.*
2. *Replace by the name of the State of the Operator.*
3. *Replace by the identification of the issuing authority of the State of the Operator.*
4. *Unique AOC number, as issued by the State of the Operator.*
5. *Date after which the AOC ceases to be valid (dd-mm-yyyy).*
6. *Replace by the operator's registered name.*
7. *Operator's trading name, if different. Insert "dba" before the trading name (for "doing business as").*

8. Operator's principal place of business address.
9. Operator's principal place of business telephone and fax details, including the country code. Email to be provided if available.
10. The contact details include the telephone and fax numbers, including the country code, and the email address (if available) at which operational management can be contacted without undue delay for issues related to flight operations, airworthiness, flight and cabin crew competency, dangerous goods and other matters, as appropriate.
11. Insert the controlled document, carried on board, in which the contact details are listed, with the appropriate paragraph or page reference, e.g.: "Contact details are listed in the operations manual, Gen/Basic, Chapter 1, 1.1" or "… are listed in the operations specifications, page 1" or "… are listed in an attachment to this document".
12. Operator's registered name.
13. Insertion of reference to the appropriate civil aviation regulations.
14. Issuance date of the AOC (dd-mm-yyyy).
15. Title, name and signature of the authority representative. In addition, an official stamp may be applied on the AOC.

3. OPERATIONS SPECIFICATIONS FOR EACH AIRCRAFT MODEL

Note.— Chapter 6, 6.1.2, requires a copy of the operations specifications of this section to be carried aboard.

3.1 For each aircraft model in the operator's fleet, identified by aircraft make, model and series, the following information shall be included: issuing authority contact details, operator name and AOC number, date of issue and signature of the authority representative, aircraft model, types and area of operations, special limitations and specific approvals.

Note.— If specific approvals and limitations are identical for two or more models, these models may be grouped in a single list.

3.2 The operations specifications layout referred to in Chapter 4, 4.2.1.6, shall be as follows:

Note.— The MEL constitutes an integral part of the operations manual.

OPERATIONS SPECIFICATIONS

(subject to the approved conditions in the operations manual)

ISSUING AUTHORITY CONTACT DETAILS[1]

Telephone: _____ Fax: _____ Email: _____

AOC#[2]: _____ Operator name[3]: _____ Date[4]: _____ Signature: _____

Dba trading name[3]: _____

Aircraft model[5]:

Types of operation: Commercial air transportation ☐Passengers ☐ Cargo ☐ Other[6]: _____

Area(s) of operation[7]:

Special limitations[8]:

SPECIFIC APPROVAL	YES	NO	DESCRIPTION [9]	REMARKS
Dangerous goods	☐	☐		
Low visibility operations				
Approach and landing	☐	☐	CAT[10]: _____ RVR: _____ m DH: _____ ft	
Take-off	☐	☐	RVR[11]: _____ m	
Operational credit(s)	☐	☐	[12]	
RVSM[13] ☐ N/A	☐	☐		
EDTO[14] ☐ N/A	☐	☐	Threshold time[15]: _____ minutes Maximum diversion time[15]: _____ minutes	
AR navigation specifications for PBN operations	☐	☐	[16]	
Continuing airworthiness			[17]	
EFB	☐	☐	[18]	
Other [19]	☐	☐		

Notes.—

1. *Telephone contact details of the authority, including the country code. Email and fax to be provided if available.*
2. *Insert the associated AOC number.*
3. *Insert the operator's registered name and the operator's trading name, if different. Insert "dba" before the trading name (for "doing business as").*

4. *Issuance date of the operations specifications (dd-mm-yyyy) and signature of the authority representative.*
5. *Insert the Commercial Aviation Safety Team (CAST)/ICAO designation of the aircraft make, model and series, or master series, if a series has been designated (e.g. Boeing-737-3K2 or Boeing-777-232). The CAST/ICAO taxonomy is available at: http://www.intlaviationstandards.org/.*
6. *Other type of transportation to be specified (e.g. emergency medical service).*
7. *List the geographical area(s) of authorized operation (by geographical coordinates or specific routes, flight information region or national or regional boundaries) as defined by the issuing authority.*
8. *List the applicable special limitations (e.g. VFR only, day only).*
9. *List in this column the most permissive criteria for each specific approval (with appropriate criteria).*
10. *Insert the applicable precision approach category (CAT II or III). Insert the minimum RVR in metres and decision height in feet. One line is used per listed approach category.*
11. *Insert the approved minimum take-off RVR in metres, or the equivalent horizontal visibility if RVR is not used. One line per approval may be used if different approvals are granted.*
12. *List the airborne capabilities (e.g. automatic landing, HUD, EVS, SVS, CVS) and associated operational credit(s) granted.*
13. *"Not applicable (N/A)" box may be checked only if the aircraft maximum ceiling is below FL 290.*
14. *If extended diversion time operations (EDTO) specific approval does not apply based on the provisions in Chapter 4, 4.7, select "N/A". Otherwise a threshold time and maximum diversion time must be specified.*
15. *The threshold time and maximum diversion time may also be listed in distance (NM). Details of each particular aeroplane-engine combination for which the threshold time is established and maximum diversion time has been granted may be listed under "remarks". One line per approval may be used if different approvals are granted.*
16. *Performance-based navigation (PBN): one line is used for each PBN AR navigation specification approval (e.g. RNP AR APCH), with appropriate limitations listed in the "Description" column.*
17. *Insert the name of the person/organization responsible for ensuring that the continuing airworthiness of the aircraft is maintained and the regulation that requires the work, i.e. within the AOC regulation or a specific approval (e.g. EC2042/2003, Part M, Subpart G).*
18. *List the EFB functions used for the safe operation of aeroplanes and any applicable limitations.*
19. *Other authorizations or data can be entered here, using one line (or one multi-line block) per authorization (e.g. special approach authorization, approved navigation performance).*

APPENDIX 7. FATIGUE RISK MANAGEMENT SYSTEM REQUIREMENTS

Note.— Guidance on the development and implementation of FRMS regulations is contained in the Manual for the Oversight of Fatigue Management Approaches *(Doc 9966).*

A Fatigue Risk Management System (FRMS) established in accordance with Chapter 4, 4.10.6, shall contain, at a minimum:

1. FRMS POLICY AND DOCUMENTATION

1.1 FRMS policy

1.1.1 The operator shall define its FRMS policy, with all elements of the FRMS clearly identified.

1.1.2 The policy shall require that the scope of FRMS operations be clearly defined in the operations manual.

1.1.3 The policy shall:

a) reflect the shared responsibility of management, flight and cabin crews, and other involved personnel;

b) clearly state the safety objectives of the FRMS;

c) be signed by the accountable executive of the organization;

d) be communicated, with visible endorsement, to all the relevant areas and levels of the organization;

e) declare management commitment to effective safety reporting;

f) declare management commitment to the provision of adequate resources for the FRMS;

g) declare management commitment to continuous improvement of the FRMS;

h) require that clear lines of accountability for management, flight and cabin crews, and all other involved personnel are identified; and

i) require periodic reviews to ensure it remains relevant and appropriate.

Note.— Effective safety reporting is described in the Safety Management Manual *(Doc 9859).*

1.2 FRMS documentation

The operator shall develop and keep current FRMS documentation that describes and records:

a) FRMS policy and objectives;

b) FRMS processes and procedures;

c) accountabilities, responsibilities and authorities for these processes and procedures;

d) mechanisms for ongoing involvement of management, flight and cabin crew members, and all other involved personnel;

e) FRMS training programmes, training requirements and attendance records;

f) scheduled and actual flight times, duty periods and rest periods with significant deviations and reasons for deviations noted; and

Note.— Significant deviations are described in the Manual for the Oversight of Fatigue Management Approaches *(Doc 9966).*

g) FRMS outputs including findings from collected data, recommendations, and actions taken.

2. FATIGUE RISK MANAGEMENT PROCESSES

2.1 Identification of hazards

Note.— Provisions on the protection of safety data, safety information and related sources are contained in Appendix 3 to Annex 19.

The operator shall develop and maintain three fundamental and documented processes for fatigue hazard identification:

2.1.1 *Predictive*

The predictive process shall identify fatigue hazards by examining crew scheduling and taking into account factors known to affect sleep and fatigue and their effects on performance. Methods of examination may include but are not limited to:

a) operator or industry operational experience and data collected on similar types of operations;

b) evidence-based scheduling practices; and

c) bio-mathematical models.

2.1.2 *Proactive*

The proactive process shall identify fatigue hazards within current flight operations. Methods of examination may include but are not limited to:

a) self-reporting of fatigue risks;

b) crew fatigue surveys;

c) relevant flight and cabin crew performance data;

d) available safety databases and scientific studies; and

e) analysis of planned versus actual time worked.

2.1.3 *Reactive*

The reactive process shall identify the contribution of fatigue hazards to reports and events associated with potential negative safety consequences in order to determine how the impact of fatigue could have been minimized. At a minimum, the process may be triggered by any of the following:

a) fatigue reports;

b) confidential reports;

c) audit reports;

d) incidents; and

e) flight data analysis events.

2.2 Risk assessment

2.2.1 The operator shall develop and implement risk assessment procedures that determine the probability and potential severity of fatigue-related events and identify when the associated risks require mitigation.

2.2.2 The risk assessment procedures shall review identified hazards and link them to:

a) operational processes;

b) their probability;

c) possible consequences; and

d) the effectiveness of existing safety barriers and controls.

2.3 Risk mitigation

The operator shall develop and implement risk mitigation procedures that:

a) select the appropriate mitigation strategies;

b) implement the mitigation strategies; and

c) monitor the strategies' implementation and effectiveness.

3. FRMS SAFETY ASSURANCE PROCESSES

The operator shall develop and maintain FRMS safety assurance processes to:

a) provide for continuous FRMS performance monitoring, analysis of trends, and measurement to validate the effectiveness of the fatigue safety risk controls. The sources of data may include, but are not limited to:

   1) hazard reporting and investigations;

   2) audits and surveys; and

   3) reviews and fatigue studies;

b) provide a formal process for the management of change which shall include but is not limited to:

   1) identification of changes in the operational environment that may affect FRMS;

   2) identification of changes within the organization that may affect FRMS; and

   3) consideration of available tools which could be used to maintain or improve FRMS performance prior to implementing changes; and

c) provide for the continuous improvement of the FRMS. This shall include but is not limited to:

   1) the elimination and/or modification of risk controls that have had unintended consequences or that are no longer needed due to changes in the operational or organizational environment;

   2) routine evaluations of facilities, equipment, documentation and procedures; and

   3) the determination of the need to introduce new processes and procedures to mitigate emerging fatigue-related risks.

4. FRMS PROMOTION PROCESSES

FRMS promotion processes support the ongoing development of the FRMS, the continuous improvement of its overall performance, and attainment of optimum safety levels. The following shall be established and implemented by the operator as part of its FRMS:

a) training programmes to ensure competency commensurate with the roles and responsibilities of management, flight and cabin crew, and all other involved personnel under the planned FRMS; and

b) an effective FRMS communication plan that:

1) explains FRMS policies, procedures and responsibilities to all relevant stakeholders; and

2) describes communication channels used to gather and disseminate FRMS-related information.

———————

APPENDIX 8. FLIGHT RECORDERS

(Chapter 6, 6.3, 6.18, refers)

The material in this Appendix concerns flight recorders intended for installation in aeroplanes engaged in international air navigation. Crash-protected flight recorders comprise one or more of the following:

— a flight data recorder (FDR),
— a cockpit voice recorder (CVR),
— an airborne image recorder (AIR),
— a data link recorder (DLR).

When image or data link information is required to be recorded on a crash-protected flight recorder, it is permissible to record it on either the CVR or the FDR.

Lightweight flight recorders comprise one or more of the following:

— an aircraft data recording system (ADRS),
— a cockpit audio recording system (CARS),
— an airborne image recording system (AIRS),
— a data link recording system (DLRS).

When image or data link information is required to be recorded on a lightweight flight recorder, it is permissible to record it on either the CARS or the ADRS.

1. GENERAL REQUIREMENTS

1.1 Non-deployable flight recorder containers shall be painted a distinctive orange colour.

1.2 Non-deployable crash-protected flight recorder containers shall:

a) carry reflective material to facilitate their location; and

b) have securely attached an automatically activated underwater locating device operating at a frequency of 37.5 kHz. At the earliest practicable date, but not later than 1 January 2018, this device shall operate for a minimum of 90 days.

1.3 Automatic deployable flight recorder containers shall:

a) be painted a distinctive orange colour, however the surface visible from outside the aircraft may be of another colour;

b) carry reflective material to facilitate their location; and

c) have an integrated automatically activated ELT.

1.4 The flight recorder systems shall be installed so that:

a) the probability of damage to the recordings is minimized;

b) there is an aural or visual means for pre-flight checking that the flight recorder systems are operating properly; and

c) if the flight recorder systems have an erasure device, the installation shall be designed to prevent operation of the device during flight time or crash impact; and

d) for aeroplanes for which the individual certificate of airworthiness is first issued on or after 1 January 2023, a flight crew-operated erase function shall be provided on the flight deck which, when activated, modifies the recording of a CVR and AIR so that it cannot be retrieved using normal replay or copying techniques. The installation shall be designed to prevent activation during flight. In addition, the probability of an inadvertent activation of an erase function during an accident shall also be minimized.

Note.— The erase function is intended to prevent access to CVR and AIR recordings by normal replay or copying means, but would not prevent accident investigation authorities access to such recordings by specialized replay or copying techniques.

1.5 The crash-protected flight recorders shall be installed so that they receive electrical power from a bus that provides the maximum reliability for operation of the flight recorders without jeopardizing service to essential or emergency loads.

1.6 The lightweight flight recorders shall be connected to a power source having the characteristics which ensure proper and reliable recording in the operational environment.

1.7 The flight recorder systems, when tested by methods approved by the appropriate certificating authority, shall be demonstrated to be suitable for the environmental extremes over which they are designed to operate.

1.8 Means shall be provided for an accurate time correlation between the flight recorder systems recordings.

1.9 The flight recorder system manufacturer shall provide the appropriate certificating authority with the following information in respect of the flight recorder systems:

a) manufacturer’s operating instructions, equipment limitations and installation procedures;

b) parameter origin or source and equations which relate counts to units of measurement;

c) manufacturer’s test reports; and

d) detailed information to ensure the continued serviceability of the flight recorder system.

1.10 The holder of the airworthiness approval for the installation design of the flight recorder system shall make available the relevant continuing airworthiness information to the operator of the aeroplane to be incorporated in the continuing airworthiness maintenance programme. This continuing airworthiness information shall cover in detail all the tasks required to ensure the continued serviceability of the flight recorder system.

Note 1.— The flight recorder system is composed of the flight recorder as well as any dedicated sensors, hardware and software that provide information required per this Appendix.

Note 2.— Conditions related to the continued serviceability of a flight recorder system are defined in section 7 of this appendix. The Manual on Flight Recorder System Maintenance (FRSM) *(Doc 10104) provides guidance on maintenance tasks associated with flight recorder systems.*

2. FLIGHT DATA RECORDER (FDR) AND AIRCRAFT DATA RECORDING SYSTEMS (ADRS)

2.1 Start and stop logic

The FDR or ADRS shall start to record prior to the aeroplane moving under its own power and record continuously until the termination of the flight when the aeroplane is no longer capable of moving under its own power.

2.2 Parameters to be recorded

Note.— In previous editions of Annex 6, Part I, types of recorders were defined to capture the first evolutions of FDRs.

2.2.1 The parameters that satisfy the requirements for FDRs are listed in Table A8-1. The number of parameters to be recorded shall depend on aeroplane complexity. The parameters without an asterisk (*) are mandatory parameters which shall be recorded regardless of aeroplane complexity. In addition, the parameters designated by an asterisk (*) shall be recorded if an information data source for the parameter is used by aeroplane systems or the flight crew to operate the aeroplane. However, other parameters may be substituted with due regard to the aeroplane type and the characteristics of the recording equipment.

2.2.2 If further FDR recording capacity is available, recording of the following additional information shall be considered:

a) operational information from electronic display systems, such as electronic flight instrument systems (EFIS), electronic centralized aircraft monitor (ECAM) and engine indication and crew alerting system (EICAS). Use the following order of priority:

   1) parameters selected by the flight crew relating to the desired flight path, e.g. barometric pressure setting, selected altitude, selected airspeed, decision height, and autoflight system engagement and mode indications if not recorded from another source;

   2) display system selection/status, e.g. SECTOR, PLAN, ROSE, NAV, WXR, COMPOSITE, COPY, ETC.;

   3) warnings and alerts; and

   4) the identity of displayed pages for emergency procedures and checklists; and

b) retardation information including brake application for use in the investigation of landing overruns and rejected take-offs.

2.2.3 The parameters that satisfy the requirements for flight path and speed as displayed to the pilot(s) are listed below. The parameters without an (*) are mandatory parameters which shall be recorded. In addition, the parameters designated by an (*) shall be recorded if an information source for the parameter is displayed to the pilot and is practicable to record:

— Pressure altitude
— Indicated airspeed or calibrated airspeed
— Heading (primary flight crew reference)
— Pitch attitude
— Roll attitude
— Engine thrust/power
— Landing-gear status*
— Total or outside air temperature*
— Time*
— Navigation data*: drift angle, wind speed, wind direction, latitude/longitude
— Radio altitude*

2.2.4 The parameters that satisfy the requirements for ADRS are the first 7 parameters in Table A8-3.

2.2.5 If further ADRS recording capacity is available, the recording of any parameters from 8 onwards defined in Table A8-3 shall be considered.

2.3 Additional information

2.3.1 The measurement range, recording interval and accuracy of parameters on installed equipment shall be verified by methods approved by the appropriate certificating authority.

2.3.2 Documentation concerning parameter allocation, conversion equations, periodic calibration and other serviceability/maintenance information shall be maintained by the operator. The documentation needs to be sufficient to ensure that accident investigation authorities have the necessary information to read out the data in engineering units.

3. COCKPIT VOICE RECORDER (CVR) AND COCKPIT AUDIO RECORDING SYSTEM (CARS)

3.1 Start and stop logic

The CVR or CARS shall start to record prior to the aeroplane moving under its own power and record continuously until the termination of the flight when the aeroplane is no longer capable of moving under its own power. In addition, depending on the availability of electrical power, the CVR or CARS shall start to record as early as possible during the cockpit checks prior to engine start at the beginning of the flight until the cockpit checks immediately following engine shutdown at the end of the flight.

3.2 Signals to be recorded

3.2.1 The CVR shall record simultaneously on four separate channels, or more, at least the following:

a) voice communication transmitted from or received in the aeroplane by radio;

b) aural environment on the flight deck;

c) voice communication of flight crew members on the flight deck using the aeroplane's interphone system, if installed;

d) voice or audio signals identifying navigation or approach aids introduced in the headset or speaker; and

e) voice communication of flight crew members using the passenger address system, if installed.

3.2.2 The preferred CVR audio allocation should be as follows:

a) pilot-in-command audio panel;

b) co-pilot audio panel;

c) additional flight crew positions and time reference; and

d) cockpit area microphone.

3.2.3 The CARS shall record simultaneously on two separate channels, or more, at least the following:

a) voice communication transmitted from or received in the aeroplane by radio;

b) aural environment on the flight deck; and

c) voice communication of flight crew members on the flight deck using the aeroplane's interphone system, if installed.

3.2.4 The preferred CARS audio allocation should be as follows:

a) voice communication; and

b) aural environment on the flight deck.

4. AUTOMATIC DEPLOYABLE FLIGHT RECORDER (ADFR)

4.1 Operation

The following requirements shall apply to an ADFR:

— deployment shall take place when the aeroplane structure has been significantly deformed;

— deployment shall take place when an aeroplane sinks in water;

— ADFR shall not be capable of manual deployment;

— the ADFR shall be able to float on water;

— the ADFR deployment shall not compromise the safe continuation of the flight;

— the ADFR deployment shall not significantly reduce the chance of survival of the recorder and of successful transmission by its ELT;

— the ADFR deployment shall not release more than one piece;

— an alert shall be made to the flight crew when the ADFR is no longer captive to the aircraft;

— the flight crew shall have no means to disable ADFR deployment when the aircraft is airborne;

— the ADFR shall contain an integrated ELT, which shall activate automatically during the deployment sequence. Such ELT may be of a type that is activated in-flight and provides information from which a position can be determined; and

— the integrated ELT of an ADFR shall satisfy the same requirements as an ELT required to be installed on an aeroplane. The integrated ELT shall at least have the same performance as the fixed ELT to maximize detection of the transmitted signal.

Note 1.— Refer to the Manual on Location of Aircraft in Distress and Flight Recorder Data Recovery *(Doc 10054) for more information on ADFR.*

Note 2.— If an integrated ELT of a type that is activated in flight is used within an ADFR, it could be a means to comply with the requirements of Chapter 6, 6.18.

5. DATA LINK RECORDER (DLR)

5.1 Applications to be recorded

5.1.1 Where the aircraft flight path is authorized or controlled through the use of data link messages, all data link messages, both uplinks (to the aircraft) and downlinks (from the aircraft), shall be recorded on the aircraft. As far as practicable, the time the messages were displayed to the flight crew and the time of the responses shall be recorded.

Note.— Sufficient information to derive the content of the data link communications message and the time the messages were displayed to the flight crew is needed to determine an accurate sequence of events on board the aircraft.

5.1.2 Messages applying to the applications listed in Table A8-2 shall be recorded. Applications without the asterisk (*) are mandatory applications which shall be recorded regardless of the system complexity. Applications with an (*) shall be recorded only as far as is practicable given the architecture of the system.

6. FLIGHT CREW-MACHINE INTERFACE RECORDINGS

6.1 Start and stop logic

The AIR or AIRS shall start to record prior to the aeroplane moving under its own power and record continuously until the termination of the flight when the aeroplane is no longer capable of moving under its own power. In addition, depending on the availability of electrical power, the AIR or AIRS shall start to record as early as possible during the cockpit checks prior to engine start at the beginning of the flight until the cockpit checks immediately following engine shutdown at the end of the flight.

6.2 Classes

6.2.1 A Class A AIR or AIRS captures the general cockpit area in order to provide data supplemental to conventional flight recorders.

Note 1.— To respect crew privacy, the cockpit area view may be designed as far as practical to exclude the head and shoulders of crew members whilst seated in their normal operating position.

Note 2.— There are no provisions for Class A AIR or AIRS in this document.

6.2.2 A Class B AIR or AIRS captures data link message displays.

6.2.3 A Class C AIR or AIRS captures instruments and control panels.

Note.— A Class C AIR or AIRS may be considered as a means for recording flight data where it is not practical or is prohibitively expensive to record on an FDR or an ADRS, or where an FDR is not required.

6.3 Applications to be recorded

6.3.1 The operation of switches and selectors and the information displayed to the flight crew from electronic displays shall be captured by sensors or other electronic means.

6.3.2 The recording of operation of switches and selectors by the flight crew shall include the following:

— any switch or selector that will affect the operation and the navigation of the aircraft; and
— selection of normal and alternate systems.

6.3.3 The recording of the information displayed to the flight crew from electronic displays shall include the following:

— primary flight and navigation displays;
— aircraft system monitoring displays;
— engine indication displays;
— traffic, terrain, and weather displays;
— crew alerting systems displays;
— stand-by instruments; and
— installed EFB to the extent it is practical.

6.3.4 If image sensors are used, the recording of such images shall not capture the head and shoulders of the flight crew members while seated in their normal operating position.

7. INSPECTIONS OF FLIGHT RECORDER SYSTEMS

7.1 Prior to the first flight of the day, the built-in test features for the flight recorders and flight data acquisition unit (FDAU), when installed, shall be monitored by manual and/or automatic checks.

7.2 FDR systems or ADRS, CVR systems or CARS, and AIR systems or AIRS shall have recording inspection intervals of one year; subject to the approval from the appropriate regulatory authority, this period may be extended to two years provided these systems have demonstrated a high integrity of serviceability and self-monitoring. DLR systems or DLRS shall have recording inspection intervals of two years; subject to the approval from the appropriate regulatory authority, this period may be extended to four years provided these systems have demonstrated high integrity of serviceability and self-monitoring.

7.3 Recording inspections shall be carried out as follows:

a) an analysis of the recorded data from the flight recorders shall ensure that the recorder operates correctly for the nominal duration of the recording;

b) the FDR or ADRS recording from a complete flight shall be examined in engineering units to evaluate the validity of all recorded parameters. Particular attention shall be given to parameters from sensors dedicated to the FDR or ADRS. Parameters taken from the aircraft's electrical bus system need not be checked if their serviceability can be detected by other aircraft systems;

c) the readout facility shall have the necessary software to accurately convert the recorded values to engineering units and to determine the status of discrete signals;

d) an examination of the recorded signal on the CVR or CARS shall be carried out by replay of the CVR or CARS recording. While installed in the aircraft, the CVR or CARS shall record test signals from each aircraft source and from relevant external sources to ensure that all required signals meet intelligibility standards;

e) where practicable, during the examination, a sample of in-flight recordings of the CVR or CARS shall be examined for evidence that the intelligibility of the signal is acceptable;

f) an examination of the recorded images on the AIR or AIRS shall be carried out by replay of the AIR or AIRS recording. While installed in the aircraft, the AIR or AIRS shall record test images from each aircraft source and from relevant external sources to ensure that all required images meet recording quality standards; and

g) an examination of the recorded messages on the DLR or DLRS shall be carried out by replay of the DLR or DLRS recording.

7.4 A flight recorder system shall be considered unserviceable if there is a significant period of poor quality data, unintelligible signals, or if one or more of the mandatory parameters is not recorded correctly.

7.5 A report of the recording inspection shall be made available on request to regulatory authorities for monitoring purposes.

7.6 Calibration of the FDR system:

a) for those parameters which have sensors dedicated only to the FDR and are not checked by other means, recalibration shall be carried out at an interval determined by the continuing airworthiness information for the FDR system. In the absence of such information, a recalibration shall be carried out at least every five years. The recalibration shall determine any discrepancies in the engineering conversion routines for the mandatory parameters and ensure that parameters are being recorded within the calibration tolerances; and

b) when the parameters of altitude and airspeed are provided by sensors that are dedicated to the FDR system, there shall be a recalibration performed at an interval determined by the continuing airworthiness information for the FDR system. In the absence of such information, a recalibration shall be carried out at least every two years.

Table A8-1. Parameter characteristics for flight data recorders

Serial number	*Parameter*	*Applicability*	*Measurement range*	*Maximum sampling and recording interval (seconds)*	*Accuracy limits (sensor input compared to FDR readout)*	*Recording resolution*
1	Time (UTC when available, otherwise relative time count or -GNSS time sync)		24 hours	4	±0.125%/h	1 s
2	Pressure-altitude		–300 m (–1 000 ft) to maximum certificated altitude of aircraft +1 500 m (+5 000 ft)	1	±30 m to ±200 m (±100 ft to ±700 ft)	1.5 m (5 ft)
3	Indicated airspeed or calibrated airspeed		95 km/h (50 kt) to max V_{So} *(Note 1)* V_{So} to 1.2 V_D *(Note 2)*	1	±5% ±3%	1 kt (0.5 kt recommended)
4	Heading (primary flight crew reference)		360°	1	±2°	0.5°
5	Normal acceleration (*Note 8*)	Application for type certification is submitted to a Contracting State before 1 January 2016	–3 g to +6 g	0.125	±1% of maximum range excluding datum error of ±5%	0.004 g
		Application for type certification is submitted to a Contracting State on or after 1 January 2016	–3 g to +6 g	0.0625	±1% of maximum range excluding datum error of ±5%	0.004 g
6	Pitch attitude		±75° or usable range whichever is greater	0.25	±2°	0.5°
7	Roll attitude		±180°	0.25	±2°	0.5°
8	Radio transmission keying		On-off (one discrete)	1		

Serial number	*Parameter*	*Applicability*	*Measurement range*	*Maximum sampling and recording interval (seconds)*	*Accuracy limits (sensor input compared to FDR readout)*	*Recording resolution*
9	Power on each engine *(Note 3)*		Full range	1 (per engine)	±2%	0.2% of full range or the resolution required to operate the aircraft
10*	Trailing edge flap and cockpit control selection		Full range or each discrete position	2	±5% or as pilot's indicator	0.5% of full range or the resolution required to operate the aircraft
11*	Leading edge flap and cockpit control selection		Full range or each discrete position	2	±5% or as pilot's indicator	0.5% of full range or the resolution required to operate the aircraft
12*	Thrust reverser position		Stowed, in transit, and reverse	1 (per engine)		
13*	Ground spoiler/speed brake selection (selection and position)		Full range or each discrete position	1	±2% unless higher accuracy uniquely required	0.2% of full range
14	Outside air temperature		Sensor range	2	±2°C	0.3°C
15*	Autopilot/auto throttle/AFCS mode and engagement status		A suitable combination of discretes	1		
16	Longitudinal acceleration (*Note 8*)	Application for type certification submitted to a Contracting State before 1 January 2016	±1 g	0.25	±0.015 g excluding a datum error of ±0.05 g	0.004 g
		Application for type certification submitted to a Contracting State on or after 1 January 2016	±1 g	0.0625	±0.015 g excluding a datum error of ±0.05 g	0.004 g
17	Lateral acceleration (*Note 8*)	Application for type certification submitted to a Contracting State before 1 January 2016	±1 g	0.25	±0.015 g excluding a datum error of ±0.05 g	0.004 g
		Application for type certification submitted to a Contracting State on or after 1 January 2016	±1 g	0.0625	±0.015 g excluding a datum error of ±0.05 g	0.004 g

Serial number	*Parameter*	*Applicability*	*Measurement range*	*Maximum sampling and recording interval (seconds)*	*Accuracy limits (sensor input compared to FDR readout)*	*Recording resolution*
18	Pilot input and/or control surface position-primary controls (pitch, roll, yaw) *(Notes 4 and 8)*	Application for type certification submitted to a Contracting State before 1 January 2016	Full range	0.25	±2° unless higher accuracy uniquely required	0.2% of full range or as installed
		Application for type certification submitted to a Contracting State on or after 1 January 2016	Full range	0.125	±2° unless higher accuracy uniquely required	0.2% of full range or as installed
19	Pitch trim position		Full range	1	±3% unless higher accuracy uniquely required	0.3% of full range or as installed
20*	Radio altitude		–6 m to 750 m (–20 ft to 2 500 ft)	1	±0.6 m (±2 ft) or ±3% whichever is greater below 150 m (500 ft) and ±5% above 150 m (500 ft)	0.3 m (1 ft) below 150 m (500 ft) 0.3 m (1 ft) + 0.5% of full range above 150 m (500 ft)
21*	Vertical beam deviation (ILS/GNSS/GLS glide path, MLS elevation, IRNAV/IAN vertical deviation)		Signal range	1	±3%	0.3% of full range
22*	Horizontal beam deviation (ILS/GNSS/GLS localizer, MLS azimuth, IRNAV/IAN lateral deviation)		Signal range	1	±3%	0.3% of full range
23	Marker beacon passage		Discrete	1		
24	Master warning		Discrete	1		
25	Each NAV receiver frequency selection *(Note 5)*		Full range	4	As installed	

Serial number	*Parameter*	*Applicability*	*Measurement range*	*Maximum sampling and recording interval (seconds)*	*Accuracy limits (sensor input compared to FDR readout)*	*Recording resolution*
26*	DME 1 and 2 distance (includes Distance to runway threshold (GLS) and Distance to missed approach point (IRNAV/IAN)) *(Notes 5 and 6)*		0 – 370 km (0 – 200 NM)	4	As installed	1 852 m (1 NM)
27	Air/ground status		Discrete	1		
28*	GPWS/TAWS/GCAS status (selection of terrain display mode including pop-up display status) and (terrain alerts, both cautions and warnings, and advisories) and (on/off switch position)		Discrete	1		
29*	Angle of attack		Full range	0.5	As installed	0.3 % of full range
30*	Hydraulics, each system (low pressure)		Discrete	2		0.5% of full range
31*	Navigation data (latitude/longitude, ground speed and drift angle) *(Note 7)*		As installed	1	As installed	
32*	Landing gear and gear selector position		Discrete	4	As installed	
33*	Groundspeed		As installed	1	Data should be obtained from the most accurate system	1 kt
34	Brakes (left and right brake pressure, left and right brake pedal position)		(Maximum metered brake range, discretes or full range)	1	±5%	2% of full range

Serial number	*Parameter*	*Applicability*	*Measurement range*	*Maximum sampling and recording interval (seconds)*	*Accuracy limits (sensor input compared to FDR readout)*	*Recording resolution*
35*	Additional engine parameters (EPR, N_1, indicated vibration level, N_2, EGT, fuel flow, fuel cut-off lever position, N_3, engine fuel metering valve position)	Engine fuel metering valve position: Application for type certification is submitted to a Contracting State on or after 1 January 2023	As installed	Each engine each second	As installed	2% of full range
36*	TCAS/ACAS (traffic alert and collision avoidance system)		Discretes	1	As installed	
37*	Wind shear warning		Discrete	1	As installed	
38*	Selected barometric setting (pilot, co-pilot)		As installed	64	As installed	0.1 mb (0.01 in-Hg)
39*	Selected altitude (all pilot selectable modes of operation)		As installed	1	As installed	Sufficient to determine crew selection
40*	Selected speed (all pilot selectable modes of operation)		As installed	1	As installed	Sufficient to determine crew selection
41*	Selected Mach (all pilot selectable modes of operation)		As installed	1	As installed	Sufficient to determine crew selection
42*	Selected vertical speed (all pilot selectable modes of operation)		As installed	1	As installed	Sufficient to determine crew selection
43*	Selected heading (all pilot selectable modes of operation)		As installed	1	As installed	Sufficient to determine crew selection
44*	Selected flight path (all pilot selectable modes of operation) (course/DSTRK, path angle, final approach path (IRNAV/IAN))			1	As installed	
45*	Selected decision height		As installed	64	As installed	Sufficient to determine crew selection
46*	EFIS display format (pilot, co-pilot)		Discrete(s)	4	As installed	
47*	Multi-function/engine/alert s display format		Discrete(s)	4	As installed	
48*	AC electrical bus status		Discrete(s)	4	As installed	

Serial number	*Parameter*	*Applicability*	*Measurement range*	*Maximum sampling and recording interval (seconds)*	*Accuracy limits (sensor input compared to FDR readout)*	*Recording resolution*
49*	DC electrical bus status		Discrete(s)	4	As installed	
50*	Engine bleed valve position		Discrete(s)	4	As installed	
51*	APU bleed valve position		Discrete(s)	4	As installed	
52*	Computer failure		Discrete(s)	4	As installed	
53*	Engine thrust command		As installed	2	As installed	
54*	Engine thrust target		As installed	4	As installed	2% of full range
55*	Computed centre of gravity		As installed	64	As installed	1% of full range
56*	Fuel quantity in CG trim tank		As installed	64	As installed	1% of full range
57*	Head up display in use		As installed	4	As installed	
58*	Para visual display on/off		As installed	1	As installed	
59*	Operational stall protection, stick shaker and pusher activation		As installed	1	As installed	
60*	Primary navigation system reference (GNSS, INS, VOR/DME, MLS, Loran C, localizer glideslope)		As installed	4	As installed	
61*	Ice detection		As installed	4	As installed	
62*	Engine warning each engine vibration		As installed	1	As installed	
63*	Engine warning each engine over temperature		As installed	1	As installed	
64*	Engine warning each engine oil pressure low		As installed	1	As installed	
65*	Engine warning each engine over speed		As installed	1	As installed	
66*	Yaw trim surface position		Full range	2	±3% unless higher accuracy uniquely required	0.3% of full range

Serial number	*Parameter*	*Applicability*	*Measurement range*	*Maximum sampling and recording interval (seconds)*	*Accuracy limits (sensor input compared to FDR readout)*	*Recording resolution*
67*	Roll trim surface position		Full range	2	±3% unless higher accuracy uniquely required	0.3% of full range
68*	Yaw or sideslip angle		Full range	1	±5%	0.5°
69*	De-icing and/or anti-icing systems selection		Discrete(s)	4		
70*	Hydraulic pressure (each system)		Full range	2	±5%	100 psi
71*	Loss of cabin pressure		Discrete	1		
72*	Cockpit trim control input position, Pitch		Full range	1	±5%	0.2% of full range or as installed
73*	Cockpit trim control input position, Roll		Full range	1	±5%	0.2% of full range or as installed
74*	Cockpit trim control input position, Yaw		Full range	1	±5%	0.2% of full range or as installed
75*	All cockpit flight control input forces (control wheel, control column, rudder pedal)		Full range (±311 N (±70 lbf), ± 378 N (±85 lbf), ± 734 N (±165 lbf))	1	±5%	0.2% of full range or as installed
76*	Event marker		Discrete	1		
77*	Date		365 days	64		
78*	ANP or EPE or EPU		As installed	4	As installed	
79*	Cabin pressure altitude	Application for type certification submitted to a Contracting State on or after 1 January 2023	As installed (0 ft to 40 000 ft recommended)	1	As installed	100 ft
80*	Aeroplane computed weight	Application for type certification submitted to a Contracting State on or after 1 January 2023	As installed	64	As installed	1% of ful l range
81*	Flight director command	Application for type certification submitted to a Contracting State on or after 1 January 2023	Full range	1	± 2°	0.5°
82*	Vertical speed	Application for type certification submitted to a Contracting State on or after 1 January 2023	As installed	0.25	As installed (32 ft/min recommended)	16 ft/min

Notes.—

1. V_{So} stalling speed or minimum steady flight speed in the landing configuration is in Section “Abbreviations and Symbols”.

2. V_D design diving speed.

3. Record sufficient inputs to determine power.

4. For aeroplanes with control systems in which movement of a control surface will back drive the pilot’s control, ‘‘or’’ applies. For aeroplanes with control systems in which movement of a control surface will not back drive the pilot’s control, ‘‘and’’ applies. In aeroplanes with split surfaces, a suitable combination of inputs is acceptable in lieu of recording each surface separately. In aeroplanes with independent pilot input on primary controls, each pilot input on primary controls needs to be recorded separately.

5. If signal available in digital form.

6. Recording of latitude and longitude from INS or other navigation system is a preferred alternative.

7. If signals readily available.

8. It is not intended that aeroplanes issued with an individual certificate of airworthiness before 1 January 2016 be modified to meet the measurement range, maximum sampling and recording interval, accuracy limits or recording resolution description detailed in this Appendix.

Table A8-2. Description of Applications for Data Link Recorders

Item No.	*Application type*	*Application description*	*Recording content*
1	Data link initiation	This includes any applications used to log on to or initiate data link service. In FANS-1/A and ATN, these are ATS facilities notification (AFN) and context management (CM) respectively.	C
2	Controller/pilot communication	This includes any application used to exchange requests, clearances, instructions and reports between the flight crew and controllers on the ground. In FANS-1/A and ATN, this includes the CPDLC application. It also includes applications used for the exchange of oceanic (OCL) and departure clearances (DCL) as well as data link delivery of taxi clearances.	C
3	Addressed surveillance	This includes any surveillance application in which the ground sets up contracts for delivery of surveillance data. In FANS-1/A and ATN, this includes the automatic dependent surveillance — contract (ADS-C) application. Where parametric data are reported within the message they shall be recorded unless data from the same source are recorded on the FDR.	C
4	Flight information	This includes any service used for delivery of flight information to specific aircraft. This includes, for example, data link aviation weather report service (D-METAR), data link-automatic terminal service (D-ATIS), digital Notice to Airmen (D-NOTAM) and other textual data link services.	C
5	Aircraft broadcast surveillance	This includes elementary and enhanced surveillance systems, as well as automatic dependent surveillance — broadcast (ADS-B) output data. Where parametric data sent by the aeroplane are reported within the message they shall be recorded unless data from the same source are recorded on the FDR.	M*
6	Aeronautical operational control data	This includes any application transmitting or receiving data used for aeronautical operational control purposes (per the ICAO definition of operational control).	M*

Key:

C: Complete contents recorded.

M: Information that enables correlation to any associated records stored separately from the aeroplane.

*: Applications to be recorded only as far as is practicable given the architecture of the system.

Table A8-3. Parameter Characteristics for Aircraft Data Recording Systems

No.	*Parameter name*	*Minimum recording range*	*Maximum recording interval in seconds*	*Minimum recording accuracy*	*Minimum recording resolution*	*Remarks*
1	Heading					
	a) Heading (Magnetic or True)	±180º	1	±2°	0.5°	Heading is preferred, if not available, yaw rate shall be recorded
	b) Yaw rate	±300º/s	0.25	±1% + drift of 360°/h	2°/s	
2	Pitch					
	a) Pitch attitude	±90º	0.25	±2º	0.5°	Pitch attitude is preferred, if not available, pitch rate shall be recorded
	b) Pitch rate	±300º/s	0.25	±1% + drift of 360°/h	2°/s	
3	Roll					
	a) Roll attitude	±180º	0.25	±2º	0.5°	Roll attitude is preferred, if not available, roll rate shall be recorded
	b) Roll rate	±300º/s	0.25	±1% + drift of 360°/h	2°/s	
4	Positioning system:					
	a) Time	24 hours	1	±0.5 s	0.1 s	UTC time preferred where available.
	b) Latitude/longitude	Latitude:±90° Longitude:±180°	2 (1 if available)	As installed (0.00015° recommended)	0.00005°	
	c) Altitude	–300 m (–1 000 ft) to maximum certificated altitude of aeroplane +1 500 m (5 000 ft)	2 (1 if available)	As installed (±15 m (±50 ft) recommended)	1.5 m (5 ft)	
	d) Ground speed	0–1 000 kt	2 (1 if available)	As installed (±5 kt recommended)	1 kt	
	e) Track	0–360º	2 (1 if available)	As installed (± 2º recommended)	0.5°	
	f) Estimated error	Available range	2 (1 if available)	As installed	As installed	Shall be recorded if readily available
5	Normal acceleration	–3 g to + 6 g (*)	0.25 (0.125 if available)	As installed (± 0.09 g excluding a datum error of ±0.45 g recommended)	0.004 g	
6	Longitudinal acceleration	±1 g (*)	0.25 (0.125 if available)	As installed (±0.015 g excluding a datum error of ±0.05 g recommended)	0.004 g	

No.	*Parameter name*	*Minimum recording range*	*Maximum recording interval in seconds*	*Minimum recording accuracy*	*Minimum recording resolution*	*Remarks*
7	Lateral acceleration	±1 g (*)	0.25 (0.125 if available)	As installed (±0.015 g excluding a datum error of ±0.05 g recommended)	0.004 g	
8	External static pressure (or pressure altitude)	34.4 mb (3.44 in-Hg) to 310.2 mb (31.02 in-Hg) or available sensor range	1	As installed (±1 mb (0.1 in-Hg) or ±30 m (±100 ft) to ±210 m (±700 ft) recommended)	0.1 mb (0.01 in-Hg) or 1.5 m (5 ft)	
9	Outside air temperature (or total air temperature)	−50° to +90°C or available sensor range	2	As installed (±2°C recommended)	1°C	
10	Indicated air speed	As the installed pilot display measuring system or available sensor range	1	As installed (±3 % recommended)	1 kt (0.5 kt recommended)	
11	Engine RPM	Full range including overspeed condition	Each engine each second	As installed	0.2% of full range	
12	Engine oil pressure	Full range	Each engine each second	As installed (5% of full range recommended)	2% of full range	
13	Engine oil temperature	Full range	Each engine each second	As installed (5% of full range recommended)	2% of full range	
14	Fuel flow or pressure	Full range	Each engine each second	As installed	2% of full range	
15	Manifold pressure	Full range	Each engine each second	As installed	0.2% of full range	
16	Engine thrust/power/torque parameters required to determine propulsive thrust/power*	Full range	Each engine each second	As installed	0.1% of full range	* Sufficient parameters e.g. EPR/N1 or torque/Np as appropriate to the particular engine shall be recorded to determine power in both normal and reverse thrust. A margin for possible overspeed should be provided.
17	Engine gas generator speed (Ng)	0-150%	Each engine each second	As installed	0.2% of full range	
18	Free power turbine speed (Nf)	0-150%	Each engine each second	As installed	0.2% of full range	
19	Coolant temperature	Full range	1	As installed (±5°C recommended)	1° C	
20	Main voltage	Full range	Each engine each second	As installed	1 Volt	
21	Cylinder head temperature	Full range	Each cylinder each second	As installed	2% of full range	
22	Flaps position	Full range or each discrete position	2	As installed	0.5°	

No.	*Parameter name*	*Minimum recording range*	*Maximum recording interval in seconds*	*Minimum recording accuracy*	*Minimum recording resolution*	*Remarks*
23	Primary flight control surface position	Full range	0.25	As installed	0.2 % of full range	
24	Fuel quantity	Full range	4	As installed	1% of full range	
25	Exhaust gas temperature	Full range	Each engine each second	As installed	2% of full range	
26	Emergency voltage	Full range	Each engine each second	As installed	1 Volt	
27	Trim surface position	Full range or each discrete position	1	As installed	0.3% of full range	
28	Landing gear position	Each discrete position*	Each gear every two seconds	As installed		* Where available, record up-and- locked and down-and-locked position
29	Novel/unique aircraft features	As required	As required	As required	As required	

APPENDIX 9. LOCATION OF AN AEROPLANE IN DISTRESS

(Chapter 6, 6.18, refers)

1. PURPOSE AND SCOPE

Location of an aeroplane in distress aims at establishing, to a reasonable extent, the location of an accident site within a 6 NM radius.

2. OPERATION

2.1 An aeroplane in distress shall automatically activate the transmission of information from which its position can be determined by the operator and the position information shall contain a time stamp. It shall also be possible for this transmission to be activated manually. The system used for the autonomous transmission of position information shall be capable of transmitting that information in the event of aircraft electrical power loss, at least for the expected duration of the entire flight.

Note.— Guidance on the location of an aeroplane in distress is provided in Attachment H.

2.2 An aircraft is in a distress condition when it is in a state that, if the aircraft behaviour event is left uncorrected, can result in an accident. Autonomous transmission of position information shall be active when an aircraft is in a distress condition. This will provide a high probability of locating an accident site to within a 6 NM radius. The operator shall be alerted when an aircraft is in a distress condition with an acceptable low rate of false alerts. In case of a triggered transmission system, initial transmission of position information shall commence immediately or no later than five seconds after the detection of the activation event.

Note 1.— Aircraft behaviour events can include, but are not limited to, unusual attitudes, unusual speed conditions, collision with terrain and total loss of thrust/propulsion on all engines and ground proximity warnings.

Note 2.— A distress alert can be triggered using criteria that may vary as a result of aircraft position and phase of flight. Further guidance regarding in-flight event detection and triggering criteria may be found in the EUROCAE ED-237, Minimum Aviation System Performance Specification (MASPS) for Criteria to Detect In-Flight Aircraft Distress Events to Trigger Transmission of Flight Information.

2.3 When an aircraft operator or an air traffic service unit (ATSU) has reason to believe that an aircraft is in distress, coordination shall be established between the ATSU and the aircraft operator.

2.4 The State of the Operator shall identify the organizations that will require the position information of an aircraft in an emergency phase. These shall include, as a minimum:

a) air traffic service unit(s) (ATSU); and

b) SAR rescue coordination centre(s) (RCC) and sub-centres.

Note 1.— Refer to Annex 11 for emergency phase criteria.

Note 2.— Refer to Annex 12 for required notifications in the event of an emergency phase.

2.5 When autonomous transmission of position information has been activated, it shall only be able to be deactivated using the same mechanism that activated it.

2.6 The accuracy of position information shall, as a minimum, meet the position accuracy requirements established for ELTs.

APPENDIX 10. ARTICLE 83 *bis* AGREEMENT SUMMARY

(Chapter 6, 6.1.5.4, refers)

Note.— Chapter 6, 6.1.5.1, requires a certified true copy of the agreement summary to be carried on board.

1. Purpose and scope

Recommendation.— *The Article 83* bis *agreement summary should contain the information in the template at paragraph 2, in a standardized format.*

2. Article 83 *bis* agreement summary

ARTICLE 83 *bis* AGREEMENT SUMMARY		
Title of the Agreement:		
State of Registry:		Focal point:
State of the Operator:		Focal point:
Date of signature:	By State of Registry[1]:	
	By State of the Operator[1]:	
Duration:	Start Date[1]:	End Date (if applicable)[2]:
Languages of the Agreement:		
ICAO Registration No.:		
Umbrella Agreement (if any) with ICAO Registration number:		

Convention on International Civil Aviation	**ICAO Annexes affected by the transfer of responsibility in respect of certain functions and duties to the State of the Operator**		
Article 12: Rules of the air	Annex 2, all chapters	Yes ☐ No ☐	
Article 30 a): Aircraft radio equipment	Radio Station Licence	Yes ☐ No ☐	
Articles 30 b) and 32 a): Licenses of personnel	Annex 1, Chapters 1, 2, 3 and 6; and Annex 6, Part I, Radio Operator; or Annex 6, Part II (qualifications and/or flight crew member licensing); or Annex 6, Part III, Section II (composition of the flight crew) (radio operator); or Annex 6, Part III, Section III (qualifications)	Yes ☐ No ☐	Annex 6: [Specify Part and paragraph][3]
Article 31: Certificates of airworthiness	Annex 6 Part I or Part III, Section II	Yes ☐ No ☐	[Specify Part and chapters][3]
	Annex 6 Part II or Part III, Section III	Yes ☐ No ☐	[Specify Part and chapters][3]
	Annex 8 Part II, Chapters 3 and 4	Yes ☐ No ☐	[Specify chapters][3]

Aircraft affected by the transfer of responsibilities to the State of the Operator					
Aircraft make, model, series	Nationality and registration marks	Serial No.	AOC No. (Commercial air transport)	Dates of transfer of responsibilities	
				From[1]	To (if applicable)[2]

Notes.—

1. *dd/mm/yyyy.*
2. *dd/mm/yyyy or N/A if not applicable.*
3. *Square brackets indicate information that needs to be provided.*

ATTACHMENT A. MEDICAL SUPPLIES

Supplementary to Chapter 6, 6.2.2 a)

TYPES, NUMBER, LOCATION AND CONTENTS OF MEDICAL SUPPLIES

1. TYPES

1.1 The different types of medical supplies should be provided as follows: first-aid kit(s) for carriage on all aeroplanes, universal precaution kit(s) for carriage on all aeroplanes that require a cabin crew member, and a medical kit for carriage where the aeroplane is authorized to carry more than 100 passengers on a sector length of more than two hours. Where national regulations allow it, operators may elect to carry the recommended medication in the first-aid kit.

1.2 Based on the limited available evidence, only a very small number of passengers are likely to benefit from the carriage of automated external defibrillators (AED) on aeroplanes. However, many operators carry them because they offer the only effective treatment for cardiac fibrillation. The likelihood of use, and therefore of potential benefit to a passenger, is greatest in aircraft carrying a large number of passengers, over long duration sector lengths. The carriage of AEDs should be determined by operators on the basis of a risk assessment taking into account the particular needs of the operation.

2. NUMBER OF FIRST-AID AND UNIVERSAL PRECAUTION KITS

2.1 First-aid kits

The number of first-aid kits should be appropriate to the number of passengers which the aeroplane is authorized to carry:

Passenger	*First-aid kits*
0 –100	1
101 – 200	2
201 – 300	3
301 – 400	4
401 – 500	5
More than 500	6

2.2 Universal precaution kits

For routine operations, one or two universal precaution kits should be carried on aircraft that are required to operate with at least one cabin crew member. Additional kit(s) should be made available at times of increased public health risk, such as during an outbreak of a serious communicable disease having pandemic potential. Such kits may be used to clean up any potentially infectious body contents such as blood, urine, vomit and faeces and to protect the cabin crew members who are assisting potentially infectious cases of suspected communicable disease.

3. LOCATION

3.1 First-aid and universal precaution kits should be distributed as evenly as practicable throughout the passenger cabins. They should be readily accessible to cabin crew members.

3.2 The medical kit, when carried, should be stored in an appropriate secure location.

4. CONTENTS

4.1 The following provides guidance on typical contents of first-aid, universal precaution and medical kits.

4.1.1 *First-aid kit:*

— List of contents
— Antiseptic swabs (10/pack)
— Bandage: adhesive strips
— Bandage: gauze 7.5 cm × 4.5 m
— Bandage: triangular; safety pins
— Dressing: burn 10 cm × 10 cm
— Dressing: compress, sterile 7.5 cm × 12 cm
— Dressing: gauze, sterile 10.4 cm × 10.4 cm
— Tape: adhesive 2.5 cm (roll)
— Steri-strips (or equivalent adhesive strip)
— Hand cleanser or cleansing towelettes
— Pad with shield, or tape, for eye
— Scissors: 10 cm (if allowed by national regulations)
— Tape: Adhesive, surgical 1.2 cm × 4.6 m
— Tweezers: splinter
— Disposable gloves (multiple pairs)
— Thermometers (non-mercury)
— Mouth-to-mouth resuscitation mask with one-way valve
— First-aid manual, current edition
— Incident record form

The following suggested medications can be included in the first-aid kits where permitted by national regulations:

— Mild to moderate analgesic
— Antiemetic
— Nasal decongestant
— Antacid
— Antihistamine

4.1.2 *Universal precaution kit:*

— Dry powder that can convert small liquid spill into a sterile granulated gel
— Germicidal disinfectant for surface cleaning
— Skin wipes
— Face/eye mask (separate or combined)
— Gloves (disposable)

— Protective apron
— Large absorbent towel
— Pick-up scoop with scraper
— Bio-hazard disposal waste bag
— Instructions

4.1.3 *Medical kit:*

Equipment

— List of contents
— Stethoscope
— Sphygmomanometer (electronic preferred)
— Airways, oropharyngeal (three sizes)
— Syringes (appropriate range of sizes)
— Needles (appropriate range of sizes)
— Intravenous catheters (appropriate range of sizes)
— Antiseptic wipes
— Gloves (disposable)
— Needle disposal box
— Urinary catheter
— System for delivering intravenous fluids
— Venous tourniquet
— Sponge gauze
— Tape – adhesive
— Surgical mask
— Emergency tracheal catheter (or large gauge intravenous cannula)
— Umbilical cord clamp
— Thermometers (non-mercury)
— Basic life support cards
— Bag-valve mask
— Flashlight and batteries

Medication

— Epinephrine 1:1 000
— Antihistamine – injectable
— Dextrose 50% (or equivalent) – injectable: 50 ml
— Nitroglycerin tablets, or spray
— Major analgesic
— Sedative anticonvulsant – injectable
— Antiemetic – injectable
— Bronchial dilator – inhaler
— Atropine – injectable
— Adrenocortical steroid – injectable
— Diuretic – injectable
— Medication for postpartum bleeding
— Sodium chloride 0.9% (minimum 250 ml)
— Acetyl salicylic acid (aspirin) for oral use
— Oral beta blocker

If a cardiac monitor is available (with or without an AED) add to the above list:

— Epinephrine 1:10 000 (can be a dilution of epinephrine 1:1 000)

Note.— The United Nations Conference for Adoption of a Single Convention on Narcotic Drugs in March 1961 adopted such a Convention, Article 32 of which contains special provisions concerning the carriage of drugs in medical kits of aircraft engaged in international flight.

ATTACHMENT B. AIR OPERATOR CERTIFICATION AND VALIDATION

Supplementary to Chapter 4, 4.2.1

1. PURPOSE AND SCOPE

1.1 Introduction

The purpose of this Attachment is to provide guidance concerning actions required by States in connection with the operator certification requirements in Chapter 4, 4.2.1, particularly the means of accomplishing and recording those actions.

1.2 Prior certification required

In accordance with Standard 4.2.1.3, the issuance of an air operator certificate (AOC) is "dependent upon the operator demonstrating" to the State that its organization, training policy and programmes, flight operations, ground handling and maintenance arrangements are adequate considering the nature and extent of the operations to be conducted. The certification process involves the State's evaluation of each operator and a determination that the operator is capable of conducting safe operations before initial issuance of an AOC or the addition of any subsequent authorizations to an AOC.

1.3 Standard certification practices

The State of the Operator is required by Standard 4.2.1.8 to establish a certification system to ensure compliance with the required standards for the type of operation to be conducted. Several States have developed policies and procedures to comply with this certification requirement as industry capabilities evolve. While those States did not develop their certification practices in coordination with each other, their practices are remarkably similar and consistent in their requirements. The effectiveness of their practices has been validated over many years, resulting in improved safety records of operators throughout the world. Many of these certification practices have been incorporated by reference in ICAO provisions.

2. REQUIRED TECHNICAL SAFETY EVALUATIONS

2.1 Specific approval, approval and acceptance actions

2.1.1 The certification and continued surveillance of an air operator includes actions taken by a State on matters submitted for its review. The actions can be categorized as specific approvals, approvals or acceptances depending on the nature of the response by the State to the matter submitted for its review.

2.1.2 A specific approval is an approval which is documented in the Operations Specifications for Commercial Air Transport.

2.1.3 An approval is an active response by the State to a matter submitted for its review. An approval constitutes a finding or determination of compliance with the applicable standards. An approval will be evidenced by the signature of the approving official, the issuance of a document or certificate, or some other formal action taken by the State.

2.1.4 An acceptance does not necessarily require an active response by the State to a matter submitted for its review. A State may accept a matter submitted to it for review as being in compliance with the applicable standards if the State does not specifically reject all or a portion of the matter under review, usually after some defined period of time after submission.

2.1.5 The phrase "approved by the State" or similar phrases using the word "approval" are frequently used in Annex 6, Part I. Provisions indicating a review and implying approval or at least "acceptance" by the State occur even more frequently in Annex 6, Part I. In addition to these specific phrases, Annex 6, Part I, contains numerous references to requirements which would, as a minimum, create the need for at least a technical review by the State. This Attachment groups and outlines those specific Standards and Recommended Practices for ease of use by States.

2.1.6 The State should make or arrange for a technical safety evaluation before issuing the specific approval, approval or acceptance. The evaluation should:

a) be accomplished by a person with specific qualifications to make such a technical evaluation;

b) be in accordance with written, standardized methodology; and

c) where necessary to safety, include a practical demonstration of the air operator's actual ability to conduct such an operation.

2.2 Demonstrations before issuance of specific approvals and approvals

2.2.1 Standard 4.2.1.3 obligates the State of the Operator, prior to certification of the operator, to require sufficient demonstrations by the operator to enable the State to evaluate the adequacy of the operator's organization, method of control and supervision of flight operations, ground handling and maintenance arrangements. These demonstrations should be in addition to the review or inspections of manuals, records, facilities and equipment. Some of the specific approvals and approvals required by Annex 6, Part I, such as specific approval for low visibility operations, have significant safety implications and should be validated by demonstration before the State authorizes such operations.

2.2.2 While the specific methodology and extent of the required demonstrations and evaluations vary between States, the certification processes of States whose operators have good safety records are generally consistent. In these States, technically qualified inspectors evaluate a representative sample of the actual training, maintenance and operations prior to the issuance of an AOC or additional authorizations to the AOC.

2.3 Recording of certification actions

2.3.1 It is important that the certification, specific approval, approval and acceptance actions of the State are adequately documented. The State should issue a written instrument, such as a letter or formal document, as an official record of the action. These written instruments should be retained as long as the operator continues to exercise the authorizations for which the specific approval, approval or acceptance action was issued. These instruments are unambiguous evidence of the authorizations held by the operator and provide proof in the event that the State and the operator disagree on the operations that the operator is authorized to conduct.

2.3.2 Some States collect certification records such as inspections, demonstrations, specific approvals, approvals and acceptance instruments into a single file which is retained as long as the operator is active. Other States retain these records in files according to the certification action performed, and revise the file as the specific approvals, approvals or acceptance instruments are updated. Regardless of the method used, these certification records are persuasive evidence that a State is complying with its ICAO obligations regarding operator certification.

2.4 Coordination of operations and airworthiness evaluations

Some of the references to specific approval, approval or acceptance in Annex 6, Part I, will require an operations evaluation and an airworthiness evaluation. Specific approvals for operations in low visibility, for example, require coordinated prior evaluation by operations and airworthiness specialists. Flight operations specialists should evaluate the operational procedures, training and qualifications. Airworthiness specialists should evaluate the aircraft, equipment reliability and maintenance procedures. These evaluations may be accomplished separately, but should be coordinated to ensure that all aspects necessary for safety have been addressed before any specific approval, approval or acceptance is issued.

2.5 State of the Operator and State of Registry responsibilities

2.5.1 Annex 6, Part I, places the responsibility for initial certification, issuance of the AOC, and ongoing surveillance of an air operator on the State of the Operator. Annex 6, Part I, also requires the State of the Operator to consider or act in accordance with various approvals and acceptances by the State of Registry. Under these provisions, the State of the Operator should ensure that its actions are consistent with the approvals and acceptances of the State of Registry and that the air operator is in compliance with State of Registry requirements.

2.5.2 It is essential that the State of the Operator be satisfied with the arrangements by which its air operators use aircraft on the register of another State, particularly for maintenance and crew training. The State of the Operator should review such arrangements in coordination with the State of Registry. Where appropriate, an agreement transferring oversight responsibilities from the State of Registry to the State of the Operator pursuant to Article 83 *bis* to the Convention on International Civil Aviation should be arranged to preclude any misunderstandings regarding which State is responsible for specific oversight responsibilities.

Note.— Guidance concerning the responsibilities of the State of the Operator and the State of Registry in connection with lease, charter and interchange operations is contained in the Manual of Procedures for Operations Inspection, Certification and Continued Surveillance *(Doc 8335). Guidance concerning the transfer of State of Registry responsibilities to the State of the Operator in accordance with Article 83* bis *is contained in the* Manual on the Implementation of Article 83 *bis* of the Convention on International Civil Aviation (Doc 10059).

3. AUTHORIZATIONS

An authorization entitles an operator, owner or pilot-in-command to undertake the authorized operations. Authorizations can take the form of specific approvals, approvals or acceptances.

3.1 Specific approval actions

3.1.1 The term “specific approval” indicates a formal action on the part of the State of the Operator which results in an addition to the operations specification.

3.1.2 The following provisions make explicit reference to the need for a specific approval:

a) operational credits for operations with advanced aircraft when used for low visibility operations [4.2.8.1.1];

b) low visibility operations [4.2.8.4 and 4.2.8.5];

c) extended diversion time operations [4.7.2.2];

d) electronic flight bags [6.25.3];

e) AR navigation specifications for PBN operations [7.2.4];

f) reduced vertical separation minima [7.2.6]; and

g) dangerous goods [14.3].

3.1.3 An example of an Operations Specification template is provided in Appendix 6.

3.2 Air operator certificate (AOC)

3.2.1 The AOC required by Annex 6, Part I, Chapter 4, 4.2.1, is a formal instrument. Chapter 4, 4.2.1.5, lists the information to be included in the AOC.

3.2.2 In addition to the items in Appendix 6, paragraph 3, operations specifications may include other specific approvals, such as:

a) special aerodrome operations (e.g. short take-off and landing operations or land and hold short operations);

b) special approach procedures (e.g. steep gradient approach, instrument landing system precision runway monitor approach, localizer-type directional aid precision runway monitor approach);

c) single-engine passenger transport at night or in instrument meteorological conditions; and

d) operations in areas with special procedures (e.g. operations in areas using different altimetry units or altimeter setting procedures).

3.3 Approval actions

3.3.1 The term “approval” indicates a more formal action on the part of the State with respect to a certification matter than does the term “acceptance”. Some States require the Director of the Civil Aviation Authority (CAA) or a designated lower-level CAA official to issue a formal written instrument for every “approval” action taken. Other States allow a variety of documents to be issued as evidence of an approval. The approval document issued and the matter addressed by the approval will depend on the delegated authority of the official. In such States, authority to sign routine approvals, such as operator minimum equipment lists for specific aircraft, is delegated to technical inspectors. More complex or significant approvals are normally issued by higher-level officials.

3.3.2 Provisions that require an approval

The following provisions require or encourage approval by specified States. The approval of the State of the Operator is required in all of the certification actions listed below that are not preceded by one or more asterisks. Certification actions listed below that are preceded by one or more asterisks require approval by the State of Registry (single asterisk or “*”), or by the State of Design (double asterisk or “**”). However, the State of the Operator should take the necessary steps to ensure that operators for which it is responsible comply with any applicable approvals issued by the State of Registry and/or State of Design, in addition to its own requirements.

Note.— Items that require a specific approval are not included here. Refer to 3.1.2 of this attachment for a list of these provisions.

a) **Configuration deviation list (CDL) (Definitions);

b) **Master minimum equipment list (MMEL) (Definitions);

c) The method for establishing minimum flight altitudes (4.2.7.3);

d) The method of determining aerodrome operating minima (4.2.8.1);

e) Additional requirements for single pilot operations under the instrument flight rules (IFR) at night (4.9.1);

f) Fatigue management (4.10);

g) **EDTO configuration, maintenance and procedure (CMP) document for aeroplanes with two turbine engines (4.7.2);

h) Additional requirements for operations of single-engine turbine-powered aeroplanes at night and/or in instrument meteorological conditions (IMC) (5.4.1);

i) Aircraft-specific minimum equipment list (MEL) (6.1.3);

j) Performance-based navigation operations (7.2.2 b));

k) MNPS operations (7.2.5 b));

l) Procedures for electronic navigation data management (7.5.1);

m) *Aircraft-specific maintenance programme (8.3.1);

n) *Approved maintenance organization (Annex 8, Part II, Chapter 6, 6.2);

o) *Maintenance quality assurance methodology (Annex 8, Part II, Chapter 6, 6.4.1);

p) Flight crew training programmes (9.3.1);

q) Training in the transport of dangerous goods (9.3.1, Note 5);

r) Aerodrome additional safety margin (9.4.3.3 a));

s) Pilot-in-command area, route and aerodrome qualifications (9.4.3.5);

t) Use of flight simulation training devices (9.3.1, Note 2 and 9.4.4, Note 1);

u) Method of control and supervision of flight operations (4.2.1.3 and 10.1);

v) **Mandatory maintenance tasks and intervals (11.3.2);

w) Cabin attendant training programmes (12.4).

x) Security training programmes (13.4).

3.4 Provisions that require a technical evaluation

Other provisions in Annex 6, Part I, require the State to have made a technical evaluation. These provisions contain the phrases "acceptable to the State", "satisfactory to the State", "determined by the State", "deemed acceptable by the State", and "prescribed by the State". While not necessarily requiring an approval by the State, these Standards do require the State to at least accept the matter at issue after it conducts a specific review or evaluation. These provisions are:

a) details of the aircraft-specific checklists (Definition: aircraft operating manual and 6.1.4);

b) details of the aircraft-specific systems (Definition: aircraft operating manual and 6.1.4);

c) mandatory material for the operations manual (4.2.3.2/ Appendix 2);

d) engine trend monitoring systems (5.4.2);

e) equipment for aeroplanes operated by a single pilot under the instrument flight rules or at night (6.23);

f) requirements for approval to operate in RVSM airspace (7.2.7);

g) monitoring of height-keeping performance of aeroplanes approved to operate in RVSM airspace (7.2.8);

h) procedures for distribution and insertion of electronic navigation data in aircraft (7.5.2);

i) *operator's aircraft-specific maintenance responsibilities (8.1.1);

j) *method of maintenance and release (8.1.2);

k) *maintenance control manual (8.2.1);

l) *mandatory material for the maintenance control manual (8.2.4);

m) *reporting of maintenance experience information (8.5.1);

n) *implementing necessary maintenance corrective actions (8.5.2);

o) *modification and repair requirements (8.6);

p) *minimum competence level of maintenance personnel (Annex 8, Part II, Chapter 6, 6.6.4);

q) requirement for flight navigator (9.1.4);

r) training facilities (9.3.1);

s) qualifications of instructors (9.3.1);

t) need for recurrent training (9.3.l);

u) use of correspondence courses and written examinations (9.3.1, Note 4);

v) use of flight simulation training devices (9.3.2);

w) flight crew qualification records (9.4.3.4);

x) designated representative of the State of the Operator (9.4.4);

y) pilot experience, recency and training requirements for single pilot operations under the instrument flight rules (IFR) or at night (9.4.5.1 and 9.4.5.2);

z) *flight manual changes (11.1);

aa) minimum number of flight attendants assigned to a specific aircraft (12.1);

bb) altimetry system performance requirements for operations in RVSM airspace (Appendix 4, 1 and 2);

Single-engine operations

cc) turbine engine reliability for approved operations by single-engine turbine-powered aeroplanes at night and/or in instrument meteorological conditions (IMC) (Appendix 3, 1.1);

dd) systems and equipment (Appendix 3, 2);

ee) minimum equipment list (Appendix 3, 3);

ff) flight manual information (Appendix 3, 4);

gg) event reporting (Appendix 3, 5);

hh) operator planning (Appendix 3, 6);

ii) flight crew experience, training and checking (Appendix 3, 7);

jj) route limitations over water (Appendix 3, 8); and

kk) operator certification or validation (Appendix 3, 9).

3.5 Acceptance actions

3.5.1 Acceptance

3.5.1.1 The actual extent of the State's technical evaluation of the operator's readiness to conduct certain flight operations should be much broader than just those Standards which require or imply approval. During certification, the State should ensure that the operator will be in compliance with all requirements of Annex 6, Part I, prior to conducting international commercial air transport operations.

3.5.1.2 The concept of "acceptance" is used by some States as a formal method of ensuring that all critical aspects of operator certification are reviewed by the State prior to the formal issuance of the AOC. Using this concept, these States exercise their prerogative to have technical inspectors review all operators' policies and procedures impacting operational safety. The actual execution of an instrument to reflect this acceptance (assuming such a document is issued) may be delegated to the technical inspector assigned to the certification.

3.5.2 Conformance report

Some States use a conformance report to document the acceptances it makes with regard to a particular operator. This is a document submitted by the operator detailing how, with specific references to operations or maintenance manuals, it will comply with all applicable State regulations. This type of document is referenced in Doc 8335 and the *Airworthiness Manual* (Doc 9760), Volume I, 6.2.1 c) 4). Such a conformance report should be actively used during the certification process and revised as necessary to reflect modifications required by the State in the operator's policies and procedures. Then a final conformance report is included in the State's certification records, along with other records of certification. The conformance report is an excellent method of demonstrating that the operator was properly certificated with respect to all applicable regulatory requirements.

3.5.3 Operations and maintenance manuals

3.5.3.1 Operations and maintenance manuals, and any subsequent amendments should be submitted to the State (4.2.3.2, 8.1.1, 8.2.4, 8.3.2, and Annex 8, Part II, Chapter 6, 6.3.3). The State also establishes minimum contents for these manuals (11.2, 11.3, 11.4 and Appendix 2). The pertinent portions of the operator's manual for evaluation should be identified in the State's technical guidance, e.g. operations policy manual, operating manual, cabin crew manual, route guide, and training manual. Some States issue a formal instrument accepting each manual and any subsequent amendments.

3.5.3.2 The State's technical evaluation should, in addition to ensuring that all required contents are addressed, consider if the specific policies and procedures would result in the desired outcome. For example, the specifications for the operational flight plan (Appendix 2, 2.1.16) should provide the step-by-step completion guidance necessary for compliance with 4.3 concerning the content and retention of these plans.

3.5.3.3 Proven industry practices, such as an example of an actual completed operational flight plan for reference by the flight crew and dispatchers (although not a Standard), may also be required by a State's technical evaluator during certification. This aspect of the technical evaluation should be conducted by inspectors experienced in operator certification. A major consideration with respect to evaluating for proven industry practices that are aircraft-specific, equipment-specific or have limited applications is the employment of evaluators who are currently qualified in the practice to be evaluated.

4. OTHER APPROVAL OR ACCEPTANCE CONSIDERATIONS

Some States provide for approval or acceptance of certain critical documents, records or procedures specified in Annex 6, Part I, although the relevant Annex 6 Standards do not require approval or acceptance by the State of the Operator. The following are some examples:

a) flight data analysis programme (3.3.5);

b) method for obtaining aeronautical data (4.1.1);

c) adequacy of the fuel and oil records (4.2.10);

d) adequacy of flight time, flight duty and rest period records (4.10);

e) adequacy of the aircraft maintenance log book (4.3.1 a), b), and c));

f) adequacy of the load manifest (4.3.1 d), e) and f));

g) adequacy of the operational plan (4.3.1 g));

h) method for obtaining weather data (4.3.5.1 and 4.3.5.2);

i) method of compliance with carry-on baggage stowage (4.8);

j) aeroplane performance operating limitations (5.2.4);

k) method of obtaining and applying aerodrome obstacle data (5.3);

l) adequacy of passenger information cards (6.2.2 d));

m) contents of the journey log book (11.4.1); and

n) content of the security training programme (13.4).

5. VALIDATION OF THE STANDARD OF OPERATIONS

Standard 4.2.1.4 requires that the validity of an AOC shall depend upon the operator maintaining the original certification standards (4.2.1.3) under the supervision of the State of the Operator. This supervision requires that a system of continued surveillance be established to ensure the required standards of operations are maintained (4.2.1.8). A good starting point in the development of such a system is to require annual or semi-annual inspections, observations and tests to validate the required certification, specific approval, approval and acceptance actions.

6. AMENDMENT OF AIR OPERATOR CERTIFICATES

The certification of the operator is an ongoing process. Few operators will be satisfied over time with the initial authorizations issued with their AOC. Evolving market opportunities will cause the operator to change aircraft models and seek approval for new operational areas requiring other additional capabilities. Additional technical evaluations should be required by the State before issuing the formal written instruments approving any changes to the original AOC and other authorizations. Where possible, each request should be "bridged", using the original authorization as the foundation to determine the extent of the State's impending evaluation before issuing the formal instrument.

———————

ATTACHMENT C. MINIMUM EQUIPMENT LIST (MEL)

Supplementary to Chapter 6, 6.1.2

1. If deviations from the requirements of States in the certification of aircraft were not permitted an aircraft could not be flown unless all systems and equipment were operable. Experience has proved that some unserviceability can be accepted in the short term when the remaining operative systems and equipment provide for continued safe operations.

2. The State should indicate through approval of a minimum equipment list those systems and items of equipment that may be inoperative for certain flight conditions with the intent that no flight can be conducted with inoperative systems and equipment other than those specified.

3. A minimum equipment list, approved by the State of the Operator, is therefore necessary for each aircraft, based on the master minimum equipment list established for the aircraft type by the organization responsible for the type design in conjunction with the State of Design.

4. The State of the Operator should require the operator to prepare a minimum equipment list designed to allow the operation of an aircraft with certain systems or equipment inoperative provided an acceptable level of safety is maintained.

5. The minimum equipment list is not intended to provide for operation of the aircraft for an indefinite period with inoperative systems or equipment. The basic purpose of the minimum equipment list is to permit the safe operation of an aircraft with inoperative systems or equipment within the framework of a controlled and sound programme of repairs and parts replacement.

6. Operators are to ensure that no flight is commenced with multiple minimum equipment list items inoperative without determining that any interrelationship between inoperative systems or components will not result in an unacceptable degradation in the level of safety and/or undue increase in the flight crew workload.

7. The exposure to additional failures during continued operation with inoperative systems or equipment must also be considered in determining that an acceptable level of safety is being maintained. The minimum equipment list may not deviate from requirements of the flight manual limitations section, emergency procedures or other airworthiness requirements of the State of Registry or of the State of the Operator unless the appropriate airworthiness authority or the flight manual provides otherwise.

8. Systems or equipment accepted as inoperative for a flight should be placarded where appropriate, and all such items should be noted in the aircraft technical log to inform the flight crew and maintenance personnel of the inoperative system or equipment.

9. For a particular system or item of equipment to be accepted as inoperative, it may be necessary to establish a maintenance procedure, for completion prior to flight, to de-activate or isolate the system or equipment. It may similarly be necessary to prepare an appropriate flight crew operating procedure.

10. The responsibilities of the pilot-in-command in accepting an aeroplane for operation with deficiencies in accordance with a minimum equipment list are specified in Chapter 4, 4.3.1.

ATTACHMENT D. FLIGHT SAFETY DOCUMENTS SYSTEM

Supplementary to Chapter 3, 3.5

1. INTRODUCTION

1.1 The following material provides guidance on the organization and development of the operator's flight safety documents system. It should be understood that the development of a flight safety documents system is a complete process, and changes to each document comprising the system may affect the entire system. Guidelines applicable to the development of operational documents have been produced by government and industry sources and are available to operators. Nevertheless, it may be difficult for operators to make the best use of these guidelines, since they are distributed across a number of publications.

1.2 Furthermore, guidelines applicable to operational documents development tend to focus on a single aspect of documents design, for example, formatting and typography. Guidelines rarely cover the entire process of operational documents development. It is important for operational documents to be consistent with each other, and consistent with regulations, manufacturer requirements and Human Factors principles. It is also necessary to ensure consistency across departments as well as consistency in application. Hence the emphasis on an integrated approach, based on the notion of the operational documents as a complete system.

1.3 The guidelines in this Attachment address the major aspects of the operator's flight safety documents system development process, with the aim of ensuring compliance with Chapter 3, 3.5. The guidelines are based not only upon scientific research, but also upon current best industry practices, with an emphasis on a high degree of operational relevance.

2. ORGANIZATION

2.1 A flight safety documents system should be organized according to criteria which ensure easy access to information required for flight and ground operations contained in the various operational documents comprising the system and which facilitate management of the distribution and revision of operational documents.

2.2 Information contained in a flight safety documents system should be grouped according to the importance and use of the information, as follows:

a) time-critical information, e.g., information that can jeopardize the safety of the operation if not immediately available;

b) time-sensitive information, e.g., information that can affect the level of safety or delay the operation if not available in a short time period;

c) frequently used information;

d) reference information, e.g., information that is required for the operation but does not fall under b) or c) above; and

e) information that can be grouped based on the phase of operation in which it is used.

2.3 Time-critical information should be placed early and prominently in the flight safety documents system.

2.4 Time-critical information, time-sensitive information, and frequently used information should be placed in cards and quick-reference guides.

3. VALIDATION

The flight safety documents system should be validated before deployment, under realistic conditions. Validation should involve the critical aspects of the information use, in order to verify its effectiveness. Interactions among all groups that can occur during operations should also be included in the validation process.

4. DESIGN

4.1 A flight safety documents system should maintain consistency in terminology and in the use of standard terms for common items and actions.

4.2 Operational documents should include a glossary of terms, acronyms and their standard definition, updated on a regular basis to ensure access to the most recent terminology. All significant terms, acronyms and abbreviations included in the flight documents system should be defined.

4.3 A flight safety documents system should ensure standardization across document types, including writing style, terminology, use of graphics and symbols, and formatting across documents. This includes a consistent location of specific types of information, consistent use of units of measurement and consistent use of codes.

4.4 A flight safety documents system should include a master index to locate, in a timely manner, information included in more than one operational document.

Note.— The master index must be placed in the front of each document and consist of no more than three levels of indexing. Pages containing abnormal and emergency information must be tabbed for direct access.

4.5 A flight safety documents system should comply with the requirements of the operator's quality system, if applicable.

5. DEPLOYMENT

Operators should monitor deployment of the flight safety documents system, to ensure appropriate and realistic use of the documents, based on the characteristics of the operational environment and in a way which is both operationally relevant and beneficial to operational personnel. This monitoring should include a formal feedback system for obtaining input from operational personnel.

6. AMENDMENT

6.1 Operators should develop an information gathering, review, distribution and revision control system to process information and data obtained from all sources relevant to the type of operation conducted, including, but not limited to, the State of the Operator, State of design, State of Registry, manufacturers and equipment vendors.

Note.— Manufacturers provide information for the operation of specific aircraft that emphasizes the aircraft systems and procedures under conditions that may not fully match the requirements of operators. Operators should ensure that such information meets their specific needs and those of the local authority.

6.2 Operators should develop an information gathering, review and distribution system to process information resulting from changes that originate within the operator, including:

a) changes resulting from the installation of new equipment;

b) changes in response to operating experience;

c) changes in the operator's policies and procedures;

d) changes in the operator certificate; and

e) changes for purposes of maintaining cross fleet standardization.

Note.— Operators should ensure that crew coordination philosophy, policies and procedures are specific to their operation.

6.3 A flight safety documents system should be reviewed:

a) on a regular basis (at least once a year);

b) after major events (mergers, acquisitions, rapid growth, downsizing, etc.);

c) after technology changes (introduction of new equipment); and

d) after changes in safety regulations.

6.4 Operators should develop methods of communicating new information. The specific methods should be responsive to the degree of communication urgency.

Note.— As frequent changes diminish the importance of new or modified procedures, it is desirable to minimize changes to the flight safety documents system.

6.5 New information should be reviewed and validated considering its effects on the entire flight safety documents system.

6.6 The method of communicating new information should be complemented by a tracking system to ensure currency by operational personnel. The tracking system should include a procedure to verify that operational personnel have the most recent updates.

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ATTACHMENT E. ADDITIONAL GUIDANCE FOR APPROVED OPERATIONS BY SINGLE-ENGINE TURBINE-POWERED AEROPLANES AT NIGHT AND/OR IN INSTRUMENT METEOROLOGICAL CONDITIONS (IMC)

Supplementary to Chapter 5, 5.4 and Appendix 3

1. PURPOSE AND SCOPE

The purpose of this attachment is to give additional guidance on the airworthiness and operational requirements described in Chapter 5, 5.4 and Appendix 3, which have been designed to meet the overall level of safety intended for approved operations by single-engine turbine-powered aeroplanes at night and/or in IMC.

2. TURBINE ENGINE RELIABILITY

2.1 The power loss rate required in Chapter 5, 5.4.1 and Appendix 3 should be established as likely to be met based on data from commercial operations supplemented by available data from private operations in similar theatres of operation. A minimum amount of service experience is needed on which to base the judgment, and this should include at least 20 000 hours on the actual aeroplane/engine combination unless additional testing has been carried out or experience on sufficiently similar variants of the engine is available.

2.2 In assessing turbine engine reliability, evidence should be derived from a world fleet database covering as large a sample as possible of operations considered to be representative, compiled by the manufacturers and reviewed with the States of Design and of the Operator. Since flight hour reporting is not mandatory for many types of operators, appropriate statistical estimates may be used to develop the engine reliability data. Data for individual operators approved for these operations including trend monitoring and event reports should also be monitored and reviewed by the State of the Operator to ensure that there is no indication that the operator's experience is unsatisfactory.

2.2.1 Engine trend monitoring should include the following:

a) an oil consumption monitoring programme based on manufacturers' recommendations; and

b) an engine condition monitoring programme describing the parameters to be monitored, the method of data collection and the corrective action process; this should be based on the manufacturer's recommendations. The monitoring is intended to detect turbine engine deterioration at an early stage to allow for corrective action before safe operation is affected.

2.2.2 A reliability programme should be established covering the engine and associated systems. The engine programme should include engine hours flown in the period and the in-flight shutdown rate for all causes and the unscheduled engine removal rate, both on a 12-month moving average basis. The event reporting process should cover all items relevant to the ability to operate safely at night and/or in IMC. The data should be available for use by the operator, the Type Certificate Holder and the State so as to establish that the intended reliability levels are being achieved. Any sustained adverse trend should result in an immediate evaluation by the operator in consultation with the State and manufacturer with a view to determining actions to restore the intended safety level. The operator should develop a parts control programme with support from the manufacturer that ensures that the proper parts and configuration are maintained for single-engine turbine-powered aeroplanes approved to

conduct these operations. The programme includes verification that parts placed on an approved single-engine turbine-powered aeroplane during parts borrowing or pooling arrangements, as well as those parts used after repair or overhaul, maintain the necessary configuration of that aeroplane for operations approved in accordance with Chapter 5, 5.4.

2.3 Power loss rate should be determined as a moving average over a specified period (e.g. a 12-month moving average if the sample is large). Power loss rate, rather than in-flight shut-down rate, has been used as it is considered to be more appropriate for a single-engine aeroplane. If a failure occurs on a multi-engine aeroplane that causes a major, but not total, loss of power on one engine, it is likely that the engine will be shut down as positive engine-out performance is still available, whereas on a single-engine aeroplane it may well be decided to make use of the residual power to stretch the glide distance.

2.4 The actual period selected should reflect the global utilization and the relevance of the experience included (e.g. early data may not be relevant due to subsequent mandatory modifications which affected the power loss rate). After the introduction of a new engine variant and whilst global utilization is relatively low, the total available experience may have to be used to try to achieve a statistically meaningful average.

3. OPERATIONS MANUAL

The operations manual should include all necessary information relevant to operations by single-engine turbine-powered aeroplanes at night and/or in IMC. This should include all of the additional equipment, procedures and training required for such operations, route and/or area of operation and aerodrome information (including planning and operating minima).

4. OPERATOR CERTIFICATION OR VALIDATION

The certification or validation process specified by the State of the Operator should ensure the adequacy of the operator's procedures for normal, abnormal and emergency operations, including actions following engine, systems or equipment failures. In addition to the normal requirements for operator certification or validation, the following items should be addressed in relation to operations by single-engine turbine-powered aeroplanes:

a) proof of the achieved engine reliability of the aeroplane engine combination (see Appendix 3, paragraph 1);

b) specific and appropriate training and checking procedures including those to cover engine failure/malfunction on the ground, after take-off and en-route and descend to a forced landing from the normal cruising altitude;

c) a maintenance programme which is extended to address the equipment and systems referred to in Appendix 3, paragraph 2;

d) an MEL modified to address the equipment and systems necessary for operations at night and/or in IMC;

e) planning and operating minima appropriate to the operations at night and/or in IMC;

f) departure and arrival procedures and any route limitations;

g) pilot qualifications and experience; and

h) the operations manual, including limitations, emergency procedures, approved routes or areas of operation, the MEL and normal procedures related to the equipment referred to in Appendix 3, paragraph 2.

5. OPERATIONAL AND MAINTENANCE PROGRAMME REQUIREMENTS

5.1 Approval to undertake operations by single-engine turbine-powered aeroplanes at night and/or in IMC specified in an air operator certificate or equivalent document should include the particular airframe/engine combinations, including the current type design standard for such operations, the specific aeroplanes approved, and the areas or routes of such operations.

5.2 The operator's maintenance control manual should include a statement of certification of the additional equipment required, and of the maintenance and reliability programme for such equipment, including the engine.

6. ROUTE LIMITATIONS OVER WATER

6.1 Operators of single-engine turbine-powered aeroplanes carrying out operations at night and/or in IMC should make an assessment of route limitations over water. The distance that the aeroplane may be operated from a land mass suitable for a safe forced landing should be determined. This equates to the glide distance from the cruise altitude to the safe forced landing area following engine failure, assuming still air conditions. States may add to this an additional distance taking into account the likely prevailing conditions and type of operation. This should take into account the likely sea conditions, the survival equipment carried, the achieved engine reliability and the search and rescue services available.

6.2 Any additional distance allowed beyond the glide distance should not exceed a distance equivalent to 15 minutes at the aeroplane's normal cruise speed.

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ATTACHMENT F. RESCUE AND FIRE FIGHTING SERVICES (RFFS) LEVELS

Supplementary to Chapter 4, 4.1.4

1. PURPOSE AND SCOPE

1.1 Introduction

The purpose of this Attachment is to provide guidance for assessing the level of RFFS deemed acceptable by aeroplane operators using aerodromes for differing purposes. This guidance does not relieve the operator from the obligation to ensure that an acceptable level of protection is available for the aeroplane intended to be used.

1.2 Basic concepts

1.2.1 For flight planning purposes, an aeroplane operator should utilize an aerodrome whose RFFS category, as required by Annex 14, Volume I, Chapter 9, 9.2, matches or exceeds the aeroplane's RFFS category. Some aerodromes currently used do not, however, meet these requirements. Furthermore, Annex 14, Volume I provisions relate to the level of aerodrome RFFS to be provided for aeroplanes normally using an aerodrome; hence, this level of RFFS protection does not take into account aeroplanes for which the aerodrome is selected as an alternate aerodrome.

1.2.2 If an aerodrome is exposed to a temporary reduction of its RFFS capability, Annex 14, Volume I, 2.11.3, requires that: *"*Changes in the level of protection normally available at an aerodrome for rescue and fire fighting shall be notified to the appropriate air traffic services units and aeronautical information services units to enable those units to provide the necessary information to arriving and departing aircraft. When such a change has been corrected, the above units shall be advised accordingly.*"*

1.2.3 In order to determine the acceptability of an aerodrome RFFS protection level, the operator should consider:

a) *for a departure or destination aerodrome*, the difference between the aerodrome RFFS category and the aeroplane RFFS category, and the frequency of flights to that aerodrome; and

b) *for an alternate aerodrome*, the difference between the aerodrome RFFS category and the aeroplane RFFS category, and the probability that this alternate aerodrome will be used.

1.2.4 The intention is that the operator will consider the available RFFS as one element of a risk assessment process conducted under their safety management system (SMS), to ensure that the overall safety of the operation can be maximized. This risk assessment would also include considerations of aerodrome facilities, availability, terrain, weather conditions, etc. to ensure that the most appropriate aerodrome is selected.

Note.— Annex 19 includes safety management provisions for air operators. Further guidance is contained in the Safety Management Manual *(Doc 9859).*

1.2.5 The following guidance is intended to assist operators in making the assessment required by Chapter 4, 4.1.4 with due consideration of the basic principles described in 1.2.1 to 1.2.4. It is not intended that this guidance limit or regulate the operation of an aerodrome.

2. GLOSSARY OF TERMS

Aerodrome RFFS category. The RFFS category for a given aerodrome, as published in the appropriate Aeronautical Information Publication (AIP).

Aeroplane RFFS category. The category derived from Annex 14, Volume I, Table 9-1 for a given aeroplane type.

Temporary downgrade. RFFS category as notified, including by NOTAM, and resulting from the downgrade of the level of RFFS protection available at an aerodrome.

3. MINIMUM ACCEPTABLE AERODROME RFFS CATEGORY

3.1 Planning

3.1.1 In principle, the published RFFS category for each of the aerodromes used for a given flight should be equal to or better than the aeroplane's RFFS category. However, if the aeroplane's RFFS category is not available at one or more of the aerodromes required to be specified in the operational flight plan, the operator should ensure that the aerodrome has a level of RFFS category which is deemed acceptable, based on a risk assessment conducted as part of the operator's safety management system (SMS). When establishing acceptable levels of RFFS category for these situations, the operator may use the criteria in Table F-1 and Table F-2. Notwithstanding these criteria, the operator may determine other acceptable levels of RFFS category in accordance with 3.1.3 of this attachment.

3.1.1.1 Intended operations to aerodromes with RFFS categories below the levels specified in Annex 14, Volume I, Chapter 9, 9.2, should be coordinated between the aeroplane operator and the aerodrome operator.

3.1.1.2 For departure and destination aerodromes, during flight planning, the acceptable RFFS protection level should equal or exceed the values specified in Table F-1.

Table F-1. Acceptable aerodrome category for rescue and fire fighting (departure and destination aerodrome)

Aerodromes (Required to be specified in the operational flight plan)~~(1)~~ *Note.— If an individual aerodrome serves more than one purpose, the highest required category corresponding to that purpose at the time of expected use applies.*	**Acceptable aerodrome RFFS category** (Based on published aerodrome RFFS category, including any modification by NOTAM)
Departure and destination aerodrome	RFFS category for each aerodrome should be equal to or better than the aeroplane RFFS category. Where a suitable risk assessment has been conducted by the operator: **One** category below the aeroplane RFFS category, or **Two** categories below the aeroplane RFFS category, in the case of a temporary downgrade of 72 hours or less but not lower than aerodrome RFFS Category 4 for aeroplanes with maximum certificated take-off mass of over 27 000 kg and not lower than Category 1 for other aeroplanes.

3.1.1.3 So as to comply with the operational regulations applicable to a given flight, the operator selects alternate aerodrome(s) for various uses. During flight planning, the acceptable aerodrome RFFS category at a selected alternate aerodrome may equal or exceed the values specified in Table F-2.

Table F-2. Acceptable aerodrome category for rescue and firefighting (alternate aerodromes)

Aerodromes (Required to be specified in the operational flight plan) *Note.— If an individual aerodrome serves more than one purpose, the highest required category corresponding to that purpose at the time of expected use applies.*	**Acceptable aerodrome RFFS protection level** (Based on published aerodrome RFFS category, including any modification by NOTAM)
Take-off alternate and destination alternate aerodromes	Where a suitable risk assessment has been conducted by the operator: **Two** categories below the aeroplane RFFS category, or **Three** categories below the aeroplane RFFS category in the case of a temporary downgrade of 72 hours or less but not lower than aerodrome RFFS Category 4 for aeroplanes with maximum certificated take-off mass of over 27 000 kg and not lower than Category 1 for other aeroplanes.
En-route alternate aerodromes	If at least 30 minutes notice is given to the aerodrome operator prior to the arrival of the aeroplane, a minimum of RFFS Category 4 for aeroplanes with maximum certificated take-off mass of over 27 000 kg, and RFFS Category 1 for other aeroplanes. If less than 30 minutes notice can be given to the aerodrome operator prior to the arrival of the aeroplane: **Two** categories below the aeroplane RFFS category, or **Three** categories below the aeroplane RFFS category in the case of a temporary downgrade of 72 hours or less but not lower than aerodrome RFFS Category 4 for aeroplanes with maximum certificated take-off mass of over 27 000 kg and not lower than Category 1 for other aeroplanes.

3.1.2 For all-cargo operations, further reductions might be acceptable provided that the RFFS capability is adequate to arrest fire around the flight deck area long enough for the persons on board to safely evacuate the aeroplane.

3.1.3 Variations

3.1.3.1 Notwithstanding the guidance developed in 3.1.1, an aerodrome RFFS category below the protection levels defined in Tables F-1 and F-2 may be acceptable if other considerations prevail, such as weather conditions, runway(s) characteristics, or length of diversion. Such variations should be based on a specific risk assessment conducted by the operator as part of its safety management system (SMS).

3.1.3.2 Variations to the aerodrome RFFS category may concern, among other cases:

a) an occasional flight; or

b) temporary downgrades exceeding 72 hours.

Where applicable, a variation may be used for a group of aerodromes selected for the same purpose, for a given aeroplane type.

3.1.3.3 The aforementioned variations may be based on additional or other criteria relevant to the type of operations. For instance, the 72-hour threshold for RFFS temporary downgrades may not be relevant for a single flight to or from the aerodrome concerned, such as a non-scheduled flight, whereas it is fully relevant for operations carried out on a continuous and daily basis. A variation may be time limited. A variation may also be modified to reflect the changes of the RFFS protection level available at the aerodrome(s) concerned. In accordance with Chapter 4, 4.1.5, the variations and their validity periods should be included in the operations manual.

3.1.3.4 For variations to the acceptable RFFS category at departure and destination aerodromes, the aeroplane operator's specific safety risk assessment for an aerodrome intended to be used as a departure or destination aerodrome may be based on the following elements:

a) the frequency of flights intended by the aeroplane operator in relation to a lowered aerodrome RFFS category;

b) coordination between the aeroplane operator and the aerodrome operator (for instance, reducing intervention time by prepositioning the existing RFFS means along the runway before the intended take-off or landing).

3.1.3.5 For regular flights, the coordination should take into account the principles of Annex 14, Volume I, Chapter 9, 9.2.5 and 9.2.6 which are applicable to the aerodrome operator, as well as the possibilities to modulate the aerodrome RFFS category available on a daily cycle or seasonal cycle.

3.1.3.6 For variations in acceptable RFFS for an alternate aerodrome, the aeroplane operator's specific safety risk assessment for an aerodrome selected as a take-off alternate aerodrome, a destination alternate aerodrome or an en-route alternate aerodrome may be based on the following elements:

a) the probability of effective use of the aerodrome concerned; and

b) the frequency of selection of the aerodrome for the respective purpose of use.

3.2 In flight

3.2.1 The information contained in the operations manual according to Chapter 4, 4.1.5 about the aerodrome RFFS category acceptable at the planning stage (including Tables F-1, F-2 and, where usable, the variations under the specifications in 3.1.3) is applicable at the in-flight re-planning point.

3.2.2 In flight, the pilot-in-command may decide to land at an aerodrome regardless of the RFFS category if, in the pilot's judgement after due consideration of all prevailing circumstances, to do so would be safer than to divert.

ATTACHMENT G. DANGEROUS GOODS

Supplementary to Chapter 14

1. PURPOSE AND SCOPE

The material in this attachment provides guidance regarding the carriage of dangerous goods as cargo. Chapter 14, includes dangerous goods operational requirements that apply to all operators. Operators that have a specific approval to transport dangerous goods as cargo need to meet additional requirements. In addition to the operational requirements contained in Annex 6, there are other requirements in Annex 18 and the Technical Instructions that also need to be complied with.

2. DEFINITIONS

Where the following term is used in this attachment, it has the meaning indicated:

Cargo. Any property carried on an aircraft other than mail and accompanied or mishandled baggage.

Note 1.— This definition differs from the definition of "cargo" given in Annex 9 — Facilitation.

Note 2.— COMAT that meets the classification criteria of dangerous goods and which is transported in accordance with Part 1;2.2.2 or Part 1;2.2.3 or Part 1;2.2.4 of the Technical Instructions are considered as "cargo" (e.g. aircraft parts such as chemical oxygen generators, fuel control units, fire extinguishers, oils, lubricants, cleaning products).

3. STATES

3.1 The State of the Operator should indicate in the operations specification if the operator has been issued a specific approval to transport dangerous goods as cargo. Any limitations should be included.

3.2 A specific approval may be granted for the transport of specific types of dangerous goods only (e.g. dry ice; biological substance, Category B; and dangerous goods in excepted quantities) or COMAT.

3.3 The Supplement to the Technical Instructions contains guidance on a State's responsibilities with respect to operators. This includes additional information to Part 7 of the Technical Instructions on storage and loading, provision of information, inspections, enforcement and Annex 6 information relevant to the State's responsibilities for dangerous goods.

3.4 Carriage of dangerous goods other than as cargo (e.g. medical flights, search and rescue) are addressed in Part 1, Chapter 1, of the Technical Instructions. The exceptions for the carriage of dangerous goods that are either equipment or for use on board the aircraft during flight are detailed in Part 1, 2.2.1, of the Technical Instructions.

4. OPERATOR

4.1 The operator's training programme should cover, as a minimum, the aspects of the transport of dangerous goods listed in the Technical Instructions in Table 1-4 for operators holding a specific approval or Table 1-5 for operators without a specific approval. Recurrent training must be provided within 24 months of previous training, except as otherwise provided by the Technical Instructions.

4.2 Details of the dangerous goods training programme including the policies and procedures regarding third-party personnel involved in the acceptance, handling, loading and unloading of dangerous goods cargo should be included in the operations manual.

4.3 The Technical Instructions require that operators provide information in the operations manual and/or other appropriate manuals that will enable flight crews, other employees and ground handling agents to carry out their responsibilities with regard to the transport of dangerous goods and that initial training be conducted prior to performing a job function involving dangerous goods.

4.4 Operators should meet and maintain requirements established by the States in which operations are conducted in accordance with 4.2.2.3 of this Annex.

4.5 Operators may seek a specific approval to transport, as cargo, specific dangerous goods only, such as dry ice, biological substance, Category B, COMAT and dangerous goods in excepted quantities.

4.6 Attachment 1 to Part S-7, Chapter 7, of the Supplement to the Technical Instructions contains additional guidance and information on requirements regarding operators not holding a specific approval to transport dangerous goods as cargo and for operators that have a specific approval to transport dangerous goods as cargo.

4.7 All operators should develop and implement a system that ensures they will remain current with regulatory changes and updates. The Technical Instructions contain detailed instructions necessary for the safe transport of dangerous goods by air. These instructions are issued biennially, becoming effective on 1 January of an odd-numbered year.

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ATTACHMENT H. LOCATION OF AN AEROPLANE IN DISTRESS

(Supplementary to Chapter 6, 6.18)

GUIDANCE FOR LOCATION OF AN AEROPLANE IN DISTRESS

1. INTRODUCTION

The following material provides guidance on locating an aeroplane in distress. The Triggered Transmission of Flight Data Working Group (TTFDWG) reviewed forty-two accidents to determine an indication of the distance from a last-known aeroplane position to the location of an accident site. The report concluded that in approximately 95 per cent of the cases, when the aircraft position was known one minute prior to the accident, the accident site location was within a 6 NM radius of that position. (Click here to access the TTFDWG Report under the "publications" tab or go to https://www.bea.aero/en/ .)

1.2 When an aeroplane has an accident into water and becomes submerged, the location of the accident site within a 6 NM radius on the surface becomes more important. Starting the initial search area beyond a 6 NM radius reduces the amount of time available to search for and locate the aeroplane. At current estimated underwater search capabilities of 100 km^2/day, an area with a 6 NM radius could be searched in four days. Allowing for naval assets to reach the search area and conduct the search, it is estimated that an area of 2 300 km^2, equivalent to a radius of 14 NM, will be able to be searched before the ULD battery degrades. Starting at an area of more than 6 NM radius reduces the probability of a successful location during an initial search, whilst extending the location requirement beyond 6 NM radius reduces the time available to search with no appreciable gain in the probability of recovery.

2. CLARIFICATION OF PURPOSE OF EQUIPMENT

2.1 Information from which a position can be determined: Information from an aircraft system which either is active, or, when automatically or manually activated, can provide position information which includes a time stamp. This is a performance-based requirement which is not system-specific and may also bring operational benefits.

2.2 Emergency locator transmitter (ELT): The current generation of ELTs were designed to provide the position of impact for a survivable accident. The next generation of ELTs may have the capability to activate a transmission in flight when any of the conditions detailed in EUROCAE ED-237, Minimum Aviation System Performance Specification (MASPS) for Criteria to Detect In-Flight Aircraft Distress Events to Trigger Transmission of Flight Information are met. When an ELT sinks below the surface of water, its signal is not detectable.

2.3 Automatic deployable flight recorder (ADFR): The purpose of an ADFR is to have flight recorder data available soon after an accident, in particular for accidents over water. The integrated ELT provides for both locating the accident site for accident investigation and search and rescue purposes. Being floatable, it will assist in locating the accident site by providing an ELT signal when the wreckage sinks below the surface of the water. It also ensures redundancy for one ELT.

2.4 Underwater locator device (ULD): A ULD operating at a frequency of 8.8 kHz is attached to the airframe to locate aeroplane wreckage below the surface of water when an ELT signal is not possible to detect. The ULDs operating at 37.5 kHz are attached to the flight recorders and are used for locating the flight recorders under water.

3. EQUIPAGE COMPLIANCE

The advancement of technology has made it possible to meet the equipage requirements by different means. Table H-1 below provides examples of compliance. In such potential installations, the cost will be minimized and the effectiveness of the current installation improved.

Table H-1. Examples of compliance

Current	**After 1 January 2021**
In-service	**Application for type certification is submitted to a Contracting State**
Two ELTs Two fixed recorders	Example: A system from which a position can be determined; and one ADFR with an integrated ELT; and one combined recorder; or A system from which a position can be determined and one ELT and two fixed recorders and an additional means to retrieve flight recorder data in a timely manner.

Note.— A system from which a position can be determined and used to comply with Chapter 6, 6.18, may replace one of the ELTs required by Chapter 6, 6.17.

ATTACHMENT I. GUIDE TO CURRENT FLIGHT RECORDER PROVISIONS

(Supplementary to Chapter 6, 6.38)

1. INTRODUCTION

Since 1973, and the inclusion in Annex 6 of SARPs for the carriage of flight recorders, new and revised requirements were introduced concerning flight recorders. These amendments include an update of the provisions pertaining to flight recorders, recording of digital communications, FDR requirements for new aircraft, revised parameter listings; two-hour duration CVRs. Through the years, the applicability date and the carriage of flight recorders to be installed, as defined by the SARPs, were complex.

The tables below summarize the current flight recorders carriage requirements.

Table I-1. FDR/AIR/ADRS/AIRS installation SARPs

<table>
<tr><th rowspan="3">Date</th><th colspan="9">MCTOM</th></tr>
<tr><th colspan="3">Over 27 000 kg</th><th colspan="3">Over 5 700 kg</th><th colspan="3">5 700 kg and below</th></tr>
<tr><th>All aeroplanes new type certificate</th><th>All aeroplanes first certificate of airworthiness</th><th>All turbine aeroplanes first certificate of airworthiness</th><th>All aeroplanes new type certificate</th><th>All aeroplanes first certificate of airworthiness</th><th>All turbine aeroplanes first certificate of airworthiness</th><th>All turbine aeroplanes new type certificate</th><th>All turbine aeroplanes first certificate of airworthiness</th><th>Multi-turbine aeroplanes first certificate of airworthiness</th></tr>
<tr><td></td><td rowspan="5"></td><td rowspan="2"></td><td>6.3.1.1.6
6.3.1.1.9</td><td rowspan="5"></td><td rowspan="2"></td><td>6.3.1.1.6</td><td rowspan="5"></td><td rowspan="5"></td><td rowspan="3"></td></tr>
<tr><td>1987 ⇒</td><td>6.3.1.1.8</td><td>6.3.1.1.7</td></tr>
<tr><td>1989 ⇒</td><td rowspan="3">6.3.1.1.3</td><td rowspan="4"></td><td rowspan="3">6.3.1.1.4</td><td rowspan="4"></td></tr>
<tr><td>1990 ⇒</td><td rowspan="2">6.3.1.1.5</td></tr>
<tr><td>2005 ⇒</td><td rowspan="2">6.3.1.1.10</td><td rowspan="2">6.3.1.1.10</td></tr>
<tr><td>2016 ⇒</td><td>Table A8-1 (Some parameters are sampled at an increased frequency)</td><td>Table A8-1 (Some parameters are sampled at an increased frequency)</td><td>6.3.1.1.1</td><td>6.3.1.1.2</td><td></td></tr>
<tr><td>2023 ⇒</td><td>6.3.1.1.11</td><td>6.3.1.1.12</td><td></td><td>6.3.1.1.11</td><td>6.3.1.1.12</td><td></td><td></td><td></td><td></td></tr>
</table>

Table I-2. CVR/CARS installation SARPs

<table>
<tr><td rowspan="3">Date</td><td colspan="6">MCTOM</td></tr>
<tr><td colspan="2">Over 27 000 kg</td><td colspan="2">Over 5 700 kg</td><td colspan="2">Over 2 250 kg</td></tr>
<tr><td>All aeroplanes</td><td>All turbine aeroplanes first certificate of airworthiness</td><td>All aeroplanes first certificate of airworthiness</td><td>All turbine aeroplanes first certificate of airworthiness</td><td>All turbine aeroplanes more than 1 pilot new type certificate</td><td>All turbine aeroplanes more than 1 pilot first certificate of airworthiness</td></tr>
<tr><td></td><td rowspan="3"></td><td rowspan="5">6.3.2.1.4</td><td></td><td>6.3.2.1.5</td><td rowspan="3"></td><td rowspan="3"></td></tr>
<tr><td>1987
⇒</td><td rowspan="4">6.3.2.1.3</td><td rowspan="4"></td></tr>
<tr><td>2003
⇒</td></tr>
<tr><td>2016
⇒</td><td>6.3.2.3.1</td><td rowspan="3">6.3.2.1.1</td><td rowspan="3">6.3.2.1.2</td></tr>
<tr><td>2021
⇒</td><td>6.3.2.3.2</td><td></td></tr>
</table>

Table I-3. Combination recorder installation SARPs

Date	**MCTOM**			
	Over 15 000 kg	Over 5 700 kg		Less than 5 700 kg
	All aeroplanes new type certificate requiring CVR and FDR	All aeroplanes new type certificate requiring CVR and FDR	All aeroplanes requiring CVR and FDR	All multi-engined turbine-powered aeroplanes requiring FDR and/or CVR
2016 ⇒	6.3.5.5.2	6.3.5.5.1	6.3.5.5.3	6.3.5.5.4

Table I-4. Flight crew-machine interface recordings

Date	**MCTOM**	
	Over 27 000 kg	Over 5 700 kg
	All aeroplanes new type certificate	All aeroplanes first certificate of airworthiness
2023 ⇒	6.3.4.1.1	6.3.4.1.2

Table I-5. Data link communications (DLC) recording installation clarification

Rows	Date individual certificate of airworthiness was first issued	Date aircraft type certificate issued or modification for DLC equipment first approved	Date of activation for use of DLC equipment	DLC recording required	SARPs reference
1	On or after 1 January 2016	On or after 1 January 2016	On or after 1 January 2016	Yes	6.3.3.1.1
2	On or after 1 January 2016	Before 1 January 2016	On or after 1 January 2016	Yes	6.3.3.1.1
3	Before 1 January 2016	On or after 1 January 2016	On or after 1 January 2016	Yes	6.3.3.1.2
4	Before 1 January 2016	Before 1 January 2016	Before 1 January 2016	No	6.3.3.1.2
5	Before 1 January 2016	Before 1 January 2016	On or after 1 January 2016	No[1]	6.3.3.1.2 *6.3.3.1.3*

[1] Not required, but recommended.

2. TABLE HEADINGS

2.1 *Date individual certificate of airworthiness* was first issued is self-explanatory.

2.2 *Date aircraft type certificate issued or modification for DLC equipment first approved* is the date that allows the installation of DLC equipment on the aircraft and refers to the airworthiness approval of the installation of aircraft components, such as the structural and wiring provisions with which the DLC equipment needs to be compliant. These airworthiness approvals are usually in a form of a type certificate, a supplemental type certificate or an amended type certificate.

2.2.1 It is not uncommon for original customers of an aircraft that have airworthiness approvals related to DLC capability to choose not to install the DLC equipment or choose not to have it activated even if the aircraft is prepared for it.

2.3 *Date of activation for use of DLC equipment* refers to the date that a DLC application referred to in 5.1.2 of Appendix 8 was first activated for use.

2.3.1 Datalink communication (DLC) equipment as used in these provisions, refer to the physical unit(s) (e.g. box(es)) that was approved to a minimum performance standard issued by a certification authority (e.g. TSO or ETSO).

2.3.2 The activation of DLC functions refer to approved software activation of DLC functions or software updates.

2.4 *DLC recording required* refers to the requirement to record DLC messages in accordance with provisions 6.3.3.1.1, 6.3.3.1.2 and 6.3.3.1.3.

3. GENERAL

3.1 It is the date on which the CVR capabilities of the aircraft were approved that determines the DLC recording requirement. The date in which the DLC equipment was approved to a minimum performance standard is not relevant for CVR recording requirement purposes.

3.2 For the DLC equipment to be compliant with an airworthiness approval, it needs to be able to use, without modification, the installed aircraft components that are necessary to provide the DLC function, such as the:

a) datalink router (e.g. hosted in the communications management unit);

b) radios (e.g. VHF, HF datalink, SATCOM) and related antennas.

3.3 Approved software updates to installed equipment or software activation of functions normally do not alter the DLC equipment compliance with the rest of the aircraft systems.

4. EXAMPLES

4.1 For rows 1 and 2:

– The recording requirement is driven by Standard 6.3.3.1.1 which is based on when the individual certificate of airworthiness was first issued. Any subsequent airworthiness modifications related to DLC capability do not exempt the aircraft from the requirement to record DLC messages.

4.2 For rows 3 to 5 — General:

– The recording requirement is driven by Standard 6.3.3.1.2 and is based on whether or not the aircraft has an airworthiness approval for DLC capabilities and the date of its issue.

– Since there was no requirement to record DLC messages prior to 1 January 2016, airworthiness approvals related to DLC capability issued before that date did not necessarily include this function.

4.3 For row 3:

– The recording requirement applies regardless of when the certificate of airworthiness was issued because an airworthiness approval related to DLC capability was issued on or after 1 January 2016. The date of installation of the equipment would typically be after the airworthiness approval.

4.4 For row 4:

– The recording requirement does not apply because the aircraft's certificate of airworthiness and an airworthiness approval related to DLC capability was issued before 1 January 2016. The date of installation of DLC equipment is not a factor for DLC message recording requirements, as long as the equipment is compliant with that airworthiness approval.

4.5 For row 5:

– The recording requirement does not apply because the aircraft's certificate of airworthiness and an airworthiness approval related to DLC capability was issued before 1 January 2016. The date of installation of DLC equipment is not a factor for DLC message recording requirements, as long as the equipment is compliant with that airworthiness approval.

Notwithstanding the above, if the activation for use of the DLC equipment is on or after 1 January 2016, DLC messages should be recorded in accordance with Recommendation 6.3.3.1.3.

— END —

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